

**COLLECTIVE
BARGAINING
AGREEMENT**

Between

**INTERNATIONAL UNION OF OPERATING ENGINEERS
LOCAL 399**

And

CITY OF CHICAGO

**Effective July 1, 2017
Through
June 30, 2022**

Ratified by City Council on: January 17, 2018

CITY OF CHICAGO AGREEMENT WITH
INTERNATIONAL UNION OF OPERATING ENGINEERS
LOCAL 399

TABLE OF CONTENTS

	<u>Page</u>
ARTICLE 1 - RECOGNITION.....	1
ARTICLE 2.....	2
ARTICLE 3 - UNION AND MANAGEMENT.....	2
Section 3.1 Management Rights.....	2
ARTICLE 4 - COOPERATION IN RECRUITING.....	4
ARTICLE 5 - HOURS OF WORK.....	4
Section 5.1 The Work Week.....	4
Section 5.2 Overtime.....	5
Section 5.3 Reporting Pay.....	6
Section 5.4 Call-In Pay.....	7
Section 5.5 Emergency Call Pay.....	8
Section 5.6.....	8
Section 5.7 Trading Shifts.....	8
Section 5.8 Compensatory Time.....	9
ARTICLE 6 - HOLIDAYS.....	9
Section 6.1 Current Holidays.....	9
Section 6.2 Payment for Holiday.....	11
Section 6.3 Determining Work Days as Holidays.....	12
Section 6.4 Failure to Report to Work on Scheduled Holiday.....	12
Section 6.5 Holiday Observance.....	12
ARTICLE 7 - VACATIONS.....	13
Section 7.1 Amount.....	13
Section 7.2 Pro Rata Vacations.....	13
Section 7.3 Vacation Forfeiture.....	154
Section 7.4 Employees Laid-off or Discharged.....	15
Section 7.5 Rate of Vacation Pay.....	16
Section 7.6 Selection.....	16
Section 7.7 Non-Consecutive Vacation Days.....	18
ARTICLE 8 - CONTINUOUS SERVICE.....	19
Section 8.1.....	19
Section 8.2.....	20

Section 8.3	Interruption In Service.....	20
Section 8.4	Break in Service.....	21
Section 8.5	Probationary Employment.....	22
Section 8.6	Temporary Employment.....	24
Section 8.7	Layoffs/Recall.....	25
Section 8.8	Promotion/Filling of Permanent Vacancies....	26
ARTICLE 9 - WAGES.....		30
Section 9.1	Prevailing Wage Rates.....	30
Section 9.2	Prevailing Rate Adjustments.....	30
Section 9.3	This Section Intentionally left blank.....	30
Section 9.4	Retroactivity.....	32
Section 9.5	Out of Grade Pay.....	32
Section 9.6	Payment of Wages.....	33
Section 9.7	Prevailing Wage Calculation.....	36
ARTICLE 10 - GROUP HEALTH, VISION CARE, DENTAL, LIFE AND ACCIDENT BENEFITS.....		37
Section 10.1	37
Section 10.2	Joint Labor Management Cooperation Committee On Health Care.....	40
Section 10.3	40
Section 10.4	41
Section 10.5	41
Section 10.6	42
ARTICLE 11 - LEAVES OF ABSENCE.....		43
Section 11.1	Bereavement Pay.....	43
Section 11.2	Military Leave.....	44
Section 11.3	Jury Duty Leave/Subpoena.....	45
Section 11.4	Sick Leave.....	45
Section 11.5	Duty Disability Leaves.....	46
Section 11.6	Leaves Without Pay.....	47
Section 11.7	Paid Parental Leave.....	50
ARTICLE 12 - DISCIPLINE AND GRIEVANCE/ARBITRATION.....		52
Section 12.1	Disciplinary Action.....	52
Section 12.2	Procedure For Department Review of Disciplinary Action Including Suspension.....	56
Section 12.3	Grievance and Arbitration.....	58
Section 12.4	Conduct of Disciplinary Investigations.....	68
ARTICLE 13 - NO LOCKOUT.....		75
Section 13.1	No Strikes.....	75
Section 13.2	Union Efforts.....	75
Section 13.3	Discipline.....	76

Section 13.4	No Lockout.....	76
ARTICLE 14	DUES CHECK-OFF AND FAIR SHARE.....	76
Section 14.1	Indemnification/Authorization.....	76
Section 14.2	Fair Share.....	77
Section 14.3	Right of Non-Association.....	77
Section 14.4	Condition of Employment.....	78
ARTICLE 15	- MISCELLANEOUS.....	78
Section 15.1	Job Titles.....	78
Section 15.2	Traditional Work.....	79
Section 15.3	Jurisdictional Disputes.....	80
Section 15.4	Deferred Compensation.....	82
Section 15.5	Rules of Conduct Changes.....	83
Section 15.6	Safety.....	84
Section 15.7	Automobile Reimbursement.....	85
Section 15.8	Bulletin Boards.....	86
Section 15.9	Information to Union.....	86
Section 15.10	Negotiating Team.....	87
Section 15.11	Labor-Management Committee.....	87
Section 15.12	Just Cause Standard.....	88
Section 15.13	File Inspection.....	88
Section 15.14	Limitation on Use of File Material.....	88
Section 15.15	Use and Destruction of File Material.....	88
Section 15.16	Engineer Trainee Program.....	89
Section 15.17	Fitness for Duty.....	89
ARTICLE 16	- SEPARABILITY.....	90
Section 16.1	90
ARTICLE 17	- NON-DISCRIMINATION.....	91
Section 17.1	Equal Employment Opportunities.....	91
Section 17.2	No Discrimination.....	91
Section 17.3	91
Section 17.4	Reasonable Accommodation.....	91
ARTICLE 18	- UNION REPRESENTATIVE.....	92
Section 18.1	Representatives.....	92
Section 18.2	Union Rights.....	93
Section 18.3	Right of Access.....	93
ARTICLE 19	- DRUG AND ALCOHOL PROGRAM.....	94
Section 19.1	Policy Statement.....	94
Section 19.2	Definitions.....	94
Section 19.3	Disciplinary Action.....	95
Section 19.4	Drug and Alcohol Testing.....	96
Section 19.5	Employee Assistance Program.....	99

ARTICLE 20 - JOINT APPRENTICESHIP AND TRAINING PROGRAM	
INITIATIVE.....	99
Section 20.1.....	99
Section 20.2.....	100
ARTICLE 21 - RATIFICATION AND TERMINATION.....	101
ARTICLE 22 - TERM OF AGREEMENT.....	103
EXHIBIT 1 - TRAINEE'S AGREEMENT.....	108
SIDE LETTER - JOINT APPRENTICESHIP AND TRAINING PROGRAM	
INITIATIVE: LMCC REFERRAL.....	111
SIDE LETTER - HEALTH CARE PLAN: LMCC REFERRAL.....	113
SIDE LETTER - FMCS DISPUTE RESOLUTION.....	115

**CITY OF CHICAGO AGREEMENT WITH
INTERNATIONAL UNION OF OPERATING ENGINEERS
LOCAL 399**

AGREEMENT

This Agreement is entered into by and between the City of Chicago, an Illinois Municipal Corporation (hereinafter called the "Employer") and the International Union of Operating Engineers, Local No. 399 (hereinafter referred to as the Union) for the purpose of establishing, through the process of collective bargaining, certain provisions covering wages, and other terms and conditions of employment for the employees represented by the Union.

In recognition of the above, the Employer and the Union agree as follows:

**ARTICLE 1
RECOGNITION**

The Employer recognizes the Union as the sole and exclusive bargaining agent for all employees in the following job classification for work presently performed by employees in these classifications:

Operating Engineer, Group "C" Trainee

Operating Engineer, Group "C"

Operating Engineer, Group "A"

Assistant Chief Operating Engineer

Chief Operating Engineer

The Union is authorized to bargain collectively for such employees with respect to rates of pay, wages, hours and other terms and conditions of employment. The term "employee" as used

herein, refers to the above job classifications, unless specified to the contrary.

ARTICLE 2

The job classifications listed in Article 1 above are for descriptive purposes only. Their uses are neither an indication nor a guarantee that those classifications or titles will continue to be utilized by the City.

ARTICLE 3 UNION AND MANAGEMENT

Section 3.1 Management Rights

The Union recognizes that certain rights, powers, and responsibilities belong solely to and are exclusively vested in the Employer, except only as they may be subject to a specific and express obligation of this Agreement. Among these rights, powers, and responsibilities, but not wholly inclusive, are all matters concerning or related to the management of the Employer's operations and the administration thereof, and the direction of the working forces, including (but not limited to) the right to suspend, discipline, or discharge for just cause; to layoff by reason of lack of work, by reason of lack of funds or work, or abolition of a position, or material changes in duties or organization of the Employer's operations, or other economic reasons; to hire, classify, transfer and assign work, promote, demote, or recall; to make and enforce reasonable rules and regulations; to maintain order and efficiency; to schedule the hours of work; to determine the services, processes, and extent of the Employer's operation, the types and quantities of

machinery, equipment and materials to be used, the nature, extent, duration, character and method of operation, including (but not limited to) the right to contract out or subcontract; the right to determine the number of employees and how they shall be employed, and the quality and quantity of workmanship and work required to insure maximum efficiency of operations; to establish and enforce fair production standards; and to determine the size, number and allocation of its departments and facilities. All of the provisions of this Article are vested exclusively in the Employer, except as expressly abridged by a specific provision of this Agreement.

In the event that the Employer determines to subcontract unit work under this Agreement, and as a result bargaining unit employees would be laid off by the proposed subcontracting, the Employer shall make available, on a seniority basis, equal-rated permanent jobs which the Employer has declared to be vacant in the affected Department, or other departments, as the case may be, in that order, provided the laid off employees have the then present ability to perform the required work without further training. However, the employee shall be provided with a reasonable amount of orientation to allow him or her to perform the work.

Prior to sub-contracting of bargaining unit work, the Employer, the Union, and the proposed sub-contractor shall meet to discuss the employment of employees subject to layoff. During that meeting the Employer will request and urge that the sub-contractor hire laid off employees.

ARTICLE 4
COOPERATION IN RECRUITING

The Union undertakes, when requested to do so, to stand ready to furnish the City of Chicago with reliable and competent engineers.

ARTICLE 5
HOURS OF WORK

Section 5.1 The Work Week

This Article shall be to calculate overtime and shall not be a guarantee of work or hours for any day or week.

The normal work week shall consist of 5 consecutive 8-hour days and 2 consecutive days off, except where the Employer's operations require different scheduling needs. The Employer will notify the Union of these exceptions.

Work shifts shall begin as follows:

First Shift 6 a.m. - 8 a.m.

Second Shift 2 p.m. - 4 p.m.

Third Shift 10 p.m. - midnight

The work week shall be a regular recurring seven (7) day period beginning at 12:00 midnight (one minute after 11:59 P.M. Saturday) Sunday and ending at 12:00 midnight the following Sunday.

The Employer may change the time of its normal work day or work week upon reasonable notice to, and upon request, discussion with the Union.

Section 5.2 Overtime

All work performed in excess of 40 hours worked per week; or in excess of 8 hours worked in any 24 hour period where the employee has 40 hours of work or excused absences; or on the sixth consecutive day worked in the Employer's work week, shall be paid for at one and one-half (1 ½) times the regular straight time hourly rate of pay.

Employees who work a sixth consecutive day or an additional shift (at a minimum of eight (8) hours), may elect to receive eight (8) hours of pay at the regular straight time hourly rate of pay in lieu of one and one-half (1 ½) times the regular straight time hourly rate of pay plus compensatory time of four (4) hours . Accumulation of any such earned compensatory time shall be limited to 120 hours.

All work performed on the seventh consecutive day worked in the Employer's work week, shall be paid for at two (2) times the regular hourly rate of pay. Such overtime shall be computed on the basis of completed fifteen minute segments. Employees exempt from the Fair Labor Standards Act shall not be eligible for overtime under this Section. There shall be no pyramiding of overtime and/or premium pay. Daily and/or weekly overtime and/or premium pay shall not be paid for the same hours worked. **All overtime earned under this Section shall be paid to employees, not later than the second regular payday following the end of the payroll period in which it is earned.**

(a) Overtime and/or premium time referred to in this Agreement shall be offered first to the employee performing the

job and thereafter by seniority to the most senior employee in the classification at the work location being given the opportunity to work, provided the employee has the present ability to perform the work to the satisfaction of the Employer without further training. A reasonable amount of overtime shall be a condition of continued employment, provided however, that in the event such offers of overtime are not accepted by such employees, the Employer may mandatorily assign such overtime by reverse seniority.

(b) Employees in the classification at the work location who have been given the option to work the overtime and/or premium time, whether the option was accepted or rejected, will not be afforded the option to work subsequent overtime and/or premium time until all employees in the classification at the work location have been reasonably afforded the opportunity to work the overtime and/or premium time, subject to the same provision as in Section 5.2(a).

(c) All overtime will be distributed as equally as feasible over a reasonable period of time among the employees within the same job classification and within the same department.

Section 5.3 Reporting Pay

When an employee reports for his or her regularly scheduled shift, the employee shall receive a minimum of two (2) hours work or pay at the employee's regular straight time hourly rate, unless the employee was told at least three hours prior to his or her normal starting time not to report for work, except for

reasons beyond the Employer's control. To be eligible for pay under this provision, employees must advise the designated person within the Department of his or her current telephone number.

If the employee works more than two (2) hours, he or she shall receive a minimum of four (4) hours work or pay for that day. If the employee works more than four (4) hours, he or she shall be guaranteed eight (8) hours work or pay for that day. An employee who does not complete a normal eight (8) hour shift because he or she is sent home by the Employer shall have the option of using a portion of accrued vacation, personal or compensatory time for that day upon notice to the Employer.

Section 5.4 Call-In Pay

a) Except as otherwise agreed in writing, employees called in outside of their regular working hours shall receive a minimum of two (2) hours pay at the appropriate overtime rate from the time that they arrive at their workplace.

The term "call-in pay" as used in this Section shall refer to an employee being brought back to work outside of his/her normal work day, and shall not refer to any situation where the employee is brought into work or required to stay at work during periods which are contiguous to his/her regularly scheduled shift.

b) Where call-in is contiguous to the start of a shift, but begins less than two (2) hours before the regularly scheduled shift, the two (2) hour guarantee shall not apply, however, the employee shall receive overtime at the appropriate rate for

call-in time worked and the regularly scheduled shift shall not be shortened for the purpose of avoiding overtime.

Section 5.5 Emergency Call Pay

In the event a Chief Operating Engineer is directed by the Employer to respond to emergency calls from home and outside of his or her regular working hours, he or she will be granted compensatory time at the appropriate rate for all verified time spent responding to the emergency from home, with a minimum of 15 minutes of compensatory time to be granted in any calendar day on which any such emergency responses were required, up to a maximum of two hours of compensatory time in any calendar day.

Section 5.6

The Employer will not change an employee's normal work week or normal work day for the purpose of avoiding payment of overtime or holiday pay. It is understood that forces may be reduced on holidays without a change in schedule.

Section 5.7 Trading Shifts

Shift personnel should be discouraged from trading shifts. In personal emergencies, the trading of shifts will be permitted, provided the supervisor in charge gives his approval, and further provided, such trade does not result in any overtime liability to the Employer. Such permission shall not be unreasonably denied.

Section 5.8 Compensatory Time

Any banked overtime and / or compensatory time which employees have accumulated as of June 1, 2018 in excess of 120 hours shall be paid to employees in the form of cash within 30 days following June 1, 2018.

Employees who receive compensatory time under this agreement may elect to have such compensatory time paid out in the form of pay not later than the second regular payday following the end of the payroll period in which it is earned or may accumulate such time up to a maximum of 120 hours.

Use of compensatory time shall be subject to the operational needs of the Employer. All accumulated compensatory time in excess of 120 hours which has not been used or scheduled by June 1 in any calendar year will be paid to employees in the form of cash at their current rate of pay. Nothing herein shall be construed as to allow the Employer to force an employee to use accrued compensatory time.

ARTICLE 6 HOLIDAYS

Section 6.1 Current Holidays

a) Full-time hourly employees shall receive eight hours straight-time pay for the holidays set forth below:

1. New Year's Day
2. Dr. Martin Luther King's Birthday
3. Casimir Pulaski Day
4. Memorial Day
5. Independence Day
6. Labor Day
7. Columbus Day

8. Thanksgiving Day
9. Christmas Day

b) Full-time salaried employees shall receive the following days off without any change in their regular salary:

1. New Year's Day
2. Dr. Martin Luther King's Birthday
3. Casimir Pulaski Day
4. Lincoln's Birthday
5. Washington's Birthday
6. Memorial Day
7. Independence Day
8. Labor Day
9. Columbus Day
10. Veterans Day
11. Thanksgiving Day
12. Christmas Day

c) Employees covered by this Agreement including probationary employees shall be entitled to one (1) paid personal day in each year of this Agreement. At the employee's option, the personal day may be scheduled in accordance with the vacation selection procedures set forth in Article 7 of this Agreement. If the employee elects not to schedule said personal day in advance under the vacation selection procedures as provided above, such day shall be designated by the employee and shall not be denied by the Employer. If the employee is required or allowed to work on such designated day, the employee shall receive the appropriate holiday premium rate. An employee may elect to carry over the personal day to the following calendar year provided such carry over shall not exceed five (5) personal days. Employees may not designate such personal day in connection with an existing holiday, Good Friday, or a vacation schedule unless requested by the employee upon ten (10) days

written notice and approved by the Employer. New employees who commence work for the Employer after June 30 shall not be eligible for this personal day until the following calendar year.

d) The benefits set forth in (a) and (b) above shall be paid provided the employee is in pay status the full scheduled work day immediately preceding and the full scheduled work day immediately following such holiday, or is absent from work on one or both of those days with the Employer's permission; such permission shall not be unreasonably denied.

e) Beginning January 1, 1993, all employees who are regularly assigned to work at the Chicago Public Library system shall receive holidays in accordance with the Chicago Public Library system's holiday schedule.

NOTE: The system observes the following holidays:

HOLIDAYS

New Year's Day
Martin Luther King's Birthday
Lincoln's Birthday
Washington's Birthday
Pulaski Day
Memorial Day
Independence Day
Labor Day
Thanksgiving Day
Christmas Day

Section 6.2 Payment for Holiday

If an employee is scheduled to work on any calendar holiday as specified in Section 6.1 he/she shall be paid at the rate of

two and one-half (2 ½) times (which includes holiday pay) his/her normal hourly rate for all hours worked.

If the employee is not required to work on calendar holiday specified in Section 6.1, such employee shall be paid eight hours at straight time for such holiday.

All holiday time shall be considered time worked for the purposes of computing overtime except where the holiday falls on the employee's day off.

Section 6.3 Determining Work Days as Holidays

A holiday is the calendar day running from midnight to midnight. An employee whose workday extends over parts of two (2) calendar days, one of which is a holiday, shall be considered to have worked on the holiday if the majority of the hours worked fall on the holiday.

Section 6.4 Failure to Report to Work on Scheduled Holiday

If an employee is scheduled to work on a holiday and fails to report to work, the employee shall forfeit his/her right to pay for that holiday unless his/her absence is due to illness, injury, or other emergency.

Section 6.5 Holiday Observance

Except for employees whose regularly scheduled workweek includes Saturday and/or Sunday, said holidays which fall on Saturday will be observed the Friday before the holiday; said holidays which fall on Sunday will be observed on the Monday

after the holiday. For employees whose regularly scheduled workweek includes Saturday and/or Sunday, said holidays which fall on either Saturday or Sunday will be observed on that day.

Whenever said holiday falls during an employee's vacation period the Employer shall have the option of granting the employee an extra day's pay or an extra day of vacation at a time mutually agreed upon between the employee and the department head, provided the employee works the full scheduled workday immediately preceding the full scheduled workday immediately following such vacation period, unless such absence is for a reason the Employer finds to be valid.

ARTICLE 7
VACATIONS

Section 7.1 Amount

Employees shall be eligible for paid vacations as of January 1 of each year following the year in which they were employed. An employee will earn the following amounts of paid vacation, based on such employee's continuous service prior to July 1, following his/her January 1 eligibility.

<u>Continuous Service Prior to July 1</u>	<u>Vacation</u>
Less than 6 years	13 days
6 years or more, but less than 14 years	18 days
14 years or more	23 days
After 24 years	24 days
After 25 years	25 days

Section 7.2 Pro Rata Vacations

An employee shall be eligible for pro rata vacation if:

1. The employee did not have twelve (12) months of continuous service in the preceding calendar year and is on the payroll as of January 1 of the current calendar year; or

2. The employee was separated from employment, other than for cause, during a calendar year in which the employee did not have twelve (12) months of continuous service.

The amount of pro rata vacation is determined by dividing the number of months of continuous service the full-time employee worked in the previous/current calendar year, whichever is applicable, by 12; the resulting figure is multiplied by the amount of paid vacation for which the employee is eligible in Section 7.1 above and will be paid on a supplemental payroll as soon as practicable following the last day worked. Any fraction is rounded off to the nearest whole number of days.

Part-time employees who work at least 80 hours per month earn vacation on a pro rata basis calculated in accordance with the formula used by the Employer in accordance with past practice.

Section 7.3 Vacation Forfeiture

All earned vacation leave shall be forfeited unless (1) the employee was denied vacation by the employer, or (2) the employee is on an approved leave of absence, or (3) the employee elects in writing to carry over **vacation days** (up to three **(3)** days of accrued and unused vacation days for employees with less than ten **(10)** years of service, and up to five **(5)** days of accrued and unused vacation days for employees with ten **(10)** or

more years of service) for use individually or consecutively during the next vacation year, provided that notice of such election shall be given to the employer before December 15 of the vacation year. **Carry over days shall not count against an employee's maximum number of single use vacation days provided for under this Agreement.** Carry over vacation days must be scheduled in the then current year for use in the next year. **Cancellation or re-scheduling of carry over days shall be controlled by the provisions set forth in the Agreement under Vacation Picks,** and such carry over days must be taken on or before **June 30** of the next vacation year (or within six (6) months, in the case of an employee's return from an approved leave of absence). **Nothing herein shall limit or prohibit the Employer from allowing the employee to reschedule carry over before June 30th, or approving the rescheduling of carry over days beyond June 30th.** Employees on duty disability shall retain any vacation leave earned prior to being placed on duty disability leave, together with all vacation time earned during the period of duty disability for the twelve (12) months following the date in which the person became disabled, and shall be entitled to use such vacation time within twelve (12) months following their return to work.

Section 7.4 Employees Laid-off or Discharged

Employees who are terminated for cause are not entitled to any vacation pay not taken. **An employee who resigns in lieu of discharge is entitled to the amount of vacation pay in their**

bank at the time of resignation. Employees shall not earn vacation credit for any period during which they are on layoff or leave of absence without pay in excess of 30 days (except where such leave was adjudged eligible for duty disability) or engaged in conduct in violation of Article 13 of this Agreement. In the event of the death of an eligible employee, the surviving widow, widower or estate shall be entitled to any vacation pay to which the deceased employee was entitled.

Section 7.5 Rate of Vacation Pay

The rate of vacation pay shall be computed by multiplying the employee's straight time hourly rate of pay in effect for the employee's regular job at the time the vacation is being taken, times 8 hours per day, times the number of days' vacation to which the employee is entitled. Salaried employees shall receive their regular salary in effect at the time the scheduled vacation is taken.

Section 7.6 Selection

Vacation picks will be granted by classification seniority, provided however, the Department Head shall have the right to determine the number and scheduling of crews and employees who can be on vacation at any one time without hindering the operation of the Department. The Department will not designate any time or period during the calendar year when eligible

employees would be prohibited from scheduling and taking vacation time.

Employees shall make vacation picks at a time and in the manner currently provided for by their Department. The Department will respond to the employees' request for specific vacation dates within a reasonable period of time after the request is made, but not more than fourteen (14) days from the date the request is received by the Department, except in cases where the request is made for a vacation to be scheduled within fourteen (14) days. The Department will not arbitrarily cancel an approved vacation selection absent a severe emergency situation caused by an act of God (e.g., snow, flood, storms), a severe manpower shortage which may seriously hinder the Department's operations, or where an employee possesses a unique skill indispensable to the immediate performance of a Department's operation. Any such cancellation of the vacation pick shall result in the payment of the vacation pay (thereby reducing the total of the employee's accrued vacation time) plus payment to the employee of the appropriate pay rate for all hours worked as if it were a normal work day, or for a normal work day, whichever is greater, unless the employee voluntarily agrees to reschedule the vacation days lost.

Cancellation of approved vacation requests which would result in serious provable financial loss to an employee shall

occur only in the most extreme emergencies. In the event of such cancellation, the Employer will reimburse the employee for reasonable losses incurred as a direct result of the cancellation, (e.g., cost of rescheduling airline tickets, deposit forfeitures, and the like).

Section 7.7 Non-Consecutive Vacation Days

Employees may receive up to **six (6)** of their vacations days one or more day(s) at a time as days off in each year. Such days off shall be scheduled pursuant to Section 7.6 above **(Vacation Selection)**. Such day(s) off shall be approved by the employee's supervisor and such approval shall not be unreasonably withheld. If the employee seeks such days so late in the vacation year that the employee's supervisor cannot reasonably grant the employee's request, such days shall be scheduled by the Employer prior to the year-end. **If an employee schedules a week-long vacation and a holiday falls within the week (for example, the employee works a Monday - Friday work week and the holiday falls on Tuesday) the vacation days scheduled for the week will be considered consecutive vacation days (for example, Monday will be considered consecutive with Wednesday, Thursday and Friday), and the employee would not be charged with a single, non-consecutive vacation day (VVF).** Furthermore, if an employee schedules two (2) or more vacation days on either side of his/her regularly scheduled days off and then schedules a single vacation day on the opposite side of the regularly scheduled days off, then the single day shall be

considered consecutive with the other vacation days and the employee shall not be charged with a single vacation day. Nothing herein shall limit or prohibit the Employer from approving additional single vacation days.

Employees may designate and use at their option up to **six (6)** of their vacation days in each year of this Agreement as sick days to cover periods of bonafide medical illness or the illness of family members, who shall include (or may be expanded upon by the City): (i) mother, father, husband, wife, brother or sister (including blood, step or half), son or daughter (including blood, step or adopted), father-in-law, mother-in-law, daughter-in-law, son-in-law, grandparents or grandchildren; or (ii) domestic partner or the domestic partner's mother, father, son or daughter (including blood, half, step or adopted), provided that the employee's domestic partner is registered with the Department of Human Resources. The Employer reserves the right to ask the employee to furnish proof of said illness. An employee desiring to use vacation days as sick days under this provision shall inform the representative of the Employer who employees are told is designated for such purposes of that fact at the time he/she calls in to report an illness. Salaried employees who currently are receiving sick days under this Agreement shall be ineligible to use vacation days as sick days while they have available unused sick days.

ARTICLE 8
CONTINUOUS SERVICE

Section 8.1

For all purposes under this Agreement, "seniority" shall mean an employee's continuous service in the employee's current job title (time in title). Where it may be necessary to determine relative seniority between two or more employees who have the same time in title, then seniority shall be determined by total continuous service in any bargaining unit titles (bargaining unit seniority).

Where it may be necessary to determine relative seniority between two or more employees who have the same time in title and the same total continuous service in any bargaining unit titles, seniority shall be determined by lottery applied at the time of hire.

Section 8.2

Continuous service means continuous paid employment from the employee's last date of hire, without a break or interruption in such paid employment. In addition, an employee earns continuous service credit even though he or she is not paid for:

1. An unpaid leave of absence of one-year or less or layoff of 30 days or less; or
2. An absence where the employee is adjudged eligible for duty disability compensation.

Section 8.3 Interruption In Service

(a) Non-seasonal employees who work a minimum of eighty (80) hours per month shall be credited with continuous service

for the time worked. Continuous service credit will not be earned for:

- (1) absences without leave
- (2) absences due to suspension
- (3) Unpaid leave of absence for more than 30 days or layoff for more than 30 days, unless employees are allowed to accumulate seniority under this Agreement.

(b) Seasonal employment of 120 days or less in any calendar year shall not be credited toward continuous service for the time worked.

(c) Seasonal employment in excess of 120 days in any calendar year shall be credited toward continuous service.

Section 8.4 Break in Service

Notwithstanding the provisions of any ordinance or rule to the contrary, continuous service of an employee is broken, the employment relationship is terminated, and the employee shall have no right to be rehired, if the employee quits, is discharged, retires, is absent for five (5) consecutive work days without notifying the employee's authorized Employer representative unless the circumstances preclude the Employee, or someone on his behalf, from giving such notice, does not actively work for the Employer for twelve (12) months (except for approved full time Union representative leaves or medical leaves of absence and duty disability leaves), or is on layoff

for more than twelve (12) consecutive months if the employee has less than five (5) years of service at the time of the layoff, or is on layoff for more than two (2) years if the employee has five (5) or more years of service at the time of the layoff.

Section 8.5 Probationary Employment

New employees, **hired after ratification of this Agreement**, will be regarded as probationary employees for the first **twelve (12)** months of their employment and will receive no seniority or continuous service credit during such probationary period. Probationary employees continuing in the service of the Employer after **twelve (12)** months shall be career service employees and shall have their seniority date made retroactive to the date of their original hiring. Probationary employees may be disciplined or discharged as exclusively determined by the Employer and such Employer action shall not be subject to the grievance procedures, provided that, **(1) after the first six (6) months of the probationary period, if the Employer intends to impose a disciplinary suspension on the probationary employee where the suspension would result in a loss of pay, prior to imposing the suspension, except in emergency or where the employee is unavailable, the Employer shall notify the employee and the Union and, upon request from the Union, will schedule a meeting with the Union and the employee to discuss and allow the employee to respond to the accusations, and/or (2) if the Employer, within its discretion, rehires a former employee who did not complete his/her probationary period within one year**

from the employee's termination, and said former employee had served 90 days or more of his/her probationary period, all time previously served in the probationary period shall be counted for purposes of determining when the said employee completes his/her probationary period. A probationary employee who has served 90 days or more of his/her probationary period and who is laid off shall be given preference over other applicants for employment in the same job title in the department from which he/she was laid off, so long as he/she does not refuse an offer of employment, and does not suffer a break in service under Section 8.4 of this Agreement. **Seasonal employees who have worked without a break in service or who have works more than twelve (12) cumulative months and bid into (or otherwise become) career service position/employees, shall not have to serve the above twelve (12) month probationary period, but shall have an evaluation period not to exceed sixty (60) days, to demonstrate that he/she can perform the job. If the Employer has just cause based upon the employee's job performance at any time during the evaluation period that the employee cannot perform the job, then he/she shall be returned to the seasonal position held just prior, displacing, if necessary, any employee who has been placed into said job.**

Probationary employees shall not be eligible for dental or vision insurance but shall receive all other benefits under this Agreement. Probationary employees shall be compensated at the same rate as career service employees.

Section 8.6 Temporary Employment

It is the intent of the parties to continue the practice of utilizing relief personnel in the cases of vacation, sickness or temporary relief. This practice should continue to be performed in the Departments which have Bargaining Unit titles throughout the life of this Agreement.

It is understood and agreed that individuals performing relief work will be selected from a pool of people consistent with the City's hiring procedures. Once these individuals are working, the following apply:

1. These individuals will be paid at the straight time rate for all work performed up to and including forty (40) hours per week.

2. These individuals will be paid the rated time and one-half (1-1/2) for all hours worked in excess of forty (40) hours in a work week.

3. In the event these individuals work on a holiday, they will not receive holiday pay but will be paid at the rate of time and one-half (1-1/2) for all hours worked.

4. These individuals are not entitled to any other compensation or benefits other than those enumerated in Items 1, 2 and 3 above.

5. Individuals performing work under this Agreement are not, and shall not attain probationary Career Service or Career Service status.

6. Individuals performing work under this Agreement shall not perform work in any assignment for longer than a ninety (90) day period and in no way be allowed to work more than 180 calendar days.

Section 8.7 Layoffs/Recall

Probationary employees with more than 90 days of service shall be laid off first. Thereafter, the least senior employee in the affected job classification shall be laid off first, provided the ability, qualifications to perform the required work, and the employee's job performance are equal among the other employees in the job, and further provided, the layoff does not have a negative effect on the Employer's efforts to ensure equal employment opportunities.

If two or more employees share the same time-in-title, the employee with the least continuous service time with the City shall be laid-off first.

A laid-off employee may displace (bump) the least senior employee, if any, in the most recent lower job title the employee to be laid off has held, provided the employee to be laid off has the then present ability to perform the job to the Employer's satisfaction without further training.

Employees shall be recalled in the reverse order they were laid off, subject to the same provisos.

Section 8.8 Promotion/Filling of Permanent Vacancies

A. The Employer shall determine if there is a permanent vacancy to be filled and whether said vacancy shall be filled.

B. Employees within a Department who desire a change in shift, day off, group or work location of their job assignment shall request such change in writing on the Employers form. When filling a vacancy the Employer shall select the most senior (time-in-title) employee in the job classification in the Department who has such a request on file, provided the employee has the present ability to perform the required work without further training after a reasonable orientation period. Employees may file such requests in December for the period beginning in January and continuing through June of the following year and in June for the period beginning in July and continuing through December. Employees filing multiple requests and accepting a transfer shall only be allowed a single transfer in a one (1) year period.

Nothing shall preclude an employee from submitting a bid on an equal rated position in another department in accordance with Section 8.8(E) below.

C. When filling a vacancy and there are no said employees who have transfer requests on file, the Department shall select the employee in the job classification from a current recall or reinstatement list, prior to any notice of posting being sent to the Union.

D. If there are no eligible employees on a current recall or reinstatement list the Employer shall post the job for bidding. Nothing in this agreement shall require the posting or bidding of the following entry level job: Operating Engineer Group C and Trainee.

The Employer will post vacancies electronically on the City of Chicago CAREERS website. A copy of the posting will be provided to the Union at least 72 hours prior to the electronic posting. Said vacancies shall be posted for fourteen (14) days on the CAREERS WEBSITE. The posting shall contain at least the following information: job title, qualifications, days off, shift, hours, work location, if known, and the rate of pay. The posting shall also identify the number of positions to be filled. If the number to be filled changes, the Employer shall promptly notify.

E. Qualified employees shall be given an equal opportunity with other applicants to bid on jobs which pay **equal** or higher rates of pay and which are declared vacant by the Employer. Vacancies will be posted for a period of fourteen

(14) calendar days. **Prior to the commencement of the selection process, the employer will provide the Union with a list of qualified bidders.** The Employer shall select the most qualified applicant. Where applicants are equally qualified, the Employer shall select the most senior employee of those applying who has the greatest ability to fill the needs determined by the Employer with due regard to the Employers "ability" shall be determined by the Employer based upon performance evaluations, experience, training, proven ability and similar criteria. Senior bidders who are not selected shall be so notified in writing. "Seniority" shall mean, for purposes of this Section, the employee service in the job title (time-in-title).

Prior to notifying the successful bidder/applicant, the Department shall give the Union a list of bidders identifying where applicable, the successful bidder(s). Upon request, the Department shall schedule a meeting with the Union to review the selection process, including the reasons for selection and rejection of bidders. This meeting shall include a review and discussion of documents used in the hiring process including documents such as the referral list, employment decision form, hiring information summary, and hiring criteria rating form. If requested, the Employer will furnish copies of such documents to the Union. **Bidders who are not selected shall**

be so notified by the Department Head and information of the reason for non-selection.

F. Should the Employer decide to rescind a posting it shall notify the Union in writing within fourteen (14) days of the closing date of the bid in question.

G. During the bid and/or selection process the Employer may fill the vacancy on a temporary basis.

H. The successful bidder for any jobs under this Section shall have an evaluation period, not to exceed sixty (60) days, to demonstrate that he or she can perform the job. If the Employer has just cause based upon the employees job performance at any time during that period that the successful bidder cannot perform the job , then the successful bidder shall be returned to the job he/she held just prior to the awarding of the bid, displacing, if necessary, any employee who has been placed into said job.

I. An eligibility list of bidders for a position shall be good for one year from the date a bid closes and may be renewed and extended for one additional year by mutual agreement. Effective January 1, 2018, a successful bidder or new hire may not be deemed the successful bidder for another permanent vacancy until one year has passed since their appointment date.

ARTICLE 9
WAGES

Section 9.1 Prevailing Wage Rates

Effective July 1, 2017, employees covered by this Agreement shall continue to receive the hourly rate being paid to crafts or job classifications doing similar kinds of work as provided in Section 9.7.

Section 9.2 Prevailing Rate Adjustments

Effective on July 1 of each year of this Agreement beginning in 2017, through the period ending June 30, 2022, the wage rate referred to in the immediately preceding section shall be adjusted to reflect the hourly wage rates effective on such dates being paid to crafts or job classifications doing similar work in Cook County pursuant to the formula specified in Section 9.1 above and as set forth in Appendix A. In the event the hourly wage rates effective July of each year covered by this Agreement are established at an effective date later than July 1, then such rates, when established, shall be paid as of said effective date. In no event will the Employer adjust said wage rates more than one time in any calendar year.

Section 9.3

Section 9.3 is intentionally left blank.

This page intentionally left blank.

Section 9.4 Retroactivity

The increases set forth in Article 9, Sections 9.1 and 9.3, are payable to affected employees who, as of **the date of final ratification of this Agreement by the City Council**, are either on the payroll, or are on approved leave, or are on layoff with recall rights, or are seasonal employees who are eligible for rehire, or are former employees who retired effective between July 1, **2017** and the date of final ratification of the Agreement by the City Council, inclusive.

Section 9.5 Out of Grade Pay

An employee covered by this Agreement who is directed to and does perform substantially all of the duties and responsibilities of a higher rated job within the bargaining unit shall be paid at the higher rate or classification consistent with his own tenure for all such time from the first day of the assignment. The Employer agrees that it will make such assignments for not less than an employee's full work day. Such payment shall be made on the next regular payday or as soon

thereafter as is possible, but in no event later than the pay period following the pay period in which the payment was earned.

The time limits for **acting into higher-rated jobs shall not exceed one hundred eighty (180) days**, except where a regular incumbent is on leave of absence, in which case the time limit for acting into such position may not exceed one (1) year and no individual employee can act into that position for more than **ninety (90) days**. The time limits may be extended by mutual agreement of the parties. **If the one hundred eighty (180) day time limit is extended to one year due to a regular incumbent on leave of absence or by mutual agreement of the parties, individual employees shall not act into higher rated jobs for more than ninety (90) days per employee.** To the extent the Employer continues to require the performance of the duties of the higher-rated job beyond the time limits set forth herein, the **position** shall be treated as a "permanent vacancy" within the meaning of Section 8.8 of this Agreement, and **the employer** shall **post and fill the job as a "permanent vacancy" subject to the applicable provisions of that Section.**

Section 9.6 Payment of Wages

(a) All regular base wages will be paid to employees not later than the next regular pay day following the end of the payroll period in which it is earned. All overtime or

premium pay shall be paid to employees not later than the second regular pay day following the end of the payroll period in which it is earned. In the event of an arbitration involving a dispute arising solely under this Section, the losing party will pay the entire amount of the arbitrator's fee.

- (b) In the event an employee's pay check, at the time specified in paragraph (a) above, fails to include all of the regular base, overtime and/or premium pay to which he/she is entitled, the Department will correct that shortage provided the employee promptly notifies the Department's timekeeper in writing. Employees shall submit a payroll dispute to the Department timekeeper on the "Employee Payroll Inquiry Form" attached hereto as Appendix B. The employee's submission of such Form shall toll the period for processing a grievance filed by the employee or Union over such dispute. If the Department concludes that there is a shortage in the employee's paycheck, and if the amount in question exceeds \$100.00, the Department will submit a supplemental payroll to the Comptroller to cover the shortage, and will issue the employee a check in that amount on the next scheduled check/deposit advice delivery date after the timekeeper is notified of the employee's

complaint. Shortages less than \$100.00 will be added to the employee's next regular pay check.

- (c) Should an employee not receive this supplemental check (for a sum greater than \$100.00) within the aforementioned check/deposit advice delivery date period, the Employer will pay to the employee the sum of \$5.00 for every pay period thereafter until the full supplemental check is received.
- (d) It is understood that pay shortages relating to newly-hired employees, persons returning from leaves of absence (including but not limited to duty disability), overtime earned under the City's emergency snow removal program, and inaccuracies due to changes in payroll deductions, are excluded from the provisions of this Section. This paragraph does not supersede any other payment obligations with respect to the payments referred to in this paragraph which may be contained elsewhere in this Agreement.
- (e) In order to provide a basis for ongoing discussion concerning the City's payroll practices, the parties will form a Labor Management Committee consisting of four (4) persons appointed respectively by the City and by the Coalition. The City's members of the Committee will consist of representatives from the Department of Personnel, the Office of Budget and Management, the

Comptroller and the Director of Labor Relations. The Coalition, as it shall determine, shall select four (4) representatives to serve as members of the Committee. The Committee will meet not less than quarterly, or more frequently as the need may arise, to review ongoing issues regarding payroll, compliance with this Section, or other issues of mutual concern which may arise during the life of the parties' Agreement. In addition, at the request of the Coalition, the City may include from time-to-time a representative of the Coalition at the Comptroller's weekly staff meetings with Department heads to review and address pending payroll inquiries from bargaining unit employees.

Section 9.7 Prevailing Wage Calculation

The parties agree that effective July 1, 2017, the prevailing rate shall be determined by averaging the wage rate provided for in the Collective Bargaining Agreement between the Metropolitan Water Reclamation District and the International Union of Operating Engineers and the wage rate provided for in the Collective Bargaining Agreement between the University of Illinois at Chicago and the International Union of Operating Engineers, Local 399.

In the event that there is a delay in establishing a new rate for either agency, the City will not implement a change in rate until the rates are established by both agencies. If a

rate is established at an effective date later than July 1st of a given year, then the average rate will be determined and applied on said effective date. If a new rate is not established by November 1st of a given year the existing rates will be used to establish the average prevailing rate and will be effective November 1st of a given year. In no event will the City change the rate of pay more than one time in a given year. If the rate is established after November 1st effective prior to July 1st it will not be used in the calculation until July 1st.

ARTICLE 10
GROUP HEALTH, VISION CARE,
DENTAL, LIFE AND ACCIDENT BENEFITS

Section 10.1

(a) The Employer shall provide to employees and their eligible dependents Group Health, Vision Care, Dental, Life (\$25,000) and Accident benefits as provided to a majority of other employees of the City under the same terms and conditions applicable to said other employees, provided further, said benefits shall be at no cost to employees and their eligible dependents.

(b) Employees who participate in the Employer; medical care plan or an HMO shall make the following contributions toward their health care coverage **based on the applicable percentage of their base pay salary (not including overtime) limited by the salary cap:**

	Single	Employee +1	Family	Salary Cap
July 1, 2017	1.2921%	1.9854%	2.4765%	\$90,000
July 1, 2018	1.7921%	2.4854%	2.9765%	\$100,000
Jan. 1, 2019	2.2921%	2.9854%	3.4765%	\$115,000
Jan. 1, 2020	2.7921%	3.4854%	3.9765%	\$130,000

All contributions shall be made on a pre-tax basis and are payable on a per pay period basis.

Effective January 1, 2019, prescription benefits shall be subject to an annual deductible of \$35 per Employee (one annual deduction per household).

Effective January 1, 2021, prescription benefits shall be subject to an annual deductible of \$75 per Employee (one annual deduction per household).

(c) The benefits provided for herein shall be provided through a self-insurance plan or under a group insurance policy, selected by the Employer. All benefits are subject to standard provisions of insurance policies between Employers and insurance companies.

(d) A dispute between an employee (or his/her covered dependent) and the processor of claims shall not be subject to the grievance procedure provided for in the Agreement between the Employer and the Union.

(e) Optional coverage offered by a Health Maintenance Organization (HMO) shall be made available to qualified employees. The Employer may offer coverage under more than one HMO. The employee's option of selecting an HMO is subject to

conditions for eligibility set by the HMO, notwithstanding anything in this Agreement to the contrary.

(f) Where both husband and wife or other family members eligible under one family coverage are employed by the Employer, the Employer shall pay for only one family insurance or family health plan.

(g) The current practice permitting employees to use vacation or other time due during an illness in order to keep his/her insurance in effect shall continue for the term of this Agreement.

(h) Consistent with the terms of the Employer's existing Group Health Care Plan, and the applicable rules thereof, employees who are covered under the Plan shall not lose said coverage solely because they have received a disciplinary suspension lasting 30 days or less. Employees on approved FMLA leave shall be entitled to continued medical coverage for a maximum of 12 weeks, subject to the terms of the Plan and any other applicable provisions of this Agreement. Employees who are receiving duty disability benefits shall be eligible to receive continued medical coverage as provided under the terms of the Plan and its applicable rules. As a condition of continued medical coverage, during any such suspension, or FMLA or duty disability leaves, employees must make all individual medical contributions as required under this Article and the terms of the Plan and its applicable rules. In the event that an employee loses coverage under the Plan, he or she will be provided notice thereof, the form of which may include, but is

not limited to, a COBRA notice, a HIPAA notice, a written communication from the Employer or its insurance carrier, or some other similar advisory.

Section 10.2 Joint Labor Management Cooperation Committee On Health Care

The City of Chicago and each Coalition Union (the "Parties") agree to **maintain** a Joint Labor Management Cooperation Committee ("LMCC") pursuant to applicable state and federal Law. The purpose of the LMCC is to research and make recommendations and decisions within its authority related to the achievement of significant and measurable savings in the cost of employee health care during the term of this Agreement. The Parties shall memorialize their intent to create this LMCC by executing an Agreement and Declaration of Trust ("Trust Agreement") contemporaneously with the execution of each Coalition Union's collective bargaining agreement with the City of Chicago. Said Trust Agreement shall be attached to this Agreement as Appendix C.

Section 10.3

The Trust Agreement shall address, without limitation, the following:

(a) Formation of a Committee to govern the LMCC consisting of up to twenty (20) Trustees, half of the Trustees shall be

appointed by the City of Chicago and half of the Trustees shall be appointed by the Coalition Unions.

(b) Appointment by the City and Coalition of a Co-Chair and Vice-Co-chair as designated in the Trust Agreement.

(c) Authority of the LMCC to make recommendations and modifications in the health plan expected to result in savings and cost containment.

(d) Establishment of a Trust Fund with contributions provided by the City of Chicago and third parties.

Section 10.4

For purposes of this Article, an "employee" shall mean a City employee represented by signatory labor organizations of this Agreement. A "Coalition Union" means signatories to this Agreement which have executed a collective bargaining agreement with the City.

Section 10.5

The parties commit to implementing Medical Plan Design changes that will result in estimated savings of at least \$3 million (as calculated with respect to the Coalition Unions bargaining units) by 2020. The parties will work through the LMCC to identify changes that will result in the required savings. If, prior to January 1, 2020, the parties have not reached agreement upon the proposed changes, each party will submit its offer of proposed changes and the amount proposed to be reduced,

including the methodology for estimating the value of the proposed changes, to a mutually agreed upon arbitrator, who will be limited to selecting either the City's or the Coalition Union's offer. The offer selected by the arbitrator will be binding on the parties and on the LMCC.

Section 10.6

The City agrees to provide representatives from the Coalition Unions information, such as the claims experience from the City retiree health plans, and other relevant data/information so that the Coalition Unions can explore the feasibility of establishing their own retiree health plan separate and independent from the City's plans that could cover certain City retirees in the future. Such information to be provided will include, but not be limited to, a census for the current non-Medicare retirees including the birthdate, gender, coverage tier (single, couple, family, etc.), and zip code of residence, as well as the claims information and enrollment counts for the last three (3) years. The Unions agree to execute any appropriate confidentiality agreements necessary for the release of such information. The parties understand and agree that the identity of any specific individual will not be ascertainable from the information supplied.

ARTICLE 11
LEAVES OF ABSENCE

Section 11.1 Bereavement Pay

In the event of a death in an employee's immediate family such employee shall be entitled to a leave of absence up to a maximum of three consecutive days including the day of the funeral. Where death occurs and the funeral is to be held out of Illinois and beyond the states contiguous thereto, the employee shall be entitled to a maximum of five consecutive days. During such leave, an hourly employee shall receive his/her regular straight time pay for such time as she/he is required to be away from work during his/her regularly scheduled hours of work (not to exceed eight hours per day). Salaried employees shall receive leave of absence compensation without additional compensation.

The employee's immediate family shall be defined as: mother, father, husband, wife, brother or sister (including step or half), son or daughter (including step or adopted), father-in-law, mother-in-law, daughter-in-law, son-in-law, sister-in-law, brother-in-law, grandparents, grandchildren, court-appointed legal guardian, and a person for whom the employee is a court-appointed legal guardian. The Employer may, at its option, require the employee to submit satisfactory proof of death and/or proof of the relationship of the deceased to the employee.

Section 11.2 Military Leave

Any employee who is a member of a reserve force of the United States or of the State of Illinois, other than the National Guard, and who is ordered by the appropriate authorities to attend a training program or perform other duties under the supervision of the United States or the State of Illinois, shall be granted a paid leave of absence during the period of such activity, but not to exceed fourteen (14) calendar days in any calendar year, provided that the employee deposits his/her military pay for all days compensated by the Employer with the City Comptroller.

Any employee who is a member of the National Guard of the United States or of the State of Illinois and who is ordered by the appropriate authorities to attend a training program or perform other duties under the supervision of the United States or the State of Illinois, shall be granted a paid leave of absence during the period of such activity, but not to exceed fifteen (15) calendar days in any calendar year, provided that the employee deposits his/her military pay for all days compensated by the Employer with the City Comptroller. Any reservist called for active duty on or after September 11, 2001, shall be entitled to full salary and medical benefits, provided that paid leave shall be conditioned upon payment of military pay to the Comptroller. The right to this additional paid leave shall automatically terminate upon termination of active duty.

Said paid leaves of absence shall not reduce the employee's vacation or other leave benefits.

Section 11.3 Jury Duty Leave/Subpoena

An employee who serves on a jury or is subject to a proper subpoena (except if the employee is a party to the litigation) shall be granted a leave of absence with pay during the term of such absence, provided that the employee deposits his jury duty pay with the City Comptroller.

Section 11.4 Sick Leave

Effective January 1, 1998 and thereafter, **salaried** employees who receive paid sick time shall accrue sick time at the rate of one (1) day for each month of employment. **Employees may use up to six (6) days of sick time per year for the bona fide illness of family members, who shall include (or may be expanded upon by the City): (i) mother, father, husband, wife, brother or sister (including blood, step or half), son or daughter (including blood, step or adopted), father-in-law, mother-in-law, daughter-in-law, son-in-law, grandparents or grandchildren; or (ii) domestic partner or the domestic partner's mother, father, son or daughter (including blood, half, step or adopted), provided that the employee's domestic partner is registered with the Department of Human Resources.** In the event an employee experiences a serious health condition within the meaning of the **Family Medical Leave Act**, upon request of the employee, the Employer will make available to said employee up to the full amount of sick time the employee would have accrued for the remainder of that calendar year as if he/she were actively

employed, in order to cover the absence resulting from the **serious health condition**. Upon his/her return to work, the employee will begin to accrue sick time with the start of the next calendar year. The Employer reserves the right to require an employee to provide documentation of the illness in question.

Section 11.5 Duty Disability Leaves

Any employee who is absent from work due to an injury on duty shall be granted a leave of absence. The Employer will mail the initial Duty Disability payment within ten working days upon receipt of verified authorization from the approving authority. Subsequent payment for eligible employees will be made twice a month. If duty disability is denied, and such denial is later reversed, the employee shall be paid up to date the amount the employee was eligible to receive. Employees who return from said leaves shall be reinstated to their former job classification, if it is vacant or if it is then occupied by an employee with lower seniority. If the employee's former job classification is not available because the employee would have been laid off if the employee had not been on a leave of absence, the employee may exercise seniority rights in accordance with and subject to the layoff, recall and break-in-service provisions of this Agreement.

The Employer will mail the initial Duty Disability payment within fourteen (14) days of the Employer's designated medical

officer being advised by the employee or his physician of the occurrence of a job-related injury, provided that there is no dispute as to the employee's entitlement to Duty Disability.

Section 11.6 Leaves Without Pay

(a) Personal Leaves. Non-probationary employees may apply for leave of absence without pay for personal reasons. The grant and duration of such leaves shall be within the discretion of the Employer. Seniority shall accumulate for employees on said leaves. Employees who return from said leave shall be reinstated to their former job classification, if the Employer determines it is vacant or if it is then occupied by an employee with lower seniority. If the employee's former job is not available because the employee would have been laid off if the employee had not been on a leave of absence, the employee may exercise seniority rights in accordance with and subject to the layoff, recall and break-in-service provisions of this Agreement.

Bargaining unit employees who have completed their first 12 months of employment and who have worked 1,250 hours in the preceding 12 month period shall thereafter be entitled to family and medical leave for a period of up to twelve (12) work weeks during any twelve (12) month period for any of the following reasons:

- (1) for the birth of an employee's child and to care for the newborn child;
- (2) for the placement with the employee of a child for adoption or foster care;

- (3) to care for the employee's spouse, child or parent with a serious health condition;
- (4) due to a serious health condition affecting the employee.

Such leave shall be without pay unless the employee determines to substitute accrued paid leave for which the employee is eligible. During any leave taken under this Article, the employee's health care coverage shall be maintained and paid for by the employer, as if the employee was working and seniority shall accrue.

Any employee desiring to take leave under this Section shall provide reasonable advance notice to the employer on a form provided by the employer, which form shall be approved by the Union. Reasonable advance notice shall be no less than ten (10) days; and where advance notice cannot be provided, the employee shall provide notice within 48 hours after the employee is able to do so. Failure to provide the notice provided for in this Section shall not affect the validity of the leave where the employer has actual notice. Except as may be specifically stated in this Agreement, employees shall take leave provided for as permitted by the provisions of the Family Medical Leave Act, including its rules and regulations. Employees shall have a right to return to their regular assignment and location.

(b) Medical Leaves. Non-probationary employees shall be granted medical leaves of absence upon request. Said medical leaves of absence shall be granted for up to 3 months, provided said leaves shall be renewable for like 3-month periods. The

Employer may request satisfactory proof of medical leaves of absence. After the first year, such medical leaves shall be extended in up to one-year segments. Employees on medical leaves of absence shall return to work promptly after their doctor releases them to return to work.

Employees who return from said medical leaves of absence promptly after their doctor's release within one year shall be reinstated to their former job classification if it is vacant or if it is then occupied by an employee of lower seniority. In addition, the Employer will return an employee to the same geographic location of his other previous job assignment for a period of up to one year after the start of the leave.

If the employee's former job is not available because the employee would have been laid off if the employee had not been on a leave of absence, the employee may exercise seniority rights in accordance with an subject to layoff, recall and break-in-service provisions in this agreement.

After one year on an approved medical leave of absence, employees who return to work promptly after their doctor's release and who meet the following continuous service requirements shall be reinstated as described above according to the following formula: three (3) months of reinstatement rights.

An employee who does not meet the above eligibility requirements and who returns to work promptly after his/her doctor's release after more than one year on a medical leave of absence, shall be returned to his/her former job classification

if the job is vacant. If not, the employee will be placed on a list for reinstatement.

Seniority shall accumulate for employees on medical leaves of absence for only up to one year. After one year, an employee on a medical leave of absence shall retain, but not accumulate, seniority.

(c) Union Leave. The Employer shall grant request for leaves of absence for up to 2 employees for the purpose of service as Representative or officer with the International State, District Council or Local Organization of the Union for the duration of his/her appointment to the Union, provided reasonable advance notice in writing is given to the employer. While on such leave the employee shall not incur a break in continuous service. An employee on said leave of absence shall not be eligible for any benefits as an employee.

Employees who return from Union leaves of absence shall have the same rights as employees who return from medical leaves of absence.

Union Pension Fund Trustees who have been duly elected and/or appointed to a City/Municipal Pension Fund (including but not limited to the Municipal and Laborers' Funds) shall be granted leave without loss of pay to attend Pension Fund Trustee meetings that take place during their regularly scheduled shift.

Section 11.7 Paid Parental Leave

An employee wishing to take paid parental leave must apply and be eligible for Family Medical Leave Act (FMLA) leave. An

employee is eligible for FMLA leave if he or she has been employed by the City for at least twelve (12) months before taking the leave and has worked at least 1250 hours during the 12-month period prior to the leave. Eligible employees may be granted the following paid parental leaves, in conjunction with and as part of an approved FMLA leave:

- Up to four (4) weeks paid maternity leave to a birth mother to recover from a non-surgical delivery; or
- Up to six (6) weeks paid maternity leave to a birth mother to recover from a C-section delivery; or
- Up to two (2) weeks paid parental leave for the birth of a child or children by an employee spouse or domestic partner of the birth mother; or
- Up to two (2) weeks paid parental leave for the adoption of a child or children by an employee or the spouse or domestic partner of the employee.

For eligible non-salaried employees compensation shall be based on the employee's scheduled work hours.

Paid parental leave may be combined with other earned paid time-off, such as vacation and/or sick time, to achieve the maximum amount of paid time off from work while taking FMLA leave. Notwithstanding any other provision of this agreement, paid parental leave shall be granted only as part of an approved

FMLA leave and may not be used as intermittent leave.

ARTICLE 12
DISCIPLINE AND GRIEVANCE/ARBITRATION

Section 12.1 Disciplinary Action

(a) Disciplinary action including discharge, shall be excluded from this grievance procedure. Suspensions over 10 days and discharges shall be governed exclusively by the City of Chicago's Personnel or Police Board Rules, whichever may be applicable. Notwithstanding the foregoing, suspensions of 11 days or more may be appealed to arbitration in lieu of the Personnel or Police Board upon the written request of the Union. Disciplinary cases which are converted from a discharge to a suspension as a result of decision of the Personnel or Police Board do not thereafter become arbitrable as a result of said decision. The grievance procedure provisions herein and the Personnel or Police Board appeals procedure are mutually exclusive, and no relief shall be available under both.

(b) An employee who is subject to disciplinary action for any impropriety or cause has the right to ask for and receive a Union representative to be present at any interrogations or hearings prior to being questioned. The interrogation shall take place at reasonable times and places and shall not commence until the Union representative arrives, provided that the Employer does not have to wait an unreasonable time and the Employer does not have to have the interrogation unduly delayed. An employee may be discharged for just cause before the Personnel or Police Board hearing, provided that said employee

shall be guaranteed, upon request, a full hearing before said Board, in accordance with the said Board's rules. It is further provided that in the event of non-egregious offenses, not to include violent acts, criminal acts, drinking alcohol or taking illegal drugs on the job, insubordination or work stoppages, the employee will be given 30 days advance notice of discharge, and has seven (7) days from receipt of the notice to appeal. If the employee does not file an appeal within the seven (7) day appeal period, the Employer may then remove the employee from the payroll. If the employee appeals the discharge, the Personnel Board shall be requested to set a hearing date within the 30-day notice period and the employee shall remain on the payroll for the full notice period, except if prior to completion of the 30-day notice period (1) the Hearing Officer affirms the discharge; or (2) the employee continues the discharge hearing; or (3) the employee withdraws his appeal or otherwise engages in conduct which delays the completion of the hearing. However, in no event may the employee require the employer to retain the employee on the payroll beyond the 30-day period. The Union shall have the right to have its representatives present at either of the Board(s) or the grievance procedure, including arbitration, and to actively participate.

(c) The Employer within its discretion may determine whether disciplinary action should be an oral warning, written reprimand, suspension or discharge, depending upon various factors, such as, but not limited to, the severity of the offense or the employee's prior record. Such discipline shall

be administered as soon as practical after the Employer has had a reasonable opportunity to fully investigate the matter and conduct a meeting with the Union and employee. The Employer is not obligated to meet with the employee and Union prior to taking disciplinary action where the employee is unavailable or in emergency situations.

Demotions shall not be used as a part of discipline. Transfer shall not be part of an employee's discipline.

In cases of oral warnings, the supervisor shall inform the employee that she/he is receiving an oral warning and the reasons therefore. For discipline other than oral warnings, the employee's immediate supervisor shall meet with the employee and notify him/her of the accusations against the employee and give the employee an opportunity to answer said accusations. Specifically, the supervisor shall tell the employee the names of witnesses, if any, and make available copies of pertinent documents the employee or Union is legally entitled to receive, to the extent then known and available. Employer's failure to satisfy this Section shall not in and of itself result in a reversal of the Employer's disciplinary action or cause the Employer to pay back pay to the employee.

In the event disciplinary action is taken, the employee and the Union shall be given, in writing, a statement of the reasons therefore. The employee shall initial a copy, noting receipt only, which shall be placed in the employee's file. The employee shall have the right to make a response in writing which shall become part of the employee's file.

Any record of discipline may be retained for a period of time not to exceed eighteen (18 months) and shall thereafter not be used as the basis of any further disciplinary action, unless a pattern of sustained infraction exists. A pattern shall be defined as at least two substantially similar offenses during said 18-month period.

In any disciplinary investigation of a non-egregious offense conducted by the investigative staff of the Office of Budget and Management, the Employer shall notify the employee who is the subject of the disciplinary investigation of the pendency of the investigation and its subject matter, within 30 calendar days of the Employer being made aware of the alleged rule violation. For the purposes of this Section, the term "non-egregious offense" shall not include indictable criminal offenses, gross insubordination, residency issues, or drug and alcohol violations. Thereafter, the employee shall be granted a predisciplinary hearing if requested within thirty (30) days. Any discipline given in violation of this notice provision shall be null and void.

In the event that a discharged employee appeals an adverse decision of the Personnel or Police Board to the Circuit Court of Cook County, or thereafter to the Appellate Court of Illinois, and the decision of the Personnel or Police Board is reversed or remanded resulting in restoration of the job, the Employer will pay the employee's reasonable attorney's fees which he or she has incurred in connection with the court proceeding, excluding fees incurred before the Personnel or

Police Board. The employee shall submit a post-appeal fee petition to the Employer, which shall be supported by full documentation of the work performed, the hours expended, and the rates paid by the employee. Should the parties be unable to agree on the proper amount of the fees to be paid to the employee, either party may refer the dispute to arbitration under the relevant provisions of this agreement.

Section 12.2 Procedure For Department Review of Disciplinary Action Including Suspension

Step 1 Within 5 working days after an employee receives written notice of any proposed disciplinary action, including a suspension for ten (10) days or less which is not appealable to the Personnel or Police Board, or in the case of suspensions of 11 or more days which may be appealed to arbitration in lieu of the Police or Personnel Board upon the written request of the Union, the Employer shall conduct a meeting with the union and employee. Discipline shall be administered as soon as possible after the employer has had a reasonable opportunity to further investigate the matter as appropriate. If disciplinary action is taken after the meeting or further investigation, the employer may request in writing to the department head for review of the said disciplinary action on a form provided by the Employer. Said request for review shall be in writing and submitted within three (3) working days of receipt of written notice of discipline. Said review form shall be printed on the back of or attached to the notice

or discipline together with instructions for appeal. The failure to submit a written request for review of disciplinary action within three (3) working days of receipt of notice of disciplinary action will preclude the employee's right to review.

Step 2 Within three (3) working days or any mutually agreed upon extension after the department head or designee receives the employee's request for review, the department head or designee shall conduct a meeting to review the suspension. Failure to conduct said meeting in three (3) days will result in automatic advancement to Step 3 and the Union shall so notify the Employer. At the meeting, the Department will give the basis for its action and the employee and union representative, if any, will be heard and provided the opportunity to ask questions. The department head or designee shall render a written decision within two (2) working days of the meeting, except where both parties agree a further investigation is required. The absence of such agreement or failure to decide and communicate such decision will result in automatic advancement to Step 4 and the Union shall so notify the Employer. A copy of such decision shall be sent to the employee and the Union.

Step 3 Where further investigation is agreed upon, a second meeting shall be held between the department head or designee and the employee and the Union representative to discuss the results of the investigation. Said meeting

shall be conducted within five (5) working days of the close of the Step 2 meeting, unless otherwise agreed by the parties. The department head or designee shall render a written decision within two (2) working days of the second meeting. A copy of such decision shall be sent to the employee and the Union. If the parties fail to meet within five (5) working days or a written decision is not submitted within two (2) working days, the appeal shall automatically proceed to Step 4 and the Union shall so notify the Employer. Except where otherwise indicated, the time limits set forth herein are to encourage the prompt reviews of said disciplinary action and failure to comply with these time limits will not affect the validity of the said disciplinary action. This procedure shall be the employee's exclusive remedy for all said disciplinary action, including suspension for ten (10) days or less, or for suspensions of 11 days through 30 days which may be appealed to arbitration in lieu of the Personnel or Police Board upon the written request of the Union.

Step 4 If the matter is not settled in Steps 2 or 3, the Union may submit the matter to arbitration under the terms of this Agreement. The rules governing procedures for arbitration shall be the same as in 12.3 Step III.

Section 12.3 Grievance and Arbitration

Except as in disciplinary provisions of Sections 12.1 and 12.2 above, a difference, complaint or dispute (hereinafter

called a grievance) between the Employer and the Union or any of the employees of the Employer it represents, arising out of the circumstances or conditions of employment, shall be exclusively settled in the following manner.

There shall be no interruption of the operation of the Employer. It is agreed that the time limitations set forth herein are of the essence and that no action or matter not in compliance therewith shall be considered the subject of a grievance unless said time limitations are extended by written agreement of both parties to this Agreement.

Failure of the Employer to answer a grievance within the time limits herein shall permit the Union to advance the case to the next step. The Union will be informed of and allowed to be in attendance at all grievance or disciplinary hearings. The Union shall send written notice to the Department Head notifying him/her of advancement to the next Step. Before a formal grievance is initiated, the employee may discuss the matter with his/her immediate supervisor. If the problem is not resolved in discussion, the following procedure shall be used to adjust the grievance:

Step I - Immediate Supervisor

- A. The employee or the Union shall put the grievance in writing on the form to be supplied by the Employer upon request, but in the absence of such a form,

employee or the Union may submit the grievance in letter form, within twelve working days of either the employee or the Union having knowledge of the event which gives rise to the grievance. The employee or the Union will indicate what Section and part of the Agreement is in violation, a brief description of the facts underlying the grievance, and the requested remedy, and submit the grievance to the employee's immediate supervisor. It is understood that if the employee has knowledge of the grievance more than twelve working days than the Union, the Union shall not thereafter file any grievance concerning that same issue with the Employer

- B. Within five (5) working days of the written grievance, the immediate supervisor will notify the employee and the Union in writing of the decision.

Step II

- A. If the grievance is not settled at Step I, the Union representative and/or the employee shall have the right to make an appeal in writing to the Department Head or the Department Head's designee within seven (7) working days after the date of receipt of the decision or the date it was due under Step I, by the immediate supervisor. The name of the Department

Head's designee shall be posted for employees in areas where employee notices are normally posted and submitted to the Union. Failure to post and so notify the Union will permit immediate advancement to arbitration unless corrected within two (2) working days of notice of failure to post.

- B. The Department Head or the Department Head's designee shall meet with the Union's representative at least once each month to discuss all pending grievances that have been advanced to Step II. The purpose of the Step II meeting will be for the Department and the Union to share relevant information and discuss their respective positions with respect to each grievance pending at Step II, and attempt to amicably resolve as many grievances as possible. The Department Head or the Department Head's designee shall have the requisite authority to resolve grievances during the Step II meeting. No grievances will be discussed at more than one Step II meeting, unless the City and the Union mutually agree that further meeting and discussion would be beneficial. Nothing in this paragraph shall be construed to relieve the City and the Union from their obligations to otherwise process

and respond to grievances in accordance with this Article.

- C. The Department Head or the Department Head's designee will notify the employee and Union in writing with a copy to the Union of his/her decision within seven (7) working days of the completion of the Step II meeting. The response to the grievance shall state the Department's position with respect to the grievance together with a brief statement of the facts and reason(s) supporting that position.
- D. Any settlement at Step I or II shall be binding upon the Employer, Union and the aggrieved employee or employees. Grievances may be withdrawn without prejudice at any step of the grievance procedure if mutually agreed.
- E. If the grievance is not settled at the second step, the Union or the Employer may request final and binding arbitration by serving written notice on the other within ten (10) working days from receipt of the Employer's Step II decision or the date it was due.
- F. If the grievance or arbitration affects more than one employee, it may be presented by a single selected employee representative of the group or class. A class action shall be identified to the Employer at

Step I or as soon as practicable. The resolution of a grievance filed on behalf of a group of employees shall be made applicable to all of the affected employees within that group.

- G. Even though a grievance has been filed, employees are obligated to follow instructions or orders of supervisors or the Employer, except where the instruction or order is so inherently dangerous to the employee that it could cause death or serious physical harm. The Employer agrees that by following instructions or orders the employee does not waive his/her right to process the grievance. Refusal to follow instructions or orders shall be cause for discipline.

Step III - Arbitration

If the matter is not settled in Step II the Union or the Employer, but not an individual employee or employees, may submit the dispute to arbitration by serving a written request to arbitrate to the designated representative from the Employer's operating department, with copies of the request to the designated law department representative and counsel for the Coalition Unions, setting forth the facts and specific relief requested, within ten (10) working days after the answer is given or due at Step II hereof.

Within five (5) days of serving the request for arbitration, or as soon thereafter as the parties mutually may agree, the Union shall have the right to convene a meeting with the Employer's designated representative in an attempt to resolve the grievance prior to any further action being taken to advance the matter to arbitration. At such meeting, the Union shall set forth in writing the facts of the matter in dispute and the relief requested. The Employer will respond to the grievance in writing by giving the reasons which it contends support its position with respect to the grievance. In the event the parties are unable at such meeting to resolve the grievance, the Union and the Employer will proceed with the selection of an arbitrator as provided below.

Either party may submit the grievance to arbitration by serving a written request to arbitrate to the Federal Mediation and Conciliation Service under the rules of that tribunal with a copy to the other party. The foregoing shall not prevent the Employer and Union from mutually agreeing to the selection of an arbitrator. The panel of arbitrators submitted must agree as a whole to commencement of a hearing within sixty (60) days of selection and that they will render a decision within thirty (30) days of the close of hearing. Any extension of those time limits must be by written consent of the Union and the Employer. The failure of either side to agree to an extension of time

shall not be disclosed to the arbitrator. Arbitrators will advise the parties of their fees and expenses prior to selection and such fees and expenses shall be borne equally between the Union and the Employer. The arbitrator shall have the right to subpoena witnesses and require the production of pertinent documents at the request of either party. Each party shall be responsible for compensating its own representative and witnesses. The cost of a transcript shall be borne by the party requesting the reporter unless the parties agree to share such costs. An arbitrable matter must involve the meaning and application or interpretation of a specific provision of this Agreement or a document incorporated by reference thereto. The provisions of this Agreement and any other document incorporated by reference in this agreement shall be the sole source of any rights which either party may assert in arbitration. Questions of arbitrability shall be decided by the arbitrator. The arbitrator shall have no power to amend, add to, subtract from, or change the terms of this Agreement, and shall be authorized only to interpret the existing provisions of this Agreement and apply them to the specific facts of the grievance or dispute. The decision of the arbitrator shall be based wholly on the evidence and arguments presented to him by the parties in the presence of each other. No arbitration hearing shall be held unless both parties are present. The decision of the arbitrator

shall be final and binding on all parties to the dispute, including the employee or employees involved. Where timeliness is in dispute, it shall be decided by the arbitrator.

A. Advanced Grievance Step Filing

Certain issues which by nature are not capable of being settled at a preliminary step of the grievance procedure, or which would become moot due to the length of time necessary to exhaust the grievance steps, or which the Union believes which would be resolved more expeditiously, may be filed at the option of the Union at Step II or Step III.

B. Pertinent Witnesses And Information

The Union may request the production of specific documentation, books, papers or witnesses reasonably available from the Employer and substantially pertinent to the grievance under consideration. Such request shall not be unreasonably denied, and if granted shall be in conformance with applicable laws and rules issued pursuant thereto governing the dissemination of such materials. A Union representative, a grievant, and Union steward will be permitted a reasonable amount of time without loss of pay during working hours to investigate and process grievances where this does not substantially interfere with the efficient operation of the Department, provided that representatives shall observe the Employer's reasonable visitation rules for Union

representatives. The steward shall notify his/her immediate supervisor for permission to handle grievances on work time, it being understood that the operation of the Department takes precedence unless there is an emergency, but such permission shall not be denied unreasonably. A reasonable number of employees may attend the meeting without loss of pay; such meetings shall be set by mutual agreement by the Employer and the Union. Where the Employer directs an employee to report for a meeting concerning a grievance at a time when the employee is not scheduled to work such time shall be considered time worked. If there is space available, the Employer, upon request of the Union representative, shall provide the use of a room and telephone, to discuss the grievance, subject to the Employer's reasonable rules for the Union's use of such facilities.

C. Expedited Arbitration

The Employer and the Union may mutually agree to submit any grievance to expedited arbitration. Pursuant to expedited arbitration, the parties shall mutually select an arbitrator from a group of arbitrators approved by the parties. The expedited arbitration hearing shall be scheduled as early as possible from the date the parties agreed to submit the grievance to expedited arbitration. The parties agree to waive the stenographic recording of the hearing and the filing of post-hearing briefs. Pursuant to the parties' agreement, the

arbitrator shall issue either an oral decision at the close of the hearing or a written decision within twenty (20) days of the date of the hearing. The arbitrator's decision shall be final and binding on all parties to the dispute.

D. MANAGEMENT OF ARBITRATION DOCKET

A representative from the Employer's law department and counsel for the Coalition Unions shall meet at least quarterly, or more frequently as necessary, in order to discuss the scheduling of specific cases for available hearing dates. At these meetings, the parties shall designate at least one pre-established hearing date per month for the arbitration of grievances.

Section 12.4 Conduct of Disciplinary Investigations

Supplementing all rights and processes due employees covered by this Agreement who may be the subject of a disciplinary investigation by the Inspector General, the interview will be conducted in the following manner:

- A. The interview of the employee shall be scheduled at a reasonable time, preferably while the employee is on duty, or if feasible, during day shift hours.
- B. The interview, depending upon the allegation, will take place at the employee's location of assignment, normal department location or other appropriate location.

- C. Prior to an interview, the employee under investigation shall be informed of the person in charge of the investigation, the identity of the interviewer and all persons present during the interview. When a formal statement is being taken, all questions directed to the employee shall be asked by and through one interviewer at a time.
- D. The length of the interview sessions will be reasonable, with reasonable interruptions permitted for personal necessities.
- E. At the beginning of the interview, the employee shall be informed of the nature of the matters to be discussed.
- F. An employee under investigation shall not be threatened with transfer, dismissal or disciplinary action, or promised a reward, as an inducement to provide information relating to the matter under investigation, or for exercising any rights contained in this Agreement, provided, however, that this Section shall not prohibit or prevent an accurate reading of the employee's administrative rights, or the imposition of discipline in accordance therewith.

- G. An employee under investigation will be provided without unreasonable delay with a copy of any written statement the employee has made.
- H. (1) If the allegation under investigation indicates a recommendation for discipline is probable against the employee, said employee will be given the statutory administrative proceedings rights prior to the commencement of the interview. (2) If the allegation indicates that criminal prosecution may be probable against said employee, the provisions of this Section shall be inapplicable and said employee will be afforded his constitutional rights concerning self-incrimination prior to the commencement of the interview. An employee will not be read his/her administrative and Miranda rights during the same interview.
- I. At the request of the employee under investigation, an employee who may be subject to discipline shall have the right to be represented in the interview by a representative of the Union. The employee shall be told that he/she has the right to Union representation before commencement of the interview. The interrogation shall be suspended until representation can be obtained, provided the suspension is not for an

unreasonable time and the Employer does not have the interview unduly delayed.

- J. The Employer shall not compel an employee under investigation to speak or testify before, or to be questioned by, any non-governmental agency relating to any matter or issue under investigation.
- K. The results of a polygraph examination shall not be used against an employee in any forum adverse to the employee's interests. The Employer will not require a polygraph examination if it is illegal to do so. If an employee is asked to take a polygraph examination, he/she will be advised in writing 24 hours prior to the administration of the examination. The results of any polygraph examination shall be known to the employee within one week.
- L. This Section shall not apply to employee witnesses.
- M. The identity of an employee under investigation shall not be made available to the media during the course of an investigation until charges are filed by the Employer and the employee has the opportunity to respond thereto. If an employee is exonerated after the City initially informed the media of the charges against the employee, the City will make that fact available to the media where the employee requests it.

N. In the event that disciplinary action is taken against an employee, any allegations of violations of this Section shall be heard in connection with, and in the same forum as, grievances which protest said disciplinary action, except as provided in paragraph O(2) below. If no disciplinary action is brought against the employee following the conclusion of the Inspector General's investigation, no grievance concerning the conduct of the investigation shall exist.

O. (1) Any evidence or information including employee statements that is obtained in violation of the rights enumerated in this Section, shall be suppressed and shall not be used by the Employer for any disciplinary action against the employee, or in the case of promotions or transfers.

(2)(a) Notwithstanding the provisions of paragraph N above, at the option of the Union, a claim that the Inspector General has violated the provisions of this Section may be raised in a suppression hearing before a member of the permanent hearing panel listed herein, rather than in the disciplinary hearing as required in paragraph N above.

(2) (b) (1) The Union may exercise this option by notifying the employee's Department Head and the Employer's Law Department in writing not later than ten (10) calendar days before an arbitration or the Personnel or Police Board hearing, in accordance with the foregoing provisions of this Agreement. The appeal shall specify the particular contract provisions allegedly violated, together with a factual summary of the conduct alleged to have violated the Agreement. It is understood that by exercising this option, any and all time limits set forth in Chapter 2-74-060 of the Municipal Code of the City of Chicago regarding the Personnel Board hearing shall be tolled until the arbitrator renders a decision as provided below.

(2) Upon receipt of said notice, the parties will select in order of rotation one of the three permanent hearing panel members who are chosen as follows. To be eligible for service on this panel, members must be willing to convene a suppression hearing within thirty (30) days of receiving notice of his or her selection. To select the initial panel, or should any member of the panel resign or be removed upon mutual agreement of the parties during the life of this Agreement, the parties will meet to reach

agreement on new panel member who must be an arbitrator listed with the Federal Mediation and Conciliation Service. If no agreement can be reached, the Employer will request a panel of seven (7) arbitrators from FMCS, all of whom must be members of the National Academy of Arbitrators. Thereafter, the parties will meet to strike names from the list, with the Employer striking first, until one name remains, which person shall be named to the panel.

2(c) The suppression hearing shall be convened within thirty (30) calendar days of the selection of the panel member, or at such other time as the parties may mutually agree. The arbitrator's jurisdiction shall be limited to determining if the Inspector General obtained evidence or statements in violation of paragraph O(1) above, and if such evidence should be suppressed. The arbitrator shall have no authority to rule on the merits of any underlying discipline or take any other action beyond that specifically set forth in this subparagraph.

2(d) The panel member shall render an expedited decision which shall be final and binding upon the parties. It shall not be subject to collateral

attack in any further disciplinary proceeding involving the employee in question.

- P. Notwithstanding any other provision in this Section to the contrary, no interview by the Inspector General will be conducted at a police station or other correctional facility unless the employee works at the police station or correctional facility, or if the employee has been incarcerated for more than 72 hours.

ARTICLE 13
NO STRIKES - NO LOCKOUT

Section 13.1 No Strikes

The Union agrees that during the life of this Agreement, there shall be no strikes (including, but not limited to sympathy strikes and strikes to protect union or third party conduct), work stoppages, slowdowns, picketing, delays of work of any kind.

Section 13.2 Union Efforts

The Union agrees that it will use its best efforts to prevent any acts forbidden in this Article and that in the event any such acts take place or are engaged in by any employee or group of employees in the Union's bargaining unit, the Union further agrees it will use its best efforts to cause an immediate cessation thereof. If the Union immediately takes all necessary steps in good faith to end any stoppages, strikes, picketing, intentional slowdown or suspension of work, including: (a) publicly disclaiming such action as not called or

sanctioned by the Union, and (b) posting notices in conspicuous places which notify involved employees that the action was not called or sanctioned by the Union, in addition to instructing employees to immediately cease such activity, the Employer agrees that it will not bring action against the Union to establish responsibility for such unauthorized conduct.

Section 13.3 Discipline

The Employer may terminate the employment of or otherwise discipline any employee or employees who have been found to have engaged in any act forbidden in this Article.

Section 13.4 No Lockout

The Employer will not lock out bargaining unit employees during the term of this Agreement.

ARTICLE 14
DUES CHECK-OFF AND FAIR SHARE

Section 14.1 Indemnification/Authorization

The Employer, upon receipt of a validly executed written authorization card, shall deduct Union dues and initiation fees from the payroll checks of all employees so authorizing the deduction in an amount certified by the Union, and shall remit such deductions on a semi-monthly basis to the Union. Authorization for such deduction shall be irrevocable unless revoked by written notice to the Employer and the Union during the fifteen (15) day period prior to the expiration of this Agreement. The Union shall indemnify, defend and hold the Employer harmless against any and all claims, demands, suits or other forms of liability, including damages, attorney's fees and

court and other costs, that shall arise out of, or by reason of action taken or not taken by the Employer for the purpose of complying with Sections 14.1, 14.2, 14.3, and 14.4 of this Article, or in reliance on any list, notice, certification or assignment furnished under any such provisions or in reliance upon employee payroll deduction authorization cards submitted by the Union to the Employer.

The City and Coalition Unions will create a committee to review and explore the feasibility of using electronic records and/or electronic signatures consistent with state and federal law which allows the City and the Union to use electronic authorization to verify union membership and/or authorize voluntary deduction of Union dues and fees from wages or payments for remittance to the Union.

Section 14.2 Fair Share

It is further agreed that 30 days after the later of the execution of this Agreement or the employee's date of hire, the Employer shall deduct from the earnings of employees who are not members of the Union, a monthly amount as certified by the Union and shall remit such deductions to the Union at the same time that the dues check-off is remitted. It is understood that the amount of deduction from said non-member bargaining unit employees will not exceed the regular monthly union dues and represents the employee's fair share cost of the collective bargaining process, contract administration and pursuing matters affecting wages, hours and other conditions of employment.

Section 14.3 Right of Non-Association

Nothing in this Agreement shall be inconsistent with Section 6(g) of the Illinois Public Labor Relations Act in protecting the right of non-association of employees based upon the bona fide religious tenets or teachings of a Church or other religious body of which such employees are members.

Section 14.4 Condition of Employment

Each employee who on the effective date of this Agreement is a member of the Union, and each employee who becomes a member after that date, shall, as a condition of employment, maintain his/her membership in the Union during the term of this Agreement. Any present employee who is not a member of the Union shall, as a condition of employment, be required to pay a fair share (not to exceed the amount of Union dues) of the cost of the collective bargaining process and contract administration. All employees hired on or after the effective date of this Agreement and who have not made application for membership shall be required, 30 days after the later of the execution of this Agreement or their hire date, to pay a fair share of the cost of the collective bargaining process and contract administration and pursuing matters affecting wages, hours and other conditions of employment.

ARTICLE 15
MISCELLANEOUS

Section 15.1 Job Titles

The Employer will notify the Union of any change in job title. If the Employer makes any substantial change in job duties it will discuss such changes with the Union prior

thereto. If the Employer changes a job title without substantially changing the duties of the job, the Union will retain its existing jurisdiction over the new job title. The Employer will not permanently assign bargaining unit work to the jurisdiction of another bargaining unit without the mutual agreement of the unions involved.

Section 15.2 Traditional Work

Any work which has been traditionally performed by employees who are represented by the Union shall continue to be performed by said employees, except where non-unit employees have in the past performed unit work, or in emergencies, to train or instruct employees, to do layout, demonstration, experimental, or testing duties, to do troubleshooting or where special knowledge is required, provided however, where employees do not report to work because of vacations, or other absences or tardiness, or for personal reasons during the course of the day, or because all of the employees are or will be occupied with assigned duties, or to complete a rush assignment, employees of any other unit represented by another union shall not perform the work of said employees. For example, if an Operating Engineer is on vacation, an Electrician shall not be assigned as a replacement Operating Engineer. The Employer shall not arbitrarily extend the period of any emergency beyond the need for that emergency.

Notwithstanding the foregoing, it is understood that it shall not be a violation of this Agreement if the following functions are performed by members of management, regardless of

whether they are also performed by the bargaining unit: (a) crew assignment and scheduling; (b) work inspection; (c) discipline; (d) ordering of equipment and materials from vendors. Nothing herein shall deprive members of the bargaining unit of the right to perform historical and traditional unit work; nor shall the City lay-off a bargaining unit employee for the purpose of replacing that person with a member of management.

Section 15.3 Jurisdictional Disputes

In the event that the Union files a grievance claiming that the Employer has violated the terms of this Agreement by assigning certain work to City employees represented by another union, or where the Employer receives a grievance from another union protesting the assignment of work to employees covered under this Agreement, the Employer shall serve written notice to the Union, and on the other affected union(s), of the existence of said dispute. This notice shall describe the nature of the work in dispute.

In the event this dispute remains unresolved and is submitted to arbitration, the provisions of Article 12.3 herein regarding arbitration of grievances shall apply, except that in addition to the Employer and the Union, the other affected union(s) shall have the opportunity to participate in the hearing and to present evidence, but shall not be bound to the results of that arbitration unless all parties so agree in advance of the hearing.

If the Union shall prevail in said arbitration and is awarded the work in dispute, and if, in that event, the other

affected union(s) shall pursue a claim against the Employer that the reassignment of the work in dispute violates the Agreement of that other union, the provisions of this Section shall apply to that claim as well. All parties to the dispute shall have the right to participate in any arbitration hearing of that claim and to present evidence therein. Should the arbitrator in the second proceeding determine that the Employer's reassignment of the work in dispute violates the other union(s)' Agreement, thereby requiring the Employer to comply with two conflicting arbitration decisions as to which of the unions is entitled to perform the disputed work, the following provisions shall apply.

The Employer shall have the right to invoke arbitration of the dispute under the provisions of the grievance and arbitration procedures contained in Article 12.3 of this Agreement, except that the Union and the other affected union(s) shall select the arbitrator. The Employer, the Union and the other affected union(s) shall be parties to that proceeding, and shall have the right to fully participate in the hearing. During the pendency of this proceeding, the work assignment directed by the first arbitrator shall be followed by the parties. The arbitrator shall have the authority to decide only which of the two conflicting awards shall prevail. The arbitrator's decision shall be based solely upon the prior arbitration awards, the record before both prior arbitrators, and the traditional work and other relevant provisions of this Agreement and of the collective bargaining agreement of the affected union(s). No other evidence or testimony shall be

admitted in that hearing. The decision of the arbitrator in this proceeding shall be final and binding upon all parties to the dispute, and none of the parties to the dispute shall seek review of that award in any other judicial or administrative forum.

Nothing herein shall preclude all parties to the dispute from voluntarily resolving it at any time.

Section 15.4 Deferred Compensation

The Employer's policy which is in effect at the execution of this Agreement, pertaining to deferred compensation, shall be afforded to all employees of the Employer without change during the term of this Agreement.

The Employer will make contributions, on a dollar-for-dollar basis, under a 401(a) Plan (or any similar successor plan agreed to by Coalition Unions) up to the maximum total amounts per year shown below based on amounts deferred by each employee in those same years to the employee's 457 Plan, as follows:

- a. January 1, 2020 - up to \$250 per year
- b. January 1, 2021 - up to \$250 per year
- c. January 1, 2022 - up to \$500 per year

The City shall advise the unions, at least semi-annually, of the total contributions it has made.

The City agrees to provide representatives from Coalition Unions information, such as the claims experience from the City retiree health plans, and other relevant data/information so that Coalition Unions can explore the feasibility of establishing their own retiree health plan separate and

independent from the City's plans that could cover certain City retirees in the future. Such information to be provided will include, but not be limited to, a census for the current non-Medicare retirees including the birthdate, gender, coverage tier (single, couple, family, etc.), and zip code of residence, as well as the claims information and enrollment counts for the last three (3) years. The Unions agree to execute any appropriate confidentiality agreements necessary for the release of such information. The parties understand and agree that the identity of any specific individual will not be ascertainable from the information supplied.

Section 15.5 Rules of Conduct Changes

When the Employer proposes to initiate reasonable changes or additions to its rules of conduct, which could subject Employees to discipline, the Employer shall transmit four (4) copies of the proposed changes or additions to the Union. The Union will consider the proposals, and upon request, the Employer will meet with the Union within twenty (20) calendar days of the receipt of the proposals to receive the Union's comments. Absent an emergency, the Employer will not implement its proposed changes or additions until the Union has had a reasonable opportunity to present its views and discuss the proposals with the Employer. No such changes or additions shall be implemented without prior publication and notice to the affected Employees.

Section 15.6 Safety

(a) The Employer shall provide a safe and healthful working environment for employees covered by this agreement including in accordance with applicable federal and state occupational safety and health laws, and shall maintain in good and safe working condition all equipment necessary for the safe and proper performance of the job.

(b) In furtherance of those efforts, a joint safety committee shall be established which shall be composed of six (6) representatives of the Union Coalition and six (6) representatives of the Employer. The purpose of the committee shall be to discuss, examine and to make recommendations concerning occupational safety and health issues affecting employees. All recommendations of the committee with respect to safety and health issues shall be submitted in writing to the appropriate Department Head with a copy to the Union and the Director of Labor Relations. The Department Head shall promptly issue a written response to the committee concerning the Department's views regarding the committee's recommendations.

The parties may decide, from time to time, to refer certain safety issues and concerns to the personnel of the affected Department(s) responsible for safety matters and Union representatives of the affected employee(s). The Department safety personnel will meet and confer with a representative of the affected Union about such issues and report back to the Committee on any decisions or recommendations concerning them.

(c) The joint safety committee shall meet at least once a month, or otherwise by mutual agreement.

(d) The parties agree and understand that if an employee is faced with an unsafe working condition, the employee is required to perform the task in question unless the employee's performance of an assigned task presented the strong likelihood of subjecting the employee to imminent danger of death or serious injury. If the employee, with no reasonable alternative, refuses in good faith to perform that task and expose himself to that dangerous condition, the employee will not be subject to discipline. In order to avoid discipline under this paragraph, the condition must be of such a nature that a reasonable person, under the circumstances, would conclude that there is a real, substantial, and imminent danger of death or serious injury. In addition, the employee must also have sought from the Employer, and have been unable to obtain, correction of the situation before refusing to perform the task in question.

Section 15.7 Automobile Reimbursement

Employees who are required by the Employer to use their own automobiles in the performance of their job shall receive mileage reimbursement at the then effective rate recognized by the Internal Revenue Service, with a maximum of \$250 per month. On the effective date of this Agreement, following its ratification by all parties, the maximum reimbursement will increase to \$350.00 per month. Effective February 1, 2008, the maximum reimbursement will increase to \$450.00 per month. Effective February 1, 2009, the maximum reimbursement will

increase to \$550.00 per month. Thereafter, the maximum reimbursement will increase effective each February 1 by the percentage increase in the Transportation Expenditure Category of the Consumer Price Index for All Urban Consumers (CPI-U): U.S. City Average for the previous year, as rounded to the nearest \$5 increment. Employees seeking mileage reimbursement must submit that request on a form provided by the Employer. Payment for mileage expenses will be made on a monthly basis. In the event that during the life of this Agreement the Employer shall implement for any group of employees an automobile expense reimbursement program which is more favorable to employees than the provisions of this paragraph, upon notice from the Union, the Employer will meet and discuss with representatives of the Union the possible application of said new program to employees covered by this Agreement.

Upon request by either party made no earlier than January 1, 2010, the parties shall meet to discuss any proposed changes to this Section 15.7.

Section 15.8 Bulletin Boards

The Union shall have the right to bulletin board space at locations where they can be conveniently seen and read by affected employees. The Union shall have the right to post notices concerning Union business on the bulletin boards.

Section 15.9 Information to Union

The Employer will provide to the Union **Bargaining Unit**, **Dues and Hours reports for its bargaining unit employees**, which will contain, at the minimum, the following information:

- Payroll period
- Payroll Number
- Employee number
- Name
- Title code
- Overtime dollar amount per pay period
- Gross pay per pay period
- Contact information (i.e. address, phone, email, if available)
- Date of hire and continuous service date
- Base hourly rate

This information will be sent or provided to the Union electronically following the conclusion of each pay period. To ensure the safety and security of the information the City will make a secured transfer protocol (SFTP) available to the Union to receive the information.

Section 15.10 Negotiating Team

Employees designated as being on the Union's negotiating team who are scheduled to work on a day on which negotiations will occur, shall, for the purpose of attending scheduled negotiations, be excused from their regular duties without loss of regular straight time pay.

Section 15.11 Labor-Management Committee

For the purpose of conferring on matters of mutual interest which are not appropriate for consideration under the grievance procedure, the Union and the Employer agree to meet periodically through designated representatives at the request of either party and at mutually agreed upon times and locations. The Union and Employer shall each designate not more than five (5) representatives to a labor-management committee for this purpose. The Director of Labor Relations shall be sent a written agenda by the Union for any meeting 7 days prior to said meeting.

Section 15.12 Just Cause Standard

No non-probationary employee covered by this Agreement shall be discharged or disciplined without just cause.

Section 15.13 File Inspection

The Employer's personnel files and disciplinary history files relating to any employee, upon due notice, shall be open and available for inspection by the affected employee during regular business hours except for information which the Employer deems confidential.

Section 15.14 Limitation on Use of File Material

It is agreed that any material and/or matter not available for inspection, as provided for in Section 15.13 above, shall not be used in any manner or any forum adverse to the employee's interests.

Section 15.15 Use and Destruction of File Material

A. Police Department

Disciplinary Investigation files, other than Police Board cases, will be destroyed five (5) years after the date of the incident or the date upon which the violation is discovered, whichever is longer, unless the investigation relates to a matter which has been subject to either civil or criminal court litigation prior to the expiration of the five year period. In such instances, the Complaint Register case files normally will be destroyed five years after the date of the final court adjudication, unless a pattern of sustained infractions exists.

B. All Departments

Any information of an adverse employment nature which is unfounded, exonerated or otherwise not sustained, shall not be used against the employee in any future proceedings.

Section 15.16 Engineer Trainee Program

Effective June 26, 1992 the Employer and the Union entered into an Agreement for the employment and training of persons in an "Engineers Trainee Program." This Agreement, Exhibit "1," is appended to, and made a part of this contract.

Section 15.17 Fitness for Duty

If the Employer observes and has reasonable cause to believe the employee has a medical condition or significant limitation that prevents the employee from being able to perform

the essential duties of the position, an employee may be sent for a Fitness for Duty examination at the Employer's sole expense.

If the examination results in a determination that the employee is unfit for duty and the employee's physician certified that the employee is fit for duty, the employee may elect or Employer may require the employee, at the Employer's sole expense, to be subject to an independent medical examination ("IME") to determine if the employee is fit for duty. The Union shall select an examining independent physician who will conduct the IME from a list of at least three physicians with the appropriate medical specialty who have successfully completed the City's procurement process and are on a list of approved vendors. The determination of the independent physician and the IME shall be final and binding. The Employee shall execute all HIPAA waivers and other forms necessary in order to permit access to all medical records related to his/her condition, and the City shall agree to secure and maintain the confidential nature of all medical records obtained through the process.

ARTICLE 16
SEPARABILITY

Section 16.1

In the event any provisions of this Agreement shall be or become invalid or unenforceable by reason of any **final and**

binding court decision, as well as any Federal or State Law or Local Ordinance now existing or hereinafter enacted, such invalidity or unenforceability shall not affect the remainder of the provisions hereof, which shall remain in full force and effect. The invalid or unenforceable provision shall be subject to re-negotiation by the Parties within a reasonable period of time.

ARTICLE 17
NON-DISCRIMINATION

Section 17.1 Equal Employment Opportunities

The Union agrees to work cooperatively with the Employer to insure equal employment opportunities as required by law in all aspects of the Employer's personnel policies.

Section 17.2 No Discrimination

Neither the Employer nor the Union shall discriminate against any employee covered by this Agreement in a manner which would violate any applicable laws because of race, color, religion, national origin, age, sex, marital status, mental and/or physical handicap or activity on behalf of the Union.

Section 17.3

Grievances by employees alleging violations of this Article shall be resolved through Step II of the Grievance procedure of this Agreement, but shall not be subject to arbitration unless mutually agreed by the parties.

Section 17.4 Reasonable Accommodation

In the event the Employer shall be required to make a reasonable accommodation under the Americans With Disabilities

Act ("ADA") to the disability of an applicant or incumbent employee that may be in conflict with the rights of an employee under this Agreement, the Employer shall bring this matter to the attention of the Union. The provisions of Article 12 of this Agreement shall be available, and the Arbitrator may balance the Employer's obligations under the ADA and this Agreement and the employee's rights under this Agreement, provided that no incumbent employee shall be displaced by such decision of the Arbitrator.

ARTICLE 18
UNION REPRESENTATIVE

Section 18.1 Representatives

The Union will advise the Employer in writing, of the names of its Representatives and shall notify the Employer promptly of any changes. A designated representative shall be allowed time off at the employee's regular rate of pay to attend meetings if agreed to by the Employer, scheduled by the Employer or mandated by this Agreement.

Representatives will be permitted to handle and process grievances referred by employees at the appropriate steps of the grievance procedure during normal hours, without the loss of pay, provided that such activity shall not exceed a reasonable period of time, or unreasonably interrupt the work of employees. Representatives shall notify their immediate supervisors in advance of their intention to handle and process grievances. Supervisors may not unreasonably withhold permission to the Representatives to engage in such activities.

Employees acting as Representatives shall not be discriminated against nor be transferred from their job classifications or departments because of their activities on behalf of the Union. Any transfers of Representatives from their job classifications or departments, other than in an emergency, will be discussed with the Union in advance of any such transfers.

Section 18.2 Union Rights

The Union shall have the right and responsibility to represent the interests of all employees in the Unit, to present its views to the City on matters of concern, either orally or in writing, and to consult and be consulted with, in respect to the formulation, development and implementation of policies and programs affecting working conditions.

Section 18.3 Right of Access

Duly authorized Officials of the Union will be permitted during normal working hours, to enter Employer facilities for purposes of handling grievances or observing conditions under which employees are working. The Union will not abuse this privilege, and such right of entry shall be consistent with current practices, and shall at all times be conducted in a manner so as not to interfere with normal operations. The Employer may be able to change or set rules of access, provided that any change in current practices must be reasonable and subject to the grievance procedure.

ARTICLE 19
DRUG AND ALCOHOL PROGRAM

Section 19.1 Policy Statement

The City of Chicago's essential mission is to provide services to its citizens in a safe and economic manner. The parties to this Agreement recognize that drug and alcohol abuse in the workplace has a deleterious effect on the healthy and safety of employees, as well as their morale and productivity, all of which creates an undue burden on the persons which the City and employees covered by this Agreement serve. Furthermore, the economic cost of providing health care services to employees who abuse drugs and alcohol has put an increasing burden on the City's finances.

The Employer and the Union maintain a strong commitment to protect people and property, and to provide a safe working environment. To this end, the employer has also established its confidential Employee Assistance Program for employees with personal problems, including alcohol and substance abuse, and the parties to this Agreement urge employees who have such problems to utilize the Program's services.

To maintain a workplace which provides a safe and healthy work environment for all employees, the following drug and alcohol program is also established.

Section 19.2 Definitions

- (a) Alcohol: Ethyl alcohol
- (b) Prohibited Items and Substances: All illegal drugs and controlled substances, alcoholic beverages, and drug

paraphernalia in the possession of, or being used by, an employee on the job or the premises of the Employer.

(c) Employer Premises: All property, facilities, land, buildings, structures, automobiles, trucks and other vehicles owned, leased or used by the Employer as job sites or work locations and over which the Employer has authority as employer.

(d) Employee: All persons covered by this Agreement.

(e) Accident: an event resulting in injury to a person requiring medical attention or causing significant damage to property to which an employee contributed as a direct or indirect cause.

(f) Reasonable Cause: Erratic or unusual behavior by an employee, including but not limited to noticeable imbalance, incoherence and disorientation, which would lead a person of ordinary sensibilities to conclude that the employee is under the influence of drugs and/or alcohol.

(g) Under the Influence: Any mental, emotional, sensory or physical impairment due to the use of drugs or alcohol.

(h) Test: The taking and analysis of any body component sample, whether by blood, breath, urine, or in any other scientifically reliable manner, for the purpose of identifying, measuring or quantifying the presence or absence of drugs, alcohol or any metabolite thereof.

Section 19.3 Disciplinary Action

(a) All employees must report to work in a physical condition that will enable them to perform their jobs in a safe manner. Further, employees shall not use, possess, dispense or

receive prohibited items or substances on or at the Employer's premises, nor shall they report to work under the influence of drugs and/or alcohol.

(b) When, based upon the direct observation of two supervisors, the Employer has reasonable cause to believe that an employee is under the influence of a prohibited substance, the Employer shall have the right to subject that employee to a drug and alcohol test. At the Employer's discretion, the employee may be placed on administrative leave with pay until test results are available. If the test results prove negative the employee shall be reinstated. In all other cases, the Employer will terminate all employees who:

- (i) test positive for drug and/or alcohol use;
- (ii) refuse to cooperate with testing procedures;
- (iii) are found to be under the influence of drugs or alcohol while on duty and on the Employer's premises;
- (iv) are found in possession of alcohol, drugs or drug paraphernalia, or are found selling or distributing drugs or drug paraphernalia, on the Employer's premises.

(c) All adverse employment action taken against an employee under this program shall be subject to the grievance and arbitration procedures of this Agreement.

Section 19.4 Drug and Alcohol Testing

(a) The Employer may require drug and/or alcohol testing under the following conditions:

(i) a test may be administered in the event that two superiors have reasonable cause to believe that an employee has reported to work under the influence of or is at work under the influence of drugs or alcohol.

(ii) a test may be required if an employee is involved in a workplace accident or fighting;

(iii) a test may be required as part of a follow-up to counseling or rehabilitation for substance abuse for up to a one year period.

(b) Employees to be tested will be required to sign a consent form and chain of custody form, assuring proper documentation and accuracy. If an employee refuses to sign a consent form authorizing the test, he or she will be subject to termination.

(c) Drug and alcohol testing will be conducted by an independent laboratory accredited by the NIDA or any successor agency, and may consist of either blood or urine tests, or both. The Employer reserves the right to utilize a breathalyzer to test for the presence of alcohol, in lieu of other clinical testing.

(d) Laboratory testing procedures will conform to the procedures specified in the NIDA guidelines for federal workplace drug testing programs, dated April 11, 1988 and as may be amended hereafter by the relevant agency of the Department of Health and Human Services.

(e) Initial and confirmatory test results which meet or exceed the cutoff levels for drugs set forth in the NIDA guidelines (and as they may be amended) shall be regarded as "positive," and shall presumptively establish that the tested employee was under the influence of drugs.

(f) Initial and confirmatory (or breathalyzer) test results which meet or exceed the level of blood alcohol established in the Illinois Motor Vehicle Act as legal intoxication shall presumptively establish that the tested employee was under the influence of alcohol.

(g) The cost of initial and confirmatory testing will be borne by the Employer.

(h) Drug and alcohol test results shall be reported to the Commissioner of Personnel or his designee in the manner to be prescribed by the Commissioner. The applicant or incumbent shall be notified of the test results in writing. The Commissioner will inform the applicable department head of any employee who tests positive for alcohol or drugs, who in turn will initiate disciplinary proceeding under Section 19.3 above.

(i) All urine or blood samples shall be taken in sufficient quantity as to allow for retesting. Any portion not used in the test will be preserved by scientifically reliable means for one (1) year following the test. Any employee whose test result is positive may elect, at his or her expense, to be retested by the same or other laboratory satisfactory to the Commissioner of Personnel, provided that the Employer's testing laboratory shall arrange for transmitting said sample to the

second laboratory. Positive results of said retesting shall be conclusive as to the presence of alcohol or drugs. The failure to take a sufficient sample, or to preserve such sample, to allow for retesting, shall not affect the removal from eligibility of an applicant or personnel action, including discharge, or any employee.

(j) No laboratory report or test results shall appear in the incumbent's personnel file unless they are part of a personnel action under this program, but shall be placed in a special locked filed maintained by the Commissioner of Personnel, except as such disclosure may be required by this policy, law or ordinance.

Section 19.5 Employee Assistance Program

Employees are encouraged to seek help for a drug or alcohol problem before it deteriorates into a disciplinary matter and may participate if they wish in the voluntary Employee Assistance Program.

ARTICLE 20

JOINT APPRENTICESHIP AND TRAINING PROGRAM INITIATIVE

Section 20.1

The City of Chicago and each Coalition Union (the "Parties") agree to create a Joint Apprenticeship and Training Program Initiative ("Initiative") in conjunction with certain third parties including, but without limitation, the Chicago Public Schools ("CPS"), the City Colleges of Chicago ("City Colleges") and External Contractors. The purpose of the

Initiative is to increase the opportunities for participation of graduates of CPS and/or City Colleges in Union apprenticeship and training programs and to provide expanded post-apprenticeship and training employment opportunities for such graduates. In conjunction with the execution of each Coalition Union's collective bargaining agreement with the City of Chicago, the Parties shall enter into a supplemental memorandum of understanding regarding the structure, implementation, monitoring and enforcement of this Initiative. Said memorandum shall be attached to this Agreement as Appendix D.

Section 20.2

The Initiative shall generally include the following:

a. A commitment by each Coalition Union to establish or otherwise expand available apprenticeship and training opportunities; a commitment by the Coalition to fill at least 100 apprenticeship slots across Coalition Unions with CPS students, graduates or former students with a GED and/or City College students and graduates by June 30 of each year of this Agreement.

b. A commitment by the Coalition and the City to collaborate with the Chicago Public Schools, City Colleges of Chicago and External Contractors to prepare CPS and City Colleges students to enter Union apprenticeship and training programs. In particular, the Coalition and the

City will cooperate with the Chicago Public Schools, City Colleges of Chicago and External Contractors to publicize available building and trades apprenticeship and training programs and subsequent careers; to consider establishing training programs as appropriate; and to expand post-apprenticeship and training employment opportunities.

c. The Parties shall appoint a Chair and an Auditor to oversee this Initiative and ensure that the parties take appropriate steps to fulfill the commitments set forth in this Article and supplemental memorandum attached hereto.

ARTICLE 21
RATIFICATION AND TERMINATION

The terms of this Agreement shall be subject to ratification by the City Council of the City of Chicago and concurrent adoption in ordinance form. The Employer and the Union will cooperate to secure this legislative approval.

This Agreement shall be effective as of said date of ratification by the City Council and shall remain in full force and effect from said date to June 30, **2022**, both inclusive. Thereafter, it shall automatically renew itself from year to year unless at least 60 days and not more than 120 days prior to the termination date or anniversary thereof, either party gives written notice to the other by Certified Mail, return receipt

requested, of a desire to amend, add to, subtract from, or terminate this Agreement.

In the event such notice of a desire to amend, add to, or subtract from the terms of this Agreement is given the parties shall, within a reasonable time thereafter, enter into negotiations concerning the request. If the parties are unable to agree upon a successor agreement before the 30th day of June following the date on which notice was given, this Agreement shall expire on such 30th day of June unless both parties agree to extend this Agreement. The notices referred to shall be considered to have been given as of the date shown on the postmark, written notices may be tendered in person, in which case the date of notice shall be the written date or receipt.

This Agreement constitutes the entire contract between the Employer and the Union and settles all demands and issues with respect to all matters subject to collective bargaining. The Employer and the Union, therefore, voluntarily waive the right, and each agrees that the other shall not be obligated to bargain collectively with respect to any matter which is subject to collective bargaining whether or not such matter is specifically referred to herein, and even though such matter may not have been within the knowledge or contemplation of the parties at the time this Agreement was negotiated or signed.

In the event the City of Chicago agrees to or authorizes additional vacation, holiday or other paid time off, or voluntary unpaid time off with any other bargaining unit (excluding police and/or fire) during the term of this agreement, such additional time off shall be granted to all employees covered by this Agreement.

ARTICLE 22
TERM OF AGREEMENT

This Agreement shall be effective from the date upon which it is ratified by the City Council of the City of Chicago, but no earlier than July 1, **2017**, and shall remain in effect through 11:59 p.m. on June 30, **2022**.

Health Plan Reopener

Each party reserves the right to reopen this Agreement in order to further negotiate the Health Plan set forth in Article 10 for the following reasons:

1. Any change(s) in the applicable law(s), including but not limited to a universal, national or state health care program mandating significant changes in health insurance benefits that becomes law and is effective during the term of this Agreement;
2. The lack of achievement of health care cost containment as anticipated by the parties

pursuant to the establishment and administration of the Labor-Management Cooperation Committee on health care, as defined below:

- (a) The parties charge the LMCC with the responsibility of approving Plan changes that will result in significant cost containment or savings, as measured by a projected increase of costs for any individual plan of no more than 8% in Fiscal Year 2009 and each fiscal year thereafter when compared to health care costs in Fiscal Year 2008 and each previous fiscal year thereafter, respectively.
- (b) Should the Plan changes approved by the LMCC fail to result in such cost containment or savings as stated in subsection (a) above, the LMCC shall make such adjustments to the Plan as are necessary, including but not limited to adjustments in deductibles, co-pays and co-insurance, to prevent the cost increase from exceeding 8% as measured in subsection (a) above.
- (c) Should the plan changes approved by the LMCC fail to achieve cost containment or savings

as stated in subsections (a) and (b) above by the end of following fiscal year, either party may elect to reopen negotiations as set forth herein on the following specific topics:

- Health Plan set forth in Article 10;
- Structure of the LMCC;
- Composition of the LMCC;
- Funding of the LMCC.

(d) The LMCC will continue to work cooperatively in seeking to limit health care costs pursuant to the terms of this Agreement, and the City agrees, for the term of this Agreement, that it will not invoke the 8% trigger provision.

If any one of the foregoing events or conditions occurs, either party to this Agreement has thirty (30) days to notify the other party of its intent to reopen this Agreement in order to negotiate the Health Plan set forth in Article 10. Should either party elect to reopen negotiations pursuant to this provision, it shall submit written notice to the other party. Thereafter, the parties have ninety (90) days within which to reach agreement on the Article. If the parties fail to reach agreement at the conclusion of that ninety (90) day period, each party reserves the right to reopen the entire Agreement.

Other Reopener

In the event of an emergency, cataclysmic event or other similar exigency affecting the City's financial condition, each party reserves the right to reopen the entire Agreement.

IN WITNESS WHEREOF, each of the parties hereto, by its duly authorized representative(s), has executed this document as of the 24th day of May, 2018.

CITY OF CHICAGO

LOCAL 399

By: Rafael Emanuel

By: Bruce E. Hilly

EXHIBIT 1

TRAINEE'S AGREEMENT

This Agreement is entered into on ____ day of _____, 2007 between the City of Chicago, hereinafter referred to as the Employer and the International Union of Operating Engineers, Local No. 399 hereinafter referred to as the Union.

Whereas the Employer and the Union are desirous of entering into an agreement for the employment and training of persons in an "Engineer Trainee Program" they do hereby mutually covenant and agree as follows:

That the Employer agrees to employ trainees for the purpose of enabling said trainees to acquire the knowledge necessary to qualify for a valid Stationary Engineer's license.

That the Union agrees to an addendum to the Collective Bargaining Agreement with the Employer by allowing the use of trainees in accordance with this Agreement within the terms of the City Agreement as amended. In the event of conflict the terms of the Trainee Agreement will prevail.

Therefore the following addendum to the Collective Bargaining Agreement shall apply:

1. The duration of this Agreement shall run from the date of execution and thereafter, running concurrently with the Collective Bargaining Agreement between the Union and the Employer and any extensions thereof.

2. All applicants for trainee positions shall have equal opportunity for hiring.

3. The number of trainees who may be employed shall be determined by agreement between the Union and the Employer. The Employer shall give advance written notice to the Union of its intent to hire trainees and, upon request, will meet and confer with the Union prior to the appointment of the trainees.

4. The normal training period required for qualification for a valid Stationary Engineer's license is three (3) years. In certain cases when an earlier full qualification of a Trainee is agreed to by the Union and the Employer, a shorter training period may be deemed sufficient.

5. Each person who enters the Trainee Program shall attend the Local 399 school at their own expense for the full three (3) year period, unless as described in four (4) above they qualify for the Stationary Engineer license at an earlier date.

6. Trainees shall be exempt employees and as such may be disciplined, discharged or otherwise terminated at the sole discretion of the Employer.

7. Trainees who successfully complete this program and obtain a valid Stationary Engineer license shall not be required to file an application for a Career Service position as an Operating Engineer Group C but will be appointed as Operating Engineer Group C

with full Career Service status if vacancies and funds permit, or shall be placed on the recall list and shall be hired following previously laid off Operating Engineers Group C.

8. It is agreed that Trainees may not work alone.

9. The regular wage rate for Trainees under the BOMA Agreement are as follows:

Starting Rate	\$14.25 per hr.
12 months service	\$15.25 per hr.
24 months service	\$16.50 per hr.
36 months service	\$17.50 per hr.

Said rates shall be subject to the increases and other terms provided in the following Sections of this Agreement: Section 9.1, Prevailing Wage Rates; Section 9.2, Prevailing Rate Adjustments; and Section 9.5, Retroactivity.

10. The Employer acknowledges that the hiring of Trainees shall not cause a layoff of Operating Engineers "Group C" working for the City of Chicago, it being further understood that in case of layoff, Trainees will be laid off first and rehired last.

LABOR NEGOTIATIONS BETWEEN

THE CITY OF CHICAGO AND COUPE

SIDE LETTER

JOINT APPRENTICESHIP AND TRAINING PROGRAM INITIATIVE:
LMCC REFERRAL

Agree to the following in a Side Letter to this Agreement:

The parties recognize that the success of the Joint Apprenticeship and Training Program Initiative depends on the identification and creation of opportunities to increase the use of apprentices in area construction projects. The Parties agree to direct the Labor Management Cooperation Committee established under Article 9 to explore and recommend the consideration of such opportunities to the City and other governmental entities within the City of Chicago in connection with the Joint Apprenticeship and Training Program Initiative, including, but not limited to:

- a. A multi-project labor agreement.
- b. A standard provision in Construction Contracts that (i) contractors and sub-contractors of whatsoever tier shall utilize the maximum number of apprentices on the project as permitted under the terms and conditions of their respective collective bargaining agreement(s); and (ii) all contractor and sub-contractors performing construction work on the project shall participate in an apprenticeship program registered with

the U.S. Department of Labor's Bureau of Apprenticeship and Training.

FOR COUPE:

Dennis Banner
Shonda Wilson

FOR THE CITY OF CHICAGO:

CPA 8/4/07
Wanda Johnson 8/2/07

LABOR NEGOTIATIONS BETWEEN
THE CITY OF CHICAGO AND COUPE

SIDE LETTER

HEALTH CARE PLAN:
LMCC REFERRAL

Agree to the following in a Side Letter to this Agreement:

The City and Coalition agree to direct the LMCC to evaluate and initiate changes to the current Health Care Plan (the "Plan") effective January 1, 2008 in areas that will facilitate the shift to a preventive health care model and will result in design improvements, cost containment or savings, including but not limited to the following areas:

- Expanded Disease Management Program
- HRA and Bio-metric Screening
- Health Fairs
- Weight Management Program
- Imaging Review Service
- Lifetime Maximum
- Subscriber Share for Hospital Bills and Co-insurance
- Exclusion for Self-Inflicted Injuries.

- Comprehensive Communication and Outreach Strategies.

FOR COUPE:

Dennis Hannon
Theresa Walker

FOR THE CITY OF CHICAGO:

CPA 8/2/07
Theresa Walker 8/2/07



Department of Law
CITY OF CHICAGO

Sent via Electronic Mail and U.S. Mail

MP

January 17, 2018
Matthew J. Cleveland
Attorney for COUPE
321 N. Clark St. Suite 1031
Chicago, IL 60654

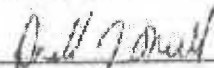
RE: FMCS Dispute Resolution Side Letter

Dear Mr. Cleveland:

This is to confirm the agreement and commitment of the City of Chicago ("the City") and the Coalition of Unionized Public Employees ("COUPE") with respect to establishing a pilot program to have the Federal Mediation and Conciliation Service conduct appeal hearings in the Department of Streets and Sanitation and Department of Water Management. The specific terms and conditions of the pilot will be discussed and agreed upon by and between the parties with the targeted implementation date of July 1, 2018. If the parties fail to reach an agreement on the terms and conditions of the pilot program by the targeted implementation date, the parties will meet with an FMCS mediator and submit the unresolved issues for mediation.

The parties' signatures below express their agreement to the foregoing.

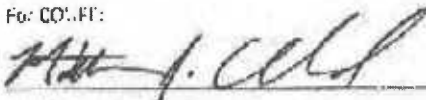
For the City:



Donald J. O'Neill
Director of Labor Relations
Department of Law

Date: Jan 17, 2018

For COUPE:



Matthew J. Cleveland
Attorney for COUPE

Date: Jan 17, 2018

cc: [redacted]

