

CITY OF CHICAGO
DEPARTMENT OF PROCUREMENT SERVICES
ROOM 403, CITY HALL, 121 N. LASALLE STREET

FOR NCRB USE ONLY	
Date	<u>April 5 2011</u>
Recommend Approval	<input type="checkbox"/>
Return To Dept.	<input type="checkbox"/>
Reject	<input type="checkbox"/>
Vote	<u>5 - YES</u>

**NON-COMPETITIVE REVIEW BOARD (NCRB)
JUSTIFICATION FOR NON-COMPETITIVE PROCUREMENT**

COMPLETE THIS SECTION IF NEW CONTRACT

For contract(s) in this request, fill in each of the four (4) major subject areas below in accordance with the **Instructions for Preparation of Non-Competitive Procurement Form** on the reverse side. Complete "Other" subject area if additional information is needed. Subject areas must be fully completed. Responses merely referencing attachments will not be accepted.

Request that negotiations be conducted only with **HASTINGS AIR ENERGY CONTROL, INC.** for the product(s) and/or service(s) described herein.

This is a request for:

One-Time Contractor Requisition #: **56508**, copy attached or Term Agreement or Delegate Agency (Check one).

If Delegate Agency, this request is for "blanket approval" for all contracts within the **5-YEAR TERM AGREEMENT FOR PLYMOVENT EXHAUST EXTRACTION SYSTEM – QUARTERLY INSPECTIONS, PREVENTATIVE MAINTENANCE, REPAIR AND PARTS** (Attach List).

Pre-Assigned Specification No.: **94134**

Pre-Assigned Contract No.: _____

COMPLETE THIS SECTION IF AMENDMENT OR MODIFICATION TO CONTRACT

Describe in detail the change in terms of dollars, time period, scope of services, etc., its relationship to the original contract and the specific reasons for the change. Indicate both the original and the adjusted contract amount and/or expiration date with this change, as applicable. Attach copy of all supporting documents. Request approval for a contract amendment or modification to the following:

Contract #: _____

Company or Agency Name: _____

Specification #: _____

Contract or Program Description: _____

Modification #: _____

(Attach List, if multiple)

HYUN WOO LEE

312/742-3987



GENERAL SERVICES

02/16/11

Originator Name
(mm/dd/yr)

Telephone

Signature

Department

Date

PROCUREMENT HISTORY

An exhaust extraction system to eliminate the diesel exhaust fumes was first installed in 1994 at the request of the Chicago Fire Department and their union to prevent fireman from breathing noxious exhaust fumes and provide a healthy atmosphere in which to work. At present we have these systems in 70 Fire Stations and in 11 Fleet Management Garages for a total of 374 hose drops to vehicles.

The city has previously provided the maintenance and filter changes thru the JOC procurement method and having a sole source will eliminate the JOC fee and contractors mark-up.

As this is a proprietary system Hastings is the only certified source for providing services in the Midwest.

We are requesting a 5 (five) year contract and future procurement services will be discussed at that time but until Fire and Fleet vehicles are converted to a means that does not exhaust diesel fumes, some type of exhaust system will be needed.

ESTIMATED COST

This is a one-time request for a 5 year agreement from 1/1/2011 through 12/31/2015. It is expected that an extension request will be made near the end of the 5 year term based on quality, timeliness and effectiveness c

extension request will be made near the end of the 5 year term based on quality, timeliness and effectiveness of delivery of services.

Future competitive bidding may be possible if additional certified and experienced Plymovent technicians are available with an established service track record, immediate parts availability and a proven online system for entering and monitoring service calls automatically are in place in the Chicago metropolitan marketplace.

ESTIMATED COST

Year	Fire Stations	Fleet Management	Total
1	\$181,066.15	\$146,308.32	\$327,374.47
2	\$184,687.47	\$149,234.49	\$333,921.96
3	\$188,308.79	\$152,219.18	\$340,527.97
4	\$192,074.89	\$155,263.56	\$347,338.45
5	\$195,916.39	\$158,368.83	\$354,285.22

There will be a 2% annual increase after the first year.

Pricing is based on current successful preventative maintenance services on Fire Station equipment.

Sole source will save the city the 2% JOC fee and the JOC contractors mark-up which is currently 35%.

SCHEDULE REQUIREMENTS

Each piece of equipment will be serviced 4 times per year (quarterly) and the unifier box inspection will include adjustment and filter changes once every 6 months.

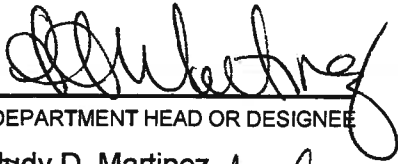
Preventative maintenance including individual inspection of each piece of exhaust removal equipment, control panels, air compressors, hoses and each mechanical component. Adjustments, realignment, lubrication and replacements as necessary (includes parts allowance for repairs throughout the year). Make necessary repairs in case of loss of service.

EXCLUSIVE OR UNIQUE CAPABILITY

Hastings Air Energy Control is a certified PlymoVent Systems dealer in Wisconsin, Illinois, Michigan and Indiana. There are no other companies certified or able to purchase the PlymoVent systems or parts. OEM parts and equipment installed and services by factory trained technicians are critical to maintaining the effectiveness and life expectancy of this important health and safety equipment.

OTHER

APPROVED BY:


DEPARTMENT HEAD OR DESIGNEE

Judy D. Martinez

PRINT NAME

CHIEF PROCUREMENT OFFICER

3/17/11
DATE


BOARD CHAIRPERSON

PRINT NAME

DATE OF APPROVAL

4.7.2011
DATE

Rick Butler

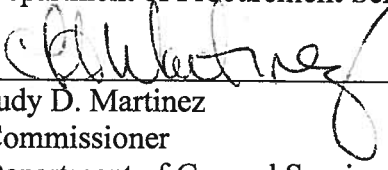
5/24/11



City of Chicago
 Richard M. Daley, Mayor
 Department of General Services
 Judy D. Martinez
 Commissioner
 Suite 300
 30 North LaSalle Street
 Chicago, Illinois 60602-2586
 (312) 742-3124
 (312) 744-9883 (FAX)
<http://www.cityofchicago.org>

Memorandum

To: Jamie L. Rhee
 Chief Procurement Officer
 Department of Procurement Services

From: 
 Judy D. Martinez
 Commissioner
 Department of General Services

Re: Non-Competitive Procurement with Hastings Air Energy Control for Preventive Maintenance and Repair of Plymovent Exhaust Extraction Systems

Date: March 22, 2011

The Department of General Services (DGS) is responsible for repair and maintenance of filtered exhaust extraction systems in all of the fire stations and in the fleet garages. These systems are necessary to meet the City's Environmental Policy Standards and eliminate diesel exhaust fumes that would otherwise negatively impact the health and safety of city employees, as well as the general public. In order to properly maintain these systems, regular filter changes and system preventative maintenance must be performed.

In keeping with our five year capital improvement program, DGS is requesting DPS authorization to enter into a 5-year sole source contract in the amount of \$1,703,448.07 with Hastings Air Energy Control, the sole purveyor of the Plymovent Exhaust Extraction System which is used in 73 of the 100 fire stations.

Projected annual spending for the first year of this contract will be \$327,374.47 with approximate annual price increases of 2%. This cost will be inclusive of all labor, equipment and materials necessary for preventative maintenance and any repairs required during the term of the contract.

This work is currently being done through the City's Job Order Contracting (JOC) procurement method. DGS projects annual savings of \$124,000 by entering into a Sole Source contract with this vendor and eliminating excessive JOC fees and mark-ups.

If there are any questions concerning this request, please contact Richard Smith at 312-744-3843.



Ice savings \$123k or 1/3%

DPS PROJECT CHECKLIST

For DPS Use Only

Date Received
Date Returned
Date Accepted
CA/CN's Name

IMPORTANT: ALL INFORMATION SHOULD BE COMPLETED, ATTACH ALL REQUIRED MATERIALS AND SUBMIT FOR ROUTING TO THE DEPARTMENT OF PROCUREMENT SERVICES, ROOM 403, CITY HALL, 121 N. LASALLE STREET, CHICAGO, ILLINOIS 60602, ATTENTION: CHIEF PROCUREMENT OFFICER.

General Information:

Date: 1/18/11	Need by (estimated date):	
Requisition No.: 56508	Contact Person:	Project Manager:
Specification No.: (if known) 94134	Hyun Woo Lee	Art Andros
PO No.: (if known)	Telephone: -7423987	Telephone: 7423858
Modification No.: (if known)	Fax: -7442773	Fax:
Previous PO No.: (if known)	Email: hwlee@cityofchicago.org	Email:

Project Description: SOLE SOURCE - 5-YEAR TERM AGREEMENT FOR PLYMOVENT EXHAUST EXTRACTION SYSTEM – QUARTERLY INSPECTIONS, PREVENTATIVE MAINTENANCE, REPAIR AND PARTS

Funding:

City:	<input type="checkbox"/> Corporate	<input checked="" type="checkbox"/> Bond	<input type="checkbox"/> Enterprise	<input type="checkbox"/> Grant*	<input type="checkbox"/> Other:
State:	<input type="checkbox"/> IDOT/Transit	<input type="checkbox"/> IDOT/Highway		<input type="checkbox"/> Grant*	<input type="checkbox"/> Other:
Federal:	<input type="checkbox"/> FHWA	<input type="checkbox"/> FTA	<input type="checkbox"/> FAA	<input type="checkbox"/> Grant*	<input type="checkbox"/> Other:

LINE	FY	FUND	DEPT	ORGN	APPR	ACTV	PROJECT	RPTG	\$ DOLLAR AMOUNT
	09	0C21	38	2005	2346	0162	21078301		1,703,448.07

Term Estimated Value \$1703448

*IF GRANT FUNDED, ATTACH COPY OF THE APPROVED GRANT AND APPLICATION AND ANY OTHER TERMS AND CONDITIONS OF FUNDING SOURCE THAT MAY APPLY. GRANT FUNDS MUST BE COMMITTED OR SPENT BY DEADLINE: _____ (DATE)

Scope Statement:

Attached is a Detailed Scope of Services and/or Specification. E-mail softcopy in Microsoft Word to DPS Unit Manager

IMPORTANT:

THIS IS A CRITICAL PORTION OF YOUR SUBMITTAL. IN ORDER FOR DPS TO ACCEPT YOUR SUBMITTAL YOU MUST COMPLETE THE SPECIFIC SCOPE REQUIREMENTS AS SET FORTH IN THE SUPPLEMENTAL CHECKLIST FOR THAT UNIT.

Purchase Order Type (Check All That Apply):

New Request	Modification/Amendment
<input checked="" type="checkbox"/> Blanket/Term/DUR/Agreement	<input type="checkbox"/> Time Extension**
<input type="checkbox"/> Master Agreement (Task Order)	<input type="checkbox"/> Vendor Limit Increase
<input type="checkbox"/> Standard/One-Time Purchase	<input type="checkbox"/> Scope Change/Price Increase/Additional Line Item(s)
Forms	<input type="checkbox"/> Other (specify):
<input checked="" type="checkbox"/> Requisition	
<input type="checkbox"/> Special Approvals	
<input checked="" type="checkbox"/> Non-Competitive Review Board (NCRB)	

Contract Term: 60

** Requested Term (Number of Months): 60

Pre-Bid/Submittal Requirements:

Mandatory Pre Bid/Submittal Conference? Yes* No

Requesting Site Visit? Yes No

*If yes, explain reasons why mandatory attendance is necessary.

WORK SERVICES/FACILITY MAINTENANCE SUPPLEMENTAL CHECKLIST

Required Attachments:

- Detailed Specifications (Scope of Services) including detailed description of the work, locations (with supporting detail), user department contacts, work hours/days, laborer/supervisor mix, compensation and price escalation considerations
- Bidder's qualification, contract term and extension options
- Contractor's qualifications, citation of any applicable City/State/Federal statutes or regulations, citation of any applicable technical standards
- Price Lists/Catalogs, technical drawings and other exhibits and attachments as appropriate
- If an Information Technology (IT) project valued at \$100,000.00 or more, attach approval transmittal sheet from Information Technology Governance Board (ITGB)

Risk Management:

- Will services be performed within 50 feet (50') of CTA train or other railroad property? Yes No
- Will services be performed on or near a waterway? Yes No
- Will services require the handling of hazardous/bio-waste material? Yes No
- Will services require the blocking of streets or sidewalks which may affect public safety? Yes No

- Attach Recommendation of MBE/WBE/DBE Analysis Form Yes No
- Is this a Revenue Producing contract? Yes No

If Modification or Amendment request, please verify and provide the following:

- Contractor's Name:
- Contractor's Address:
- Contractor's e-mail Address:
- Contractor's Phone Number:
- Contractor's Contact Person:



HASTINGS
an energy control inc.

May 26th, 2010

Hastings Preventative Maintenance Program

Chicago Fire Station & Chicago Fleet Management

Scope of Work (For Certified Technicians)

Time Period: January 1st, 2011 to December 31st, 2015

Program Covers: Quarterly Inspections

- Hose Inspection
- Compressed Air Supply Inspection, where applicable
- Nozzle Inspection
- Air Compressor Inspection
- Safety Disconnect Inspection
- Blower Inspection
- Track Hardware Inspection
- Ductwork System Inspection
- Uni-Filter Inspection & Filter Replacement
- Control Panel Inspection
- Note Parts Included

Note:

Specifically covers existing equipment in existing location
(attached)

1/1/2011

Vehicle Exhaust Maint
UniFilter Maint & Filter Change

Station # Vehicles Stations: 70 Vehicles: 176

1	ENG-1	3	1	ENG-74	1
1	ENG-4	2	1	ENG-75	2
1	ENG-5	4	1	ENG-76	3
1	ENG-8	3	1	ENG-77	
1	ENG-13	3	1	ENG-78	2
1	ENG-14	4	1	ENG-79	1
1	ENG-15	3	1	ENG-80	3
1	ENG-18	3	1	ENG-81	3
1	ENG-22	3	1	ENG-82	3
1	ENG-23	2	1	ENG-91	3
1	Truck-24	2	1	ENG-94	1
1	ENG-26	4	1	ENG-95	3
1	ENG-28	4	1	ENG-96	2
1	ENG-29	1	1	ENG-97	1
1	ENG-32	3	1	ENG-99	2
1	ENG-34	3	1	ENG-101	4
1	ENG-35	3	1	ENG-102	3
1	ENG-39	1	1	ENG-104	3
1	ENG-42	4	1	ENG-106	3
1	ENG-43	2	1	ENG-107	2
1	ENG-45	3	1	ENG-108	3
1	ENG-46	3	1	ENG-112	4
1	ENG-47	3	1	ENG-113	2
1	ENG-49	4	1	ENG-115	1
1	ENG-54	3	1	ENG-117	3
1	ENG-56	1	1	ENG-120	2
1	ENG-57	2	1	ENG-121	2
1	ENG-59		1	ENG-122	3
1	ENG-60	3	1	ENG-123	3
1	ENG-62	2	1	ENG-124	3
1	ENG-64	3	1	ENG-125	2
1	ENG-65	3	1	ENG-126	3
1	ENG-69	1	1	ENG-129	3
1	ENG-70	4	1	ENG-125	2
1	ENG-71	1	1	ENG-126	3
1	ENG-72	3	1	ENG-129	3
1	ENG-73	1			

Chicago Fleet Management:**Total****Throop Street**

UnFilter		8
PCH		0
Drops	42	42

Stoney Island

UnFilter		4
PCH		2
Drops	22	22

52nd Street

UnFilter		3
PCH		2
Drops	18	18

55th Street

UnFilter		0
PCH		2
Drops	23	23

Wentworth

UnFilter		3
PCH		1
Drops	12	12

Sacramento 3050

UnFilter		1
PCH		1
Drops	8	8

Sacramento 3100

UnFilter		1
PCH		1
Drops	22	22

111th Street

UnFilter		1
PCH		1
Drops	8	8

Ferdinand Street

UnFilter		4
PCH		1
Drops	27	27

Harrison Street

UnFilter		1
PCH		1
Drops	8	8

Campbell Street

UnFilter		1
PCH		1
Drops	8	8

Drops Total 198



HASTINGS
air energy control, inc.

5555 South Westridge Drive
Rex, Berlin, WI 53151-7900

p: 800.236.8450
f: 800.260.9199

Illinois Office
m: 847.362.9660
f: 847.362.9661

Michigan Office
m: 248.888.9911
f: 248.478.9911

Minnesota Office
m: 952.882.8450
f: 800.260.9199

Hastings Cost Proposal For Each year During The 5-Year Contract

For

Chicago Fire Stations and Fleet Maintenance

<u>Year</u>	<u>Fire Stations</u>	<u>Dept. of Public Works</u>	<u>Total</u>
1	\$181,066.15	\$146,308.32	\$327,374.47
Year 2:	2% Adjusted Increase*		
2	\$184,687.47	\$149,234.49	\$333,921.96
Year 3:	2% Adjusted Increase*		
3	\$188,308.79	\$152,219.18	\$340,527.97
Year 4:	OPTIONAL: 2% Adjusted Increase*		
4	\$192,074.89	\$155,263.56	\$347,338.45
Year 5:	OPTIONAL: 2% Adjusted Increase		
5	\$195,916.39	\$158,368.83	\$354,285.22

Payment Terms:

Annual Fee will be invoiced January 1st of each year of the five year contract.
Payment due net 30-days.



HASTINGS
air energy control, inc.

Price Increase Explanation:

115 South Westridge Drive
New Berlin, WI 53151-3900

p: 800.236.8450
f: 800.260.9199

Illinois Office
p: 847.462.9660
f: 847.362.1151

Michigan Office
m: 248.889.1111
f: 248.478.9911

Minnesota Office
m: 952.882.8450
f: 952.330.9199

- Vendors starting to increase pricing aggressively after a three year lull.
- Financial planning regarding company operating expenditures. i.e. Trucks, service equipment, fuel costs etc.
We have seen the increase at the pump over a very short period of time. Average 30% increase for unleaded fuel over the last year. Diesel up 50%.
- Expected Employee cost of living index increases.
- Prevailing wage increase. i.e. Union, MBA participation.
- Various parts utilized by PlymoVent and Hastings increasing based on petroleum pricing. i.e. "grabber" Nozzle, Rubber Lips, etc.
- More Stringent EPA regulations regarding emissions and amount allowed for discharging into the atmosphere. i.e. Filters for the EPA UniFilter.
- Cumulative government spending has edged above the 5-year average thanks to a strong growth in admin-related spending. No substantial reduction in Federal Budgets Hence expected tax increases or "fees".
- Federal minimum wage increase expected.
- Union pay increase after "give backs" over the last three years.



CERTIFICATE OF FILING FOR
CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT

EDS Number: 21402

Date of This Filing:04/11/2011 11:46 AM

Certificate Printed on: 04/11/2011

Original Filing Date:04/11/2011 11:46 AM

Disclosing Party: Hastings Air Energy Control, Title:Contract Compliance
Inc

Filed by: Mr. David Alvin Frantz

Matter: Service Contract for the City of
Chicago Fire Department and Dept of Public
Works

Applicant: Hastings Air Energy Control, Inc

Specification #:

Contract #:

The Economic Disclosure Statement referenced above has been electronically filed with the City. Please provide a copy of this Certificate of Filing to your city contact with other required documents pertaining to the Matter. For additional guidance as to when to provide this Certificate and other required documents, please follow instructions provided to you about the Matter or consult with your City contact.

A copy of the EDS may be viewed and printed by visiting <https://webapps.cityofchicago.org/EDSWeb> and entering the EDS number into the EDS Search. Prior to contract award, the filing is accessible online only to the disclosing party and the City, but is still subject to the Illinois Freedom of Information Act. The filing is visible online to the public after contract award.



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT and AFFIDAVIT
Related to Contract/Amendment/Solicitation
EDS # 21402**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting the EDS:

Hastings Air Energy Control, Inc

Enter d/b/a if applicable:

The Disclosing Party submitting this EDS is:

the Applicant

B. Business address of the Disclosing Party:

5555 S Westridge Dr
New Berlin, WI 53151
United States

C. Telephone:

800-236-8450

Fax:

800-260-9199

Email:

davidfrantz@hastingsairenergy.com

D. Name of contact person:

Mr. David Alvin Frantz

E. Federal Employer Identification No. (if you have one):

39-0982992

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains:

Service Contract for the City of Chicago Fire Department and
Dept of Public Works

Which City agency or department is requesting this EDS?

DEPT OF PROCUREMENT SERVICES

Specification Number

Contract (PO) Number

Revision Number

Release Number

User Department Project Number

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

Privately held business corporation

Is the Disclosing Party incorporated or organized in the State of Illinois?

Yes

B. DISCLOSING PARTY IS A LEGAL ENTITY:

1.a.1 Does the Disclosing Party have any directors?

No

1.a.3 List below the full names and titles of all executive officers and all directors, if any, of the entity. Do not include any directors who have no power to select the entity's officers.

Officer/Director: Mr. Daniel Jay Bohrer
Title: Vice President
Role: Officer

Officer/Director: Mr. David Allen Bohrer
Title: President
Role: Officer

Officer/Director: Mr. Michael Lee Bohrer
Title: Vice President
Role: Officer

Officer/Director: Mr. DuWayne Allen Bohrer
Title: Vice President
Role: Officer

Officer/Director: Mr. Dennis Bohrer
Title: Vice President
Role: Officer

2. Ownership Information

Please provide ownership information concerning each person or entity having a direct or indirect beneficial interest in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate, or other similar entity. Note: Pursuant to Section 2-154-030 of the Municipal code of Chicago, the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

There are no owners with greater than 7.5 percent ownership in the Disclosing Party.

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

No

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

1. Has the Disclosing Party retained any legal entities in connection with the Matter?

No

3. Has the Disclosing Party retained any persons in connection with the Matter?

No

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage of any child support obligations by any Illinois court of competent jurisdiction?

No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows:

- i. neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and
- ii. the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City.

NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

I certify the above to be true

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and

- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

I certify the above to be true

3. Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

I certify the above to be true

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of

- bid-rigging in violation of 720 ILCS 5/33E-3;
- bid-rotating in violation of 720 ILCS 5/33E-4; or
- any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

I certify the above to be true

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals

List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

I certify the above to be true

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), Chapter 2-56 (Inspector General) and Chapter 2-156 (Governmental Ethics) of the Municipal Code.

I certify the above to be true

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

The Disclosing Party certifies that, as defined in Section 2-32-455(b) of the Municipal Code, the Disclosing Party

is not a "financial institution"

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

No

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

If the Disclosing Party cannot make this verification, the Disclosing Party must disclose all required information in the space provided below or in an attachment in the "Additional Info" tab. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

I can make the above verification

SECTION VI -- CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

Is the Matter federally funded? For the purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

No

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. A training program is available on line at www.cityofchicago.org/city/en/depts/ethics.html, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

I acknowledge and consent to the above

The Disclosing Party understands and agrees that:

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS

and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

I acknowledge and consent to the above

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

I certify the above to be true

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.

I certify the above to be true

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

I certify the above to be true

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This question is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all corporate officers of the Disclosing Party, if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

No

ADDITIONAL INFO

Please add any additional explanatory information here. If needed you may add an attachment below.

List of vendor attachments uploaded by City staff

None .

List of attachments uploaded by vendor

None .

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

/s/ 04/11/2011

Mr. David Alvin Frantz

Contract Compliance

Hastings Air Energy Control, Inc

This is a printed copy of the Economic Disclosure Statement, the original of which is filed electronically with the City of Chicago. Any alterations must be made electronically, alterations on this printed copy are void and of no effect.

ACORD CERTIFICATE OF LIABILITY INSURANCE

OP ID NM
HASTI-2

DATE (MM/DD/YYYY)
03/16/10

PRODUCER
Robertson Ryan & Assoc., Inc.
Two Plaza East, Suite 650
330 East Kilbourn Avenue
Milwaukee WI 53202
Phone: 414-271-3575 Fax: 414-271-0196

INSURED
Hastings Air Energy
Control, Inc.
5555 S. Westridge Dr.
New Berlin WI 53151-7900

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.

INSURERS AFFORDING COVERAGE		NAIC #
INSURER A:	ACUITY	14184
INSURER B:		
INSURER C:		
INSURER D:		
INSURER E:		

COVERAGES

THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. AGGREGATE LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR	ADD'L LTR	INSRD	TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFECTIVE DATE (MM/DD/YY)	POLICY EXPIRATION DATE (MM/DD/YY)	LIMITS	
A			GENERAL LIABILITY <input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC	K82259	01/01/10	01/01/11	EACH OCCURRENCE	\$ 1000000
							DAMAGE TO RENTED PREMISES (Ea occurrence)	\$ 1000000
							MED EXP (Any one person)	\$ 10000
							PERSONAL & ADV INJURY	\$ 1000000
							GENERAL AGGREGATE	\$ 2000000
							PRODUCTS - COMP/OP AGG	\$ 2000000
A			AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS	K82259	01/01/10	01/01/11	COMBINED SINGLE LIMIT (Ea accident)	\$ 1000000
							BODILY INJURY (Per person)	\$
							BODILY INJURY (Per accident)	\$
							PROPERTY DAMAGE (Per accident)	\$
			GARAGE LIABILITY <input type="checkbox"/> ANY AUTO				AUTO ONLY - EA ACCIDENT	\$
							OTHER THAN AUTO ONLY: EA ACC	\$
							AGG	\$
A			EXCESS/UMBRELLA LIABILITY <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS MADE <input type="checkbox"/> DEDUCTIBLE <input checked="" type="checkbox"/> RETENTION \$ 0	K82259	01/01/10	01/01/11	EACH OCCURRENCE	\$ 5000000
							AGGREGATE	\$ 5000000
								\$
								\$
								\$
A			WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? If yes, describe under SPECIAL PROVISIONS below OTHER	K82259	01/01/10	01/01/11	<input checked="" type="checkbox"/> WC STATUTORY LIMITS <input type="checkbox"/> OTHER	
							E.L. EACH ACCIDENT	\$ 1000000
							E.L. DISEASE - EA EMPLOYEE	\$ 1000000
							E.L. DISEASE - POLICY LIMIT	\$ 1000000
A			Leased/Rented Equipment	K82259	01/01/10	01/01/11	Limit	25000
							Ded	250

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES / EXCLUSIONS ADDED BY ENDORSEMENT / SPECIAL PROVISIONS

CERTIFICATE HOLDER

City of Chicago
Department of General Services
30 N. LaSalle St., Ste 3700
Chicago IL 60602

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING INSURER WILL ENDEAVOR TO MAIL 30 DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT FAILURE TO DO SO SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE INSURER, ITS AGENTS OR REPRESENTATIVES.

AUTHORIZED REPRESENTATIVE
Paul F. Kelly

IMPORTANT

If the certificate holder is an **ADDITIONAL INSURED**, the policy(ies) must be endorsed. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

If **SUBROGATION IS WAIVED**, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

DISCLAIMER

The Certificate of Insurance on the reverse side of this form does not constitute a contract between the issuing insurer(s), authorized representative or producer, and the certificate holder, nor does it affirmatively or negatively amend, extend or alter the coverage afforded by the policies listed thereon.



HASTINGS
air energy control, inc.

3900 South West 10th Drive
Miami, Florida 33135-2900

p: 800.236.8450
f: 800.260.9199

Illinois Office
p: 847.377.9517
f: 847.372.5001

Michigan Office
p: 248.890.9811
f: 248.890.9811

Minnesota Office
p: 952.470.8358
f: 952.470.8358

January 18, 2011

Mr. Richard Smith
Engineer of Operations
City of Chicago
Department of General Services
Suite 3700
30 North LaSalle Street
Chicago, IL 60602

Ref: SERVICE OF EXHAUST SYSTEMS AND MBE CERTIFICATION

Dear Mr. Smith:

Hastings Air Energy Control, Inc. proposed a preventative maintenance program for the service of the PlymoVent vehicle exhaust systems located in Chicago Fire Department and Fleet Service facilities.

Hastings Air Energy Control is the authorized distributor for PlymoVent in the State of Illinois and is responsible for the City of Chicago Fire and Fleet Services Plymovent exhaust systems. Attached is a letter from PlymoVent Corporation, (**addenda A**), that designates Hastings as the exclusive provider of service and genuine PlymoVent parts in Illinois.

The service scope requires a program that includes a 40 point check and adjustments performed 4 times a year and EPA filter changes 2 times a year by certified service technicians. These technicians are trained and certified by PlymoVent Corporation to service the PlymoVent vehicle exhaust extraction systems. In addition the systems do require replacement parts that are genuine Plymovent parts that are available only to authorized distribution.

The majority of the preventative maintenance program is labor and service charges. It will be difficult to provide any more than 20/25% MBE/WBE participation.

We will work with INDUSTRIA to provide up to 20/25% MBE. I have attached the Industria certification letter (**addenda B**) for your review and approval.

Please feel free to contact me if you have any questions.

Sincerely,

Daniel Bohrer
VP Sales
Hastings Air Energy Control, Inc.

Cc: Mr. Bill Kelley, City of Chicago

PLYMOVENT

Exhaust Removal Systems

PlymoVent Corporation
115 Melrich Rd
Cranbury, New Jersey 08512
Phone (609) 395-3500
Fax (609) 655-0569

January 4th 2010

To Whom It May Concern:

This letter is to clarify the issue of who can sell, install and service the PlymoVent products. PlymoVent has an established network of certified dealers throughout the country. All of our dealers attended sales, service and installation training on a yearly basis to maintain their dealership and certification to work with the product.

Hastings Air Energy Control is a certified PlymoVent Systems dealer in Wisconsin, Illinois, Michigan and Indiana. There is no other company certified or able to purchase the PlymoVent systems or parts.

PlymoVent has worked for over 20 years to develop both a premier market leading product as well as a program to keep our dealers trained and certified to work with them.

If you have any questions please don't hesitate to contact me. I would be happy to help in any way.

Sincerely yours,



Steven C. Connallon
National Sales Manager
PlymoVent Corporation



(ADDENDA B)

CITY OF CHICAGO

OFFICE OF COMPLIANCE

August 24, 2010

David Rambhajan
Industria, Inc.
2856 N. Campbell Ave.
Chicago, IL 60618

Annual No Change Affidavit Due:

August 1, 2011

Dear David Rambhajan:

Congratulations on your continued eligibility for certification as a **Minority Business Enterprise (MBE)** by the City of Chicago. This certification is valid until August 1, 2012.

As you know, your firm must also be re-validated annually. We extended the deadline for submitting the No-Change Affidavit to September 1st so that we might review the program for ways to streamline the process. As a result, while you will still be required to submit an annual No-Change Affidavit, we will no longer require firms to submit financial records with the Affidavit, and we will allow the Affidavit to be submitted on-line. This should improve the process for businesses and make it easier to comply with annual validation requirements. However, as part of our program improvements, we will also increase auditing activities, and you may at any time be required to submit financial records and other documents needed to support your continued eligibility.

This new process will begin in 2011. As such, your firm's next No Change Affidavit is due by **August 1, 2011**. Please remember, you have an affirmative duty to file your No-Change Affidavit 60 days prior to the date of expiration. Therefore, you must file your No-Change Affidavit by **June 2, 2011**.

It is important to note that you also have an ongoing affirmative duty to notify the City of Chicago of any changes in ownership or control of your firm, or any other fact affecting your firm's eligibility for certification within 10 days of such change. These changes may include but are not limited to a change of address, change of business structure, change in ownership or ownership structure, change of business operations, and/or gross receipts that exceed the program threshold.

Please note – you shall be deemed to have had your certification lapse and will be ineligible to participate as a MBE if you fail to

- ♦ file your No Change Affidavit within the required time period;
- ♦ provide financial or other records requested pursuant to an audit within the required time period; or
- ♦ notify the City of any changes affecting your firm's certification within 10 days of such change.

Further, if you or your firm is found to be involved in certification, bidding and/or contractual fraud or abuse, the City will pursue decertification and debarment. And in addition to any other penalty imposed by law, any person who knowingly obtains, or knowingly assists another in obtaining, a contract with the city by falsely

representing that the individual or entity, or the individual or entity assisted, is a minority-owned business or a woman-owned business, is guilty of a misdemeanor, punishable by incarceration in the county jail for a period not to exceed six months or a fine of not less than \$5,000.00 and not more than \$10,000, or both.

Industria, Inc. is listed in the City's Directory of Minority Business Enterprises and Women Business Enterprises in the specialty area(s) of:

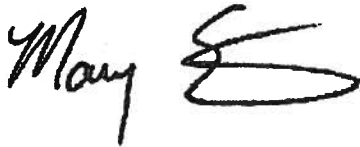
Construction and Project Management Services; General Contractor Specializing in Laborers/Painting Services on Small Projects only; Distributor of Light Bulbs and Ballast; Supplier of Construction Related Materials

**20868 Project Management
28506 Ballasts, All Kinds
28554 Lighting Fixtures, Indoor: All Kinds and Parts (Including Lampholders
91054 Painting, Maintenance and Repair Services
91461 Painting
95826 Construction Management Services**

Your firm's participation on City contracts will be credited only toward MBE goals in your area(s) of specialty. While your participation on City contracts is not limited to your specialty, credit toward MBE goals will be given only for work done in a specialty category.

Thank you for your continued participation in the City's Supplier Diversity Program.

Sincerely,

A handwritten signature in black ink, appearing to read "Mary E", with a stylized flourish at the end.

Mary Elliott
Acting Managing Deputy



HASTINGS
air energy control, inc

5555 South Westridge Drive
New Berlin, WI 53151 7900

p: 800.236.8450
f: 800.260.9199

Illinois Office

m: 847.362.9660
f: 847.362.9661

Michigan Office

m: 248.888.9911
f: 248.478.9911

Minnesota Office

m: 952.882.8450
f: 800.260.9199

January 18, 2011

Mr. Richard Smith
Engineer of Operations
City of Chicago
Department of General Services
Suite 300
30 North LaSalle Street
Chicago, IL 60602

Ref: MBE/WBE

Dear Mr. Smith:

Hastings Air Energy Control, Inc. is a small business that is not MBE/WBE compliant. We presently contract with a WBE for our mechanical services and support. ACEMCLL (Ace Mechanical) is a registered WBE in the State of Wisconsin. They will be a direct support to this project. They are presently researching the application process for the City of Chicago as a WBE contractor.

In addition, we are reviewing the MBE/WBE listing to increase our indirect participation.

We have a relatively small staff of service consultants that are specifically trained and certified by PlymoVent Corporation to service the PlymoVent vehicle exhaust extraction systems in Illinois.

Hastings Air Energy Control, Inc. is a family run business.

We were specifically selected by PlymoVent Corporation to sell and service PlymoVent equipment in Illinois. This certification designates Hastings as the exclusive provider of service and the ability to purchase PlymoVent parts in Illinois. The attached letter from PlymoVent Corporation dated January 4th, 2010, confirms this certification.

Sincerely,

Daniel Bohrer
VP Sales
Hastings Air Energy Control, Inc.

Cc: Mr. Bill Kelly, City of Chicago

Continued

SCHEDULE C-1
Letter of Intent from MBE/WBE to Perform
as Subcontractor, Supplier and/or Consultant

Name of Project/Contract: _____
Specification Number: _____

From: Industra
(Name of MBE/WBE Firm)

MBE: Yes No _____
WBE: Yes _____ No _____

To: Hastings Air Energy Control, Inc. and the City of Chicago:
(Name of Prime Contractor - Bidder/Proposer)

The undersigned intends to perform work in connection with the above projects as a:

_____ Sole Proprietor
_____ Partnership
 Corporation
_____ Joint Venture

The MBE/WBE status of the undersigned is confirmed by the attached letter of Certification from the City of Chicago effective date of August 24th, 2010 to Aug 1st, 2012 for a period of five years.

The undersigned is prepared to provide the following described services or supply the following described goods in connection with the above named project/contract:


To Provide All Parts for the Preventative Maintenance of the City of
Chicago Fire Department Stations and the Dept of Public Works Maintenance
Facilities

The above described performance is offered for the following price and described terms of payment:

_____ DUR 20% - Net 30 from Invoice Date _____

If more space is needed to fully describe the MBE/WBE firm's proposed scope of work and/or payment schedule, attach additional sheets.

The undersigned will enter into a formal written agreement for the above work with you as a Prime Contractor, conditioned upon your execution of a contract with the City of Chicago, and will do so within (3) three working days of receipt of a signed contract from the City of Chicago.


(Signature of Owner or Authorized Agent)
David M Rambhajan, President
Name/Title (Print)
March 8, 2011
Date
773-697-0190
Phone

SCHEDULE D-1
Affidavit of MBE/WBE Goal Implementation Plan

Contract Name _____
Specification No. _____

State of Wisconsin

County (City) of Waukesha

I HEREBY DECLARE AND AFFIRM that I am duly authorized representative of:

Hastings Air Energy Control, Inc.

Name of Bidder/Proposer

and that I have personally reviewed the material and facts set forth herein describing our proposed plan to achieve the MBE/WBE goals of this contract.

All MBE/WBE firms included in this plan have been certified as such by the City of Chicago (Letters of Certification Attached).

I. Direct Participation of MBE/WBE Firms

(Note: The bidder/proposer shall, in determining the manner of MBE/WBE participation, first consider involvement with MBE/WBE firms as joint venture partners, subcontractors, and suppliers of goods and services directly related to the performance of this contract.)

A. If bidder/proposer is a certified MBE or WBE firm, attach copy of City of Chicago Letter of Certification. (Certification of the bidder/proposer as a MBE satisfies the MBE goal only. Certification of the bidder/proposer as a WBE satisfies the WBE goal only.)

B. If bidder/proposer is a joint venture and one or more joint venture partners are certified MBEs or WBEs, attach copies of Letters of Certification and a copy of Joint Venture Agreement clearly describing the role of the MBE/WBE firm(s) and its ownership interest in the joint venture.

C. MBE/WBE Subcontractors/Suppliers/Consultants:

1. Name of MBE/WBE: Industria, Inc.
Address: 2856 N Campbell Ave, Chicago, IL 60618
Contact Person: David Rambhagan Phone: 773-697-0190
Dollar Amount Participation \$ _____
Percent Amount of Participation: 20 %
Schedule C-1 attached? Yes x No _____*

*(see next page)

SCHEDULE D-1
Affidavit of MBE/WBE Goal Implementation Plan

2. Name of MBE/WBE: _____
Address: _____
Contact Person: _____ Phone: _____
Dollar Amount Participation \$ _____
Percent Amount of Participation: _____ %
Schedule C-1 attached? Yes _____ No _____ *

3. Name of MBE/WBE: _____
Address: _____
Contact Person: _____ Phone: _____
Dollar Amount Participation \$ _____
Percent Amount of Participation: _____ %
Schedule C-1 attached? Yes _____ No _____ *

4. Name of MBE/WBE: _____
Address: _____
Contact Person: _____ Phone: _____
Dollar Amount Participation \$ _____
Percent Amount of Participation: _____ %
Schedule C-1 attached? Yes _____ No _____ *

5. Name of MBE/WBE: _____
Address: _____
Contact Person: _____ Phone: _____
Dollar Amount Participation \$ _____
Percent Amount of Participation: _____ %
Schedule C-1 attached? Yes _____ No _____ *

6. Attach additional sheets as needed.

* All Schedule C-1s and Letters of Certification not submitted with bid/proposal must be submitted so as to assure receipt by the Contract Administrator within three (3) business days after bid opening (or proposal due date.)

SCHEDULE D-1
Affidavit of MBE/WBE Goal Implementation Plan

II. Indirect Participation of MBE/WBE Firms

(Note: This section need not be completed if the MBE/WBE goals have been met through the direct participation outlined in Section I. If the MBE/WBE goals have not been met through direct participation, contractor will be expected to demonstrate that the proposed MBE/WBE direct participation represents the maximum achievable under the circumstances. Only after such a demonstration will indirect participation be considered.)

MBE/WBE Subcontractors/Suppliers/Consultants proposed to perform work or supply goods or services where such performance does not directly relate to the performance of this contract:

- A. Name of MBE/WBE: _____
Address: _____
Contact Person: _____ Phone: _____
Dollar Amount Participation \$ _____
Percent Amount of Participation: _____ %
Schedule C-1 attached? Yes _____ No _____ *
- B. Name of MBE/WBE: _____
Address: _____
Contact Person: _____ Phone: _____
Dollar Amount Participation \$ _____
Percent Amount of Participation: _____ %
Schedule C-1 attached? Yes _____ No _____ *
- C. Name of MBE/WBE: _____
Address: _____
Contact Person: _____ Phone: _____
Dollar Amount Participation \$ _____
Percent Amount of Participation: _____ %
Schedule C-1 attached? Yes _____ No _____ *
- D. Name of MBE/WBE: _____
Address: _____
Contact Person: _____ Phone: _____
Dollar Amount Participation \$ _____
Percent Amount of Participation: _____ %
Schedule C-1 attached? Yes _____ No _____ *
- E. Attach additional sheets as needed.

* All Schedule C-1s and Letters of Certification not submitted with bid/proposal must be submitted so as to assure receipt by the Contract Administrator within three (3) business days after bid opening (or proposal due date).

SCHEDULE D-1
Affidavit of MBE/WBE Goal Implementation Plan

III. Summary of MBE/WBE Proposal:

A. *MBE Proposal*

1. MBE Direct Participation (from Section I.)

MBE Firm Name	Dollar Amount	Percent Amount
Industria, Inc.	\$	20 %
_____	\$	%
_____	\$	%
_____	\$	%
Total Direct MBE Participation	\$	

2. MBE Indirect Participation (from Section II.)

MBE Firm Name	Dollar Amount	Percent Amount
_____	\$	%
_____	\$	%
_____	\$	%
_____	\$	%
Total Indirect MBE Participation	\$	

B. *WBE Proposal*

1. WBE Direct Participation (from Section I.)

WBE Firm Name	Dollar Amount	Percent Amount
_____	\$	%
_____	\$	%
_____	\$	%
_____	\$	%
Total Direct WBE Participation	\$	

2. WBE Indirect Participation (from Section II)

WBE Firm Name	Dollar Amount	Percent Amount
_____	\$	%
_____	\$	%
_____	\$	%
_____	\$	%
Total Indirect WBE Participation	\$	

SCHEDULE D-1
Affidavit of MBE/WBE Goal Implementation Plan

To the best of my knowledge , information and belief, the facts and representations contained in this Schedule are true, and no material facts have been omitted.

The contractor designates the following person as their MBE/WBE Liaison Officer:

Name: David Frantz Phone Number: 262-354-0500

I do solemnly declare and affirm under penalties of perjury that the contents of the foregoing document are true and correct, and that I am authorized, on behalf of the contractor, to make this affidavit.



Signature of Affiant (Date)

State of Wisconsin

County of Waukesha

This instrument was acknowledged before me on March 8, 2011 (date)

by David Frantz

(name /s of person/s)

as Contract Compliance

(type of authority, e.g., officer, trustee, etc.)

of Hastings Air Energy Control

(name of party on behalf of whom instrument was executed).



Signature of Notary Public

(Seal)

SCHEDULE D-1
Affidavit of MBE/WBE Goal Implementation Plan

PLYMOVENT

Exhaust Removal Systems

PlymoVent Corporation
115 Melrich Rd
Cranbury, New Jersey 08512
Phone (609) 395-3500
Fax (609) 655-0569

January 4th 2010

To Whom It May Concern:

This letter is to clarify the issue of who can sell, install and service the PlymoVent products. PlymoVent has an established network of certified dealers throughout the country. All of our dealers attended sales, service and installation training on a yearly basis to maintain their dealership and certification to work with the product.

Hastings Air Energy Control is a certified PlymoVent Systems dealer in Wisconsin, Illinois, Michigan and Indiana. There is no other company certified or able to purchase the PlymoVent systems or parts.

PlymoVent has worked for over 20 years to develop both a premier market leading product as well as a program to keep our dealers trained and certified to work with them.

If you have any questions please don't hesitate to contact me. I would be happy to help in any way.

Sincerely yours,



Steven C. Connallon
National Sales Manager
PlymoVent Corporation

PLYMOVENT[®]

PlymoVent Corporation
375 Raritan Center Parkway Edison, New Jersey 08837
Phone (908) 417-0808 Fax (908) 417-1818

This is to Certify
that the individuals listed below have successfully completed
PlymoVent's
factory certification training program
regarding the proper installation of
PlymoVent Fire House Emergency Apparatus Diesel
Exhaust Control Systems

Daniel Bohrer

These individuals are duly qualified to
install, adjust, service, and supervise
PlymoVent Diesel Exhaust Control Systems for use in
Fire House Apparatus Rooms.

PLYMOVENT[®]

PlymoVent Corporation
375 Raritan Center Parkway Edison, New Jersey 08837
Phone (908) 417-0808 Fax (908) 417-1818

This is to Certify
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Exhaust Control Systems

DuWayne Bohrer

These individuals are duly qualified to
install, adjust, service, and supervise
PlymoVent Diesel Exhaust Control Systems for use in
Fire House Apparatus Rooms.

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Dennis Bohrer

These individuals are duly qualified to
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Jeff Esser

These individuals are duly qualified to
install, adjust, service, and supervise
PlymoVent Diesel Exhaust Control Systems for use in
Fire House Apparatus Rooms.

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Jim Conley

These individuals are duly qualified to
install, adjust, service, and supervise
PlymoVent Diesel Exhaust Control Systems for use in
Fire House Apparatus Rooms.

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Jim Schuck

These individuals are duly qualified to
install, adjust, service, and supervise
PlymoVent Diesel Exhaust Control Systems for use in
Fire House Apparatus Rooms.

PLYMOVENT[®]

PlymoVent Corporation
375 Raritan Center Parkway Edison, New Jersey 08837
Phone (908) 417-0808 Fax (908) 417-1818

This is to Certify
that the individuals listed below have successfully completed
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factory certification training program
regarding the proper installation of
PlymoVent Fire House Emergency Apparatus Diesel
Exhaust Control Systems

Tom Karth

These individuals are duly qualified to
install, adjust, service, and supervise
PlymoVent Diesel Exhaust Control Systems for use in
Fire House Apparatus Rooms.

COUNCIL COMMUNICATION

From: The City Manager

Subject: Plymovent Maintenance

Manager's Recommendation:

I recommend that a purchase order be approved and issued to Hastings Air Energy Control in the amount of \$2,500.00 for the annual maintenance and repair of the Saginaw Fire Department's Plymovent system for fiscal year 2010.

This vendor meets all requirements of §14.23, "Vendors", of "Purchasing, Contracting, and Selling Procedure," of Chapter 14, "Finance and Purchasing, of "Title 1," "General Provisions" of the Saginaw Code of Ordinances O-1.

Funds for this service is available in the Saginaw Fire Department's Operating Services, Account No. 101-3754-751.80-05.

Justification:

The fire department's plymovent system is required to keep exhaust fumes from fire apparatus diesel engines from building up in the fire station. Hastings is the only source vendor with the capabilities of maintaining or repairing this type of ventilation system.

Council Action:

Council _____ moved that the recommendation of the City Manager be approved.



CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT and AFFIDAVIT
Related to Contract/Amendment/Solicitation
EDS # 21402

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting the EDS:

Hastings Air Energy Control, Inc

Enter d/b/a if applicable:

The Disclosing Party submitting this EDS is:

the Applicant

B. Business address of the Disclosing Party:

5555 S Westridge Dr
New Berlin, WI 53151
United States

C. Telephone:

800-236-8450

Fax:

800-260-9199

Email:

davidfrantz@hastingsairenergy.com

D. Name of contact person:

Mr. David Alvin Frantz

E. Federal Employer Identification No. (if you have one):

39-0982992

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains:

Service Contract for the City of Chicago Fire Department and
Dept of Public Works

Which City agency or department is requesting this EDS?

DEPT OF PROCUREMENT SERVICES

Specification Number

Contract (PO) Number

Revision Number

Release Number

User Department Project Number

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

Privately held business corporation

Is the Disclosing Party incorporated or organized in the State of Illinois?

Yes

B. DISCLOSING PARTY IS A LEGAL ENTITY:

1.a.1 Does the Disclosing Party have any directors?

No

1.a.3 List below the full names and titles of all executive officers and all directors, if any, of the entity. Do not include any directors who have no power to select the entity's officers.

Officer/Director: Mr. Daniel Jay Bohrer
Title: Vice President
Role: Officer

Officer/Director: Mr. David Allen Bohrer
Title: President
Role: Officer

Officer/Director: Mr. Michael Lee Bohrer
Title: Vice President
Role: Officer

Officer/Director: Mr. DuWayne Allen Bohrer
Title: Vice President
Role: Officer

Officer/Director: Mr. Dennis Bohrer
Title: Vice President
Role: Officer

2. Ownership Information

Please provide ownership information concerning each person or entity having a direct or indirect beneficial interest in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate, or other similar entity. Note: Pursuant to Section 2-154-030 of the Municipal code of Chicago, the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

There are no owners with greater than 7.5 percent ownership in the Disclosing Party.

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

No

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

1. Has the Disclosing Party retained any legal entities in connection with the Matter?

No

3. Has the Disclosing Party retained any persons in connection with the Matter?

No

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage of any child support obligations by any Illinois court of competent jurisdiction?

No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows:

- i. neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and
- ii. the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City.

NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

I certify the above to be true

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and

- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

I certify the above to be true

3. Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

I certify the above to be true

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of

- bid-rigging in violation of 720 ILCS 5/33E-3;
- bid-rotating in violation of 720 ILCS 5/33E-4; or
- any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

I certify the above to be true

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals

List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

I certify the above to be true

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), Chapter 2-56 (Inspector General) and Chapter 2-156 (Governmental Ethics) of the Municipal Code.

I certify the above to be true

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

The Disclosing Party certifies that, as defined in Section 2-32-455(b) of the Municipal Code, the Disclosing Party

is not a "financial institution"

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

No

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

If the Disclosing Party cannot make this verification, the Disclosing Party must disclose all required information in the space provided below or in an attachment in the "Additional Info" tab. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

I can make the above verification

SECTION VI -- CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

Is the Matter federally funded? For the purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

No

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. A training program is available on line at www.cityofchicago.org/city/en/depts/ethics.html, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

I acknowledge and consent to the above

The Disclosing Party understands and agrees that:

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS

and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

I acknowledge and consent to the above

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

I certify the above to be true

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.

I certify the above to be true

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

I certify the above to be true

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This question is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all corporate officers of the Disclosing Party, if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

No

ADDITIONAL INFO

Please add any additional explanatory information here. If needed you may add an attachment below.

List of vendor attachments uploaded by City staff

None .

List of attachments uploaded by vendor

None .

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

/s/ 04/11/2011

Mr. David Alvin Frantz

Contract Compliance

Hastings Air Energy Control, Inc

This is a printed copy of the Economic Disclosure Statement, the original of which is filed electronically with the City of Chicago. Any alterations must be made electronically, alterations on this printed copy are void and of no effect.