



DEPARTMENT OF PROCUREMENT SERVICES NON-COMPETITIVE REVIEW BOARD (NCRB) APPLICATION

Complete this cover form and the **Non-Competitive Procurement Application Worksheet** in detail. Refer to the page entitled **"Instructions for Non-Competitive Procurement Application"** for completing this application in accordance with its policy regarding NCRB. Complete "other" subject area if additional information is needed. Subject areas must be fully completed and responses merely referencing attachments will not be accepted and will be immediately rejected.

Department DoIT	Originator Name Sunil Thomas	Telephone 312-744-3960	Date 10/7/2013	Signature of Application Author
Contract Liaison Judy Mims	Email Contract Liaison judith.mims@cityofchicago.org	Telephone 312-742-1817		

List Name of NCRB Attendees/Department	
Brenna Berman Judith Mims Sunil Thomas	

Request NCRB review be conducted for the product(s) and/or service(s) described herein.

Company: **Infor Public Sector**

Contact Person: David Griffin	Phone: 916-436-6644	Email: dgriffin@infor.com
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Project Description: **IT software and professional services for Inspection and permitting system. Request for time extension and vendor limit increase.**

<p>This is a request for:</p> <p><input type="checkbox"/> New Contract</p> <p>Contract Type</p> <p><input type="checkbox"/> Blanket Agreement Term: ____ (# of mo)</p> <p><input type="checkbox"/> Standard Agreement</p>	<p><input checked="" type="checkbox"/> Amendment / Modification</p> <p>Type of Modification</p> <p><input checked="" type="checkbox"/> Time Extension <input checked="" type="checkbox"/> Vendor Limit Increase <input type="checkbox"/> Scope Change</p> <p>Contract Number: 16761</p> <p>Specification Number: 61590</p> <p>Modification Number: _____</p>
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<p>Department Request Approval</p> <p></p> <p>DEPARTMENT HEAD OR DESIGNEE</p> <p>Berman</p> <p>PRINT NAME</p>	<p>Recommended Approval</p> <p></p> <p>BOARD CHAIRPERSON</p> <p>Rich Butler</p> <p>PRINT NAME</p>
<p>10-9-13</p> <p>DATE</p>	<p>JAN 27 2014</p> <p>DATE</p>

(FOR NCRB USE ONLY)
Recommend Approval/Date: 1-21-14
Return to Department/Date: _____
Rejected/Date: _____

SY 1/17/14

Approved Rejected

CHIEF PROCUREMENT OFFICER	DATE
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**DEPARTMENT OF PROCUREMENT SERVICES
NON-COMPETITIVE REVIEW BOARD (NCRB) APPLICATION
JUSTIFICATION FOR NON-COMPETITIVE PROCUREMENT WORKSHEET**

All applicable information on this worksheet must be addressed using each question found on the "Instructions for Non-Competitive Procurement Application" in this application.

Justification for Non-Competitive Procurement Worksheet

PROCUREMENT HISTORY

1. N/A
2. This is a continuation of previous procurement from same source. On February 18, 2009, Hansen Information Technology, was awarded a 5 year contract (16761) for Hansen 8 license, implementation, maintenance and support. On January 9, 2012, the contract was amended to add Enterprise Asset Management(EAM) and/or Hansen 8 Asset licenses.
3. N/A
4. N/A
5. An RFP will be published to secure services to expand Hansen 8 implementation. A potential exists to segregate maintenance/support from implementation.
6. Yes. Future competitive bidding is possible.

ESTIMATED COST

1. \$3,980,123.80 needs to be added the vendor limit increase to cover Hansen 8 license, Enterprise Asset Management license, software implementation and support for a period from 1/1/2014 through 12/31/2014. The funding source is TBD.
2. Annual estimated cost for professional services is \$3,082,123.80 and product license is \$898,000 (Hansen 7/8 license is 698,000 and Enterprise Asset management licenses is 200,000).
3. Cost estimate was based on professional services cost listed in contract (16761) for optional period and annual license fees associated with Hansen 8 and Enterprise Asset Management.
4. Based on enterprise license for Hansen 8 , City is in the process of consolidation/replacing number of permitting and inspection systems thus creating cost savings in terms of license, hardware and support.
5. The annual maintenance cost is based on contract (16761) for optional period (1/1/2014 - 12/31/2014). The estimated cost covers license, implementation and support of Hansen 8 application across the City.

SCHEDULE REQUIREMENTS

1. The contract expires 12/31/2013.
2. N/A
3. Vendor is in the process of implementing Hansen 8 for number of City departments and it is essential that this contract be extended to complete those implementations.
4. Vendor is responsible for supporting current implementation of Hansen 8 at Fire Prevention and Public Health (P&I group) for permits and inspections. In addition vendor is supporting Hansen 7 that is critical for Department of Buildings operations.

EXCLUSIVE OR UNIQUE CAPABILITY

1. N/A
2. Yes. Hansen 8 is a product owned by Infor and using their personnel for implementation and support produces quality deliverables.



**DEPARTMENT OF PROCUREMENT SERVICES
NON-COMPETITIVE REVIEW BOARD (NCRB) APPLICATION
JUSTIFICATION FOR NON-COMPETITIVE PROCUREMENT WORKSHEET**

3. Vendor was responsible for implementing Hansen 7 in the City. It is critical and efficient to use vendor's knowledge on City's business process and their experience with their product (Hansen 8) to complete on going projects.

4. N/A

5. Vendor has spent several years implementing and supporting Hansen 7/8 with City and has an extensive knowledge of City's business processes related to inspection and permitting.

6. N/A. This request is for the extension of professional services.

7. N/A. This request is for the extension of professional services to allow Vendor to complete on going projects that have been delayed due to funding issues.

8. N/A

OTHER

1. N/A



DEPARTMENT OF PROCUREMENT SERVICES NON-COMPETITIVE REVIEW BOARD (NCRB) APPLICATION INSTRUCTIONS FOR NON-COMPETITIVE PROCUREMENT APPLICATION

INSTRUCTIONS FOR PREPARATION OF NON-COMPETITIVE PROCUREMENT APPLICATION

If a City Department has determined that the purchase of supplies, equipment, work and/or services cannot be done on a competitive basis, a justification must be prepared on this "Justification for Non-Competitive Procurement Application" in which procurement is requested on a or non-competitive basis in accordance with 65 ILCS 5/8-10-4 of the Illinois Compiled Statutes. Using this instruction sheet, all applicable information must be addressed on the worksheet. The information provided must be complete and in sufficient detail to allow for a decision to be made by the Non-Competitive Procurement Review Board. For Amendments, Modifications, describe in detail the change in terms of dollars, time period, scope of services, etc., its relationship to the original contract and the specific reasons for the change. Indicate both the original and the adjusted contract amount and/or expiration date with this change.

Attach a DPS Checklist and any other required documentation; the Board will not consider justification with incomplete information documentation or omissions.

PROCUREMENT HISTORY

1. Describe the requirement and how it evolved from initial planning to its present status.
2. Is this a first time requirement or a continuation of previous procurement from the same source? If so, explain the procurement history.
3. Explain attempts made to competitively bid the requirement (attach copy of sources contacted).
4. Describe in detail all research done to find other sources; list other cities, companies in the industry, professional organizations contacted. List periodicals and other publications used as references.
5. Explain future procurement objectives. Is this a one-time request or will future requests be made for doing business with the same source?
6. Explain whether or not future competitive bidding is possible. If not, explain in detail.

ESTIMATED COST

1. What is the estimated cost for this requirement or for each contract, if multiple awards are contemplated? What is the funding source?
2. What is the estimated cost by fiscal year?
3. Explain the basis for estimating the cost and what assumptions were made and/or data used (i.e., budgeted amount, previous contract price, current catalog or cost proposal from firms solicited, engineering or in-house estimate, etc.)
4. Explain whether the proposed Contractor or the City has a substantial dollar investment in original design, tooling or other factors which would be duplicated at City expense if another source was considered. Describe cost savings or other measurable benefits to the City which may be achieved.
5. Explain what negotiation of price has occurred or will occur. Detail why the estimated cost is deemed reasonable.

SCHEDULE REQUIREMENTS

1. Explain how the schedule was developed and at what point the specific dates were known.
2. Is lack of drawings and/or specifications a constraining factor to competitive bidding? If so, why is the proposed Contractor the only person or firm able to perform under these circumstances? Why are the drawings and specifications lacking? What is the lead time required to get drawings and specifications suitable for competition? If lack of drawings and specifications is not a constraining factor to competitive bidding, explain why only one person or firm can meet the required schedule.
3. Outline the required schedule by delivery or completion dates and explain the reasons why the schedule is critical.
4. Describe in detail what impact delays for competitive bidding would have on City operations, programs, costs and budgeted funds.

EXCLUSIVE OR UNIQUE CAPABILITY

1. If contemplating hiring a person or firm as a Professional Service Consultant, explain in detail what professional skills, expertise, qualifications, and/or other factors make this person or firm exclusively or uniquely qualified for the project. Attach a copy of the cost proposal, scope of services, and **Temporary Consulting Services Form**.
2. Does the proposed firm have personnel considered unquestionably predominant in the particular field?
3. What prior experiences of a highly specialized nature does the person or firm exclusively possess that is vital to the job, project or program?
4. What technical facilities or test equipment does the person or firm exclusively possess of a highly specialized nature which is vital to the job?
5. What other capabilities and/or capacity does the proposed firm possess which is necessary for the specific job, project or program which makes them the only source who can perform the work within the required time schedule without unreasonable costs to the City?
6. If procuring products or equipment, describe the intended use and explain any exclusive or unique capabilities, features and/or functions the items have which no other brands or models possess. Is compatibility with existing equipment critical from an operational standpoint? If so, provide detailed explanation?
7. Is competition precluded because of the existence of patent rights, copyrights, trade secrets, technical data, or other proprietary data (attach documentation verifying such)?
8. If procuring replacement parts and/or maintenance services, explain whether or not replacement parts and/or services can be obtained from any other sources? If not, is the proposed firm the only authorized or exclusive dealer/distributor and/or service center? If so, attach letter from manufacturer on company letterhead.

MBE/WBE COMPLIANCE PLAN

- * All submissions must contain detailed information about how the proposed firm will comply with the requirements of the City's Minority and Women Owned Business program. All submissions must include a completed C-1 and D-1 form, which is available on the Procurement Services page on the City's intranet site. The City Department must submit a Compliance Plan, including details about direct and indirect compliance.

OTHER

1. Explain other related considerations and attach all applicable supporting documents, i.e., an approved "ITGB Form" or "Request For Individual Hire Form".

REVIEW AND APPROVAL

This application must be signed by both Originator of the request and signed by the Department Head. After review and final disposition from the Board, this application will be signed by the Board Chairman. After review and final disposition from the Board, this form will be presented to the Chief Procurement Officer recommending approval.



380 St. Peter Street
St. Paul, MN 55102

Patricia A. Elias
Associate General Counsel

Direct Tel: 651-767-4866
PATRICIA.ELIAS@INFOR.COM

September 23, 2013

City of Chicago
Attn: Ms. Brenna Berman
Department of Innovation and Technology
50 West Washington
Chicago, IL 60602

RE: Hansen Software

Dear Ms. Berman,

This will confirm that Infor Public Sector, Inc., formerly Hansen Information Technologies, is the single source in the United States of Hansen version 7 & 8 and Infor EAM software. Infor is the developer of the Hansen and Infor EAM software and owns proprietary rights thereto. Currently, there are no Infor-certified third party providers of services and maintenance for the Hansen and Infor EAM software.

If you have any questions or require additional information, please do not hesitate to contact me by phone (651-767-4866) or email (patricia.elias@infor.com).

Sincerely,
Infor Public Sector, Inc.

A handwritten signature in black ink that reads "Patricia Elias". The signature is written in a cursive style with a large initial "P".

Patricia Elias
Associate General Counsel

AUCKLAND • LONDON • MELBOURNE • SACRAMENTO • SYDNEY • TORONTO

Corporate Headquarters: 11000 Olson Drive, #201 • Rancho Cordova, CA, USA 95670-5653
Phone: 800.821.9316 • 916-403-6200 • Fax: 916-403-6303 • www.infor.com

Project Name:	Chicago - Hansen Support and Upgrade to Hansen 8
	The below proposed cost is based on the scope, deliverables, assumptions and detailed statement of work defined by City of Chicago. The rate is based on current PO Number 16761 and Infor's internal task order number 123.

Proposed cost			
Role	Estimated Hours	Rate	Estimated Fee
Technical Consultant	2000	\$ 164.80	\$ 329,600.00
Technical Consultant	2000	\$ 164.80	\$ 329,600.00
Technical Consultant	2000	\$ 164.80	\$ 329,600.00
Technical Consultant	2000	\$ 164.80	\$ 329,600.00
Sr. Technical Consultant	2000	\$ 175.10	\$ 350,200.00
Sr. Technical Consultant	0	\$ 175.10	\$ -
Sr. Technical Consultant	0	\$ 175.10	\$ -
Solution Engineer	845	\$ 164.16	\$ 138,715.20
Solution Engineer	2000	\$ 164.16	\$ 328,320.00
Solution Engineer	2000	\$ 164.16	\$ 328,320.00
Project Manager	2000	\$ 173.81	\$ 347,620.00
Application Consultant	2000	\$ 135.19	\$ 270,380.00
Solution Engineer	0	\$ -	\$ -
Total	18845		\$3,081,955.20

Estimated time, fees and costs listed in this Work Order represent an estimate only. Actual project time, fees and costs may vary from the estimates provided. Where a substantial variation from this Work Order is foreseen, both parties must agree in writing to the additional work and amend this Work Order accordingly. All services are provided on a time and materials basis and are billed monthly. Travel and living expenses are included in the estimated fees stated herein. Overtime rates of 150% of the quoted rate apply for after hours and weekend work. "After hours work" is defined as services performed between 8:00 p.m. and 6:00 a.m. "Weekend work" is defined as services performed between 8:00 p.m. Friday and 6:00 a.m. Monday. Holiday rates of 200% of the quoted rate apply for all holiday work.

LOCATIONS: Services may be provided at the facilities of Consultant or its Subcontractors, or at the City sites listed below. A minimum of ½ day (4 hours) will be charged for all work at City's facilities. Remote services provided via phone, facsimile or remote access to City's site will be charged at the standard hourly rate.

Infor Public Sector, Inc.

Signature: 

Printed Name: David Griffin

Title: Project Director

Address:


Address:

Signature Date: 11/06/2013



DEPARTMENT OF INNOVATION AND TECHNOLOGY
CITY OF CHICAGO
M E M O R A N D U M

TO: Jamie L. Rhee
Chief Procurement Officer
Procurement Services

FROM: Brenna Berman 
Chief Information Officer
Innovation and Technology

DATE: October 9, 2013

RE: NCRB Extension and Vendor Limit Increase Request
PO 16761 / Infor Public Sector Inc. (FKA Hansen Information Technologies)
Specification No. 61590
RX 85623/Request for 1 year extension

The Department of Innovation and Technology (DoIT) is requesting a one year extension to the Consulting Agreement between Infor Public Sector Inc., and the City of Chicago (PO 16761), for the provision of acquiring professional services for Hansen 8 software implementation and support for the permitting, inspection services, fee payments, online web portals and mobile solutions. DoIT working closely with the vendor team is in the process of migrating permitting and Inspection functions for Department of Transportation and Buildings to Hansen 8.

Accordingly, we are requesting that the expiration date for PO 16761 extended one year to 31, December 2014 and the contract limit extended by \$3,082,123.80. It would enable DoIT to complete Hansen 8 implementation and maintain critical level of support for Permitting and inspections functions across multiple departments.

Furthermore, this would also allow DoIT to procure licenses for Infor's Enterprise Asset Management tool to start the implementation a City-wide asset management program. Current consulting agreement (PO 16761) was amended in January, 2012 (Request # 58487) to allow for procurement of licenses by City of Chicago.

Attached are the sole source justification package, a Project Checklist, Statement of work, EDS and MBE/WBE compliance plan.

Project Name: Project ID:	Chicago - Hansen Support and Upgrade to Hansen 8
Objective:	<p>The key objectives are 1) Provide technical Hansen Support , 2) Upgrade department of Buildings from Hansen 7 to Hansen 8 3) Migrate Chicago Department of Transportation permitting system to Hansen 8 4) Staff other projects as approved by the City.</p> <p>This scope of work is for the calendar year of 2014 starting January 1, 2014 and ending December 31, 2014 for an estimated \$3,081,955.20</p>
Project Scope	
<ol style="list-style-type: none"> 1. The City is seeking assistance from Consultant in providing post implementation support for their deployed Hansen system as well as services for additional implementation requirements. 2. The City is requesting support for the following services in addition to standard Hansen support: 	
Project Deliverables	
<ol style="list-style-type: none"> 1. The current software applications to be supported by the Onsite Hansen project team include: <ul style="list-style-type: none"> • Hansen CDR – Building Permits • Hansen CDR – Use permits • Hansen CDR – Planning • Hansen CDR – Projects • Hansen CDR – Code Enforcement / Case Management • Hansen Customer Services / Complaint Management • Hansen – Buildings/Equipment • Hansen – Dynamic Portal for Permits • Hansen Mobile Solutions 2. The project team will focus their attention on approved DoIT work projects in support of the Hansen application, as agreed upon by City and Consultant project management, including, but not limited to the following projects: <ul style="list-style-type: none"> • Hansen 7 Support • Hansen 8 Support • Department of Buildings Upgrade from Hansen 7 to Hansen 8 • Department of Transportation migration from legacy system 	
Project Assumptions	

Assumptions for Level of Effort

These assumptions represent operation guidelines intended to protect the interests of both the City and Consultant and to minimize costs. If these assumptions are not met it could increase the cost of this project.

1. The complexity of the process was not miscommunicated and understated in the discussions that led to the creation of this Work Order.
2. Appropriate 'process experts' will be available for interview with reasonable notice and will have adequate knowledge of the process to provide answers regarding the process and associated business rules.
3. Process owners (department heads, managers, supervisors) will be available with reasonable notice to confirm and validate the results of the process interviews.
4. In the event questions arise regarding the correct policy or process, the question will be resolved within 24 hours with a definitive answer from an appropriate 'authority' within the City organization.
5. City personnel will attend and be on time for all scheduled process interviews, technical 'side bars', question resolution sessions and 'sign-off' meetings. City personnel will remain available throughout the scheduled time period.
6. The City will provide qualified personnel for testing process setups within Hansen when requested. Issues or problems that are identified during testing will be documented in an approved format.
7. The City will complete testing in a reasonable amount of time (as per the estimated effort) and correctly identify and document all problems present during that 'round' of testing.
8. Following revisions to the setup by Infor, the City will promptly retest and provide written verification either that the requested change has been completed and accepted or that the change is in error and provide clarification regarding the proper correction.
9. No changes are needed to the process after final approval.

Any software change request will be evaluated on any individual basis and are not a part of any cost estimate in this task order. The City will assign a Project Manager, counterpart to the Consultant Project Manager, who will be responsible for the timely management and follow-up of tasks assigned to City personnel.

Project Exclusions

None

Consultant Responsibilities

Description of Work

Project Manager

- Single point of contact for all project related issues – technical, financial, organizational, Management
- Develops and manages the Project Plan milestones
- Day-to-day management of project deliverables and communication including allocation of resources, guidance and support for project staff, resolution of project scheduling and delays
- Completes and delivers the Executive Monthly Status Report and Weekly Status Report
- Assists team members as needed with assigned tasks

PM Lead

- Provide Hansen production support to address documented defects and provide enhancements as directed by City Steering Committee in conjunction with the City's DoIT IT Director and Consultant Project Manager.
- Assists team members and Project Manager as needed with assigned tasks
- Key point of contact when Project Manager is not on site

Application Consultant(s) (Business Analyst/Configurator)

- Provide Hansen production support to address documented defects and provide enhancements as directed by City Steering Committee in conjunction with the City's DoIT IT Director and Consultant Project Manager.
- Review and analyze the "as-is" business process to formulate the "to-be" process
- The "to-be" process will be documented in the SDD that consists of Visio's, Tabular Data and Word Document
- Work in partnership with DoIT and DOB to identify business process improvement opportunities

- Collaborate with Consultant team members, DoIT and DOB to help ensure all system design requirements adequately reflect the defined business processes and fully support all components of the functional business requirements
- Configure Hansen 8 Workflows
- Develop Test Scripts and support Testing

Technical Consultant – Conversions

- Provide Hansen production support to address documented defects and provide enhancements as directed by City Steering Committee in conjunction with the City's DoIT IT Director and Consultant Project Manager.
- Evaluate databases and metadata files submitted for Hansen 8 Conversion
- Provide recommendations on conversion approach

Technical Consultant – Interfaces

- Provide Hansen production support to address documented defects and provide enhancements as directed by City Steering Committee in conjunction with the City's DoIT IT Director and Consultant Project Manager.
- Evaluate sample data and external systems
- Provide recommendations on interface approach

Application Consultant – Crystal Reports

- Provide Hansen production support to address documented defects and provide enhancements as directed by City Steering Committee in conjunction with the City's DoIT IT Director and Consultant Project Manager.
- Evaluate reports
- Provide recommendations on the implementation of the reports.

Application Consultant – Dynamic Portal

- Provide Hansen production support to address documented defects and provide enhancements as directed by City Steering Committee in conjunction with the City's DoIT IT Director and Consultant Project Manager.
- Discuss and analyze business process and recommend an implementation strategy

City of Chicago Responsibilities

City shall have the following responsibilities under this Work Order:

- City will provide a Project Manager
- City will provide designated internal resources as required to complete project activities
- City will maintain the project plan for all requested consulting services
- City will provide the proper infrastructure for the execution of outlined project

City of Chicago

Signature:  _____

Printed Name: Sunil Thomas

Title: IT Director

Address: 50 W Washington St.

Address: Chicago, IL 60602

Signature Date: 11/06/2013

Department of Procurement Services Project Checklist

Attach required forms for each procurement type and detailed scope of services and/or specifications and forward original documents to City Hall, Room 806, Attention: Chief Procurement Officer.

For blanket agreements, original or lead department must consult with other potential departments who may want to participate on the blanket agreement. If grant funded, attach copy of the approved grant application and other terms and conditions of the funding source. Note: 1) Funding: Attach information on multiple funding lines; 2) Individual Contract Services: Include approval forms signed by Department Head and CBO; 3) ITGB: If project valued at \$100,000.00 or more, attach approval worksheet sheet.

Current Date: December 13, 2013		Estimated Contract Award Date:	
Department Name: Innovation & Technology		Contract Liaison: J. Mims	Project Manager: S. Thomas
Requisition No.: 87460	Specification No.: 01590	Telephone: >2-1817	Telephone: >4-3980
PO No.: 16761	Modification No.: 3	Email: Judith.Mims@cityofchicago.org	Email: Sunil.Thomas@cityofchicago.org
Contract Officer Signature 			

Project Description: Sole Source Request for 1 Year Extension & Vendor Limit Increase for PO 16761 HANSEN INFORMATION TECH (INFOR) HANSEN & SOFTWARE LICENSE, IMPLEMENTATION, MAINTENANCE & SUPPORT

Funding:

<input checked="" type="checkbox"/> Corporate	<input type="checkbox"/> Bond	<input type="checkbox"/> Enterprise	<input type="checkbox"/> Grant*	<input type="checkbox"/> Other
<input type="checkbox"/> IDOT/Transit	<input type="checkbox"/> IDOT/Highway	<input type="checkbox"/> FHWA	<input type="checkbox"/> FTA	<input type="checkbox"/> FAA

LINE	FY	FUND	DEPT	ORGN	APPR	ACTV	PROJECT	RPTG	ESTIMATED \$ DOLLAR AMOUNT
1 & 2	013	0100	008	2005	0138	-0-	-0-	-0-	3,990,123.00

Check One:

New Contract Request Modification or Amendment

Purchase Order Information:

Contract Term (No. of Years): 1.0

Estimated Spend/Value: \$

***Grant Commitment / Expiration Date:**

Pre-Bid/Submittal Conference:

Yes Mandatory
 No Site Visit

MBE/MBE/DBE Analysis:

Full Compliance Contract Specific Goals
 No Stated Goals Waiver Request

Attach MBE/MBE or DBE Goal Setting Memo

Purchase Order Type:	Contract Type:	Modification/Amendment Type:
<input checked="" type="checkbox"/> Blanket Purchase Order (DUR)	<input type="checkbox"/> Architect Engineering	<input checked="" type="checkbox"/> Time Extension
<input type="checkbox"/> Master Consultant Agreement (Task Order)	<input type="checkbox"/> Commodity	<input checked="" type="checkbox"/> Vendor Limit Increase
<input type="checkbox"/> Standard/One-Time Purchase	<input type="checkbox"/> Construction <input type="checkbox"/> JOC	<input type="checkbox"/> Scope Change/P rice Increase/Additional Line Item(s)
Procurement Method:	<input checked="" type="checkbox"/> Professional Services	<input type="checkbox"/> Requisition Encumbrance Adjustment
<input type="checkbox"/> Bid <input type="checkbox"/> RFP <input type="checkbox"/> RFQ <input type="checkbox"/> RFI	<input type="checkbox"/> Revenue Generating	<input type="checkbox"/> Other (specify)
<input type="checkbox"/> Small Order	<input type="checkbox"/> Vehicle & Heavy Equipment	Vendor Info:
Special Approvals Required:	<input type="checkbox"/> Work Service	Name: Infor Public Sector, Inc.
<input type="checkbox"/> Emergency	<input type="checkbox"/> Joint Procurement	E-mail: David.Griffin@infor.com
<input checked="" type="checkbox"/> Non-Competitive Review Board (NCRB)	<input type="checkbox"/> Reference Contract	Address: 13500 Morris Road, Suite 4100, Alpharetta, GA 30004
<input type="checkbox"/> Request for Individual Contract Services		Contact Person: David Griffin
<input type="checkbox"/> Information Technology Governance Board (ITGB)		Phone: 918 436 6644
<input type="checkbox"/> GSAUS Communities		
Innovative Procurement:		
<input type="checkbox"/> Pilot Test		

**CITY OF CHICAGO
 PRE-APPROVED
 MODIFICATION / OVERRIDE REQUISITION**

REPRINT

DELIVER TO: 006-2005 DOIT 50 W WASHINGTON Chicago, IL 60601	REQUISITION: 87460 For PO Number: 16761 PAGE: 1 DEPARTMENT: 06 - DEPT OF INNOVATION & TECHNOLO PREPARER: Judith A Mims NEEDED: PRE-APPROVED 12/13/2013
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REQUISITION DESCRIPTION

Sole Source Request for 1Year Extension & Vendor Limit Increase for PO 16761/HANSEN INFORMATION TECH.(INFOR)/HANSEN 8 SOFTWARE LICENSE, IMPLEMENTATION, MAINTENANCE & SUPPORT
 SPECIFICATION NUMBER: 61590
 Mod Reason: TIME EXTENSION

COMMODITY INFORMATION

LINE	ITEM	QUANTITY	UOM	UNIT COST	TOTAL COST						
1	92045 Contract Extension	1.00	Year	0.00	0.00						
SUGGESTED VENDOR: INFOR PUBLIC SECTOR INC		REQUESTED BY: Judith A Mims									
DIST	BFY	FUND	COST CTR	APPR	ACCNT	ACTV	PROJECT	RPT CAT	GENRL	FUTR	
1	013	0100	0062005	0138	220138	0000	00000000	000000	00000	0000	0.00
LINE TOTAL:											0.00
LINE	ITEM	QUANTITY	UOM	UNIT COST	TOTAL COST						
2	92045 Vendor Limit Increase	3,990,123.80	USD	0.00	0.00						
SUGGESTED VENDOR: INFOR PUBLIC SECTOR INC		REQUESTED BY: Judith A Mims									
DIST	BFY	FUND	COST CTR	APPR	ACCNT	ACTV	PROJECT	RPT CAT	GENRL	FUTR	
1	013	0100	0062005	0138	220138	0000	00000000	000000	00000	0000	0.00
LINE TOTAL:											0.00
REQUISITION TOTAL:											0.00

Where a commodity is for a particular or unique use other than standard quality, grades, color, size or other characteristics, give details of how it will be and for what purpose. Requisitions prepared incorrectly will be returned to the using department.

Twohig, Colleen

From: Mims, Judith
Sent: Wednesday, December 04, 2013 1:32 PM
To: Twohig, Colleen
Subject: Outstanding items for NCRB approval/ Hansen/Infor
Attachments: New_insurance_certificate.pdf

Importance: High

Hi Colleen-

Please find included in this email and attached the items requested yesterday (12/4/13) by the NCRB for the approval on the extension and VLI on behalf of Infor (Hanson)/PO 15761:

1. Certificate of Insurance (attached)

2. Clarification on estimated budgeted amount:

13-100-062005-0138-0138	\$698,000	Licenses
13-0C42-062005-5437- 54035401	\$2,998,180	Services
13-0C42-062005-5439- 54037401	\$630,000	Services

3. Clarification on whether total budgeted amount is \$3M or \$3.9M-

Services – 3,082,123.80
Licenses - 898,000.00

\$3,990,123.80

If the board requires additional information in this regard, please let me know. Thanks for your assistance.

Judy Mims
DoIT
Innovation and Technology
X2-1817

Twohig, Colleen

From: Mims, Judith
Sent: Friday, December 13, 2013 4:15 PM
To: Twohig, Colleen
Cc: Thomas, Sunil; Sandu, Carmen
Subject: RE: Outstanding items for NCRB approval/ Hansen/Infor

Colleen-

Attached please find cost estimates for the EAM Enterprise and Hansen Software Licenses.

The new budget should now be-

Services –	3,082,123.80
Licenses -	898,000.00

	\$3,990,123.80

I've entered a new requisition for the correct amount of \$3,990,123.80. it will print out on Monday. I will be OOO on that day, but will forward the new checklist and requisition to you on Tuesday.

If the board requires additional information in this regard, please let me know. Thanks for your assistance.

Judy Mims
DoIT
Innovation and Technology
X2-1817



CERTIFICATE OF LIABILITY INSURANCE

140600

DATE (MM/DD/YYYY)
11/5/2013

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Commercial Lines - (404) 923-3700 Wells Fargo Insurance Services USA, Inc. 3475 Piedmont Road NE, Suite 800 Atlanta, GA 30305-2886	CONTACT NAME: Leona Speir PHONE (A/C, No, Ext): 404-923-3638 FAX (A/C, No): 877-362-9069 E-MAIL ADDRESS: leona.speir@wellsfargo.com	
	INSURER(S) AFFORDING COVERAGE	
INSURED Infor Enterprise Applications LP and its Subsidiaries 13560 Morris Road Suite 4100 Alpharetta GA 30004	INSURER A: Federal Insurance Company	NAIC # 20281
	INSURER B: Chubb Indemnity Insurance Co.	NAIC # 12777
	INSURER C: Lloyd's of London	
	INSURER D:	
	INSURER E:	

COVERAGES **CERTIFICATE NUMBER:** 6843355 **REVISION NUMBER:** See below

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSR WYD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
A	GENERAL LIABILITY <input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> Broad Form Property Damage <input checked="" type="checkbox"/> Blanket Contractual GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC		35851844	11/30/13	11/30/14	EACH OCCURRENCE	\$ 1,000,000
						DAMAGE TO RENTED PREMISES (Ea occurrence)	\$ 1,000,000
						MED EXP (Any one person)	\$ 10,000
						PERSONAL & ADV INJURY	\$ 1,000,000
						GENERAL AGGREGATE	\$ 2,000,000
						PRODUCTS - COMP/OP AGG	\$ 2,000,000
							\$
A	AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input checked="" type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS		73543744	11/30/2013	11/30/2014	COMBINED SINGLE LIMIT (Ea accident)	\$ 1,000,000
			Hired Car Physical Damage-ACV			BODILY INJURY (Per person)	\$
						BODILY INJURY (Per accident)	\$
						PROPERTY DAMAGE (Per accident)	\$
							\$
A	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> EXCESS LIAB <input checked="" type="checkbox"/> RETENTION \$ 0	<input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS-MADE	79839130	11/30/2013	11/30/2014	EACH OCCURRENCE	\$ 10,000,000
						AGGREGATE	\$ 10,000,000
							\$
B	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? <input type="checkbox"/> Y <input checked="" type="checkbox"/> N If yes, describe under DESCRIPTION OF OPERATIONS below	N/A	71718041 (All States)	11/30/2013	11/30/2014	<input checked="" type="checkbox"/> WC STATUTORY LIMITS	OTH-ER
A			71725758 (Hawaii & Idaho)	11/30/2014	11/30/2014	E.L. EACH ACCIDENT	\$ 1,000,000
						E.L. DISEASE - EA EMPLOYEE	\$ 1,000,000
						E.L. DISEASE - POLICY LIMIT	\$ 1,000,000
A	Binkt Bldg/BPP ALL RISK/R/C Business Theft		35851844	11/30/2013	11/30/2014	\$22,907,147/ \$5,000 Deductible Business Interruption-12 Months	

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

NAMED INSURED: HANSEN INFORMATION TECHNOLOGIES.

The City of Chicago is named as Additional Insured on a Primary and Non-Contributory basis for General Liability, Automobile Liability, Valuable Papers and Umbrella Liability coverage, but only as required by written contract with the Named Insured, per the terms and conditions of the policies. Waiver of Subrogation applies to General Liability and Automobile Liability, per the terms and conditions of the policies. All Risk Property/Installation Insurance must be maintained by the Consultant at replacement cost. Insuring loss or damage to City property including Department of Business Information Services equipment/system, computer software, materials, parts and supplies that are part of the project during the course of design, development, Implementation,

CERTIFICATE HOLDER

City of Chicago
 Attn: Brenda Berman
 1st Deputy Commissioner
 50 W Washington
 Chicago, IL 60602

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Certificate of Insurance (Con't)

OTHER Coverage

INSR LTR	TYPE OF INSURANCE	ADDL INSR	WVD SUBR	POLICY NUMBER	EFFECTIVE DATE (MM/DD/YY)	EXPIRATION DATE (MM/DD/YY)	LIMIT
C	E&O/Prof Liab			W101F5120701	11/30/2013	11/30/2014	\$6,000,000 per claim limit liability
	Retro Date:02/01/04						\$6,000,000 annual aggregate limit of liability
	Technology E&O						\$1,000,000 Deductible per claim

Additional Remarks Schedule (Continued from Page 1)

Installation, testing (until City acceptance), and during maintenance, support, training of theHansen8 Software. Coverage must include worksite, in transit, offsite, faulty workmanship or materials, testing and mechanical-electrical breakdown. City of Chicago is included as Additional Insured and loss payee as their interest may appear, per the term and conditions of the policies.

CERTIFICATE OF FILING FOR
CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT

EDS Number: 49739
Certificate Printed on: 11/22/2013

Date of This Filing:11/20/2013 02:28 PM
Original Filing Date:11/20/2013 02:28 PM

Disclosing Party: Infor Public Sector, Inc.
Filed by: Mr. Bradford Steiner

Title:VP and Deputy General Counsel

Matter: Hansen 8 Software Implementation,
Maintenance and Support
Applicant: Infor Public Sector, Inc.
Specification #: 61590
Contract #: 16761

The Economic Disclosure Statement referenced above has been electronically filed with the City. Please provide a copy of this Certificate of Filing to your city contact with other required documents pertaining to the Matter. For additional guidance as to when to provide this Certificate and other required documents, please follow instructions provided to you about the Matter or consult with your City contact.

A copy of the EDS may be viewed and printed by visiting <https://webapps1.cityofchicago.org/EDSWeb> and entering the EDS number into the EDS Search. Prior to contract award, the filing is accessible online only to the disclosing party and the City, but is still subject to the Illinois Freedom of Information Act. The filing is visible online to the public after contract award.



DEPARTMENT OF PROCUREMENT SERVICES
CITY OF CHICAGO

September 16, 2013

Arabel Alva Rosales
A. Alva Rosales & Associates, Ltd.
509 West 38th Street
Chicago, IL 60609

Dear Ms. Rosales:

This letter is to inform you that the City of Chicago has extended your status as a Minority Business Enterprise (MBE) and Women Business Enterprise (WBE) until **December 31, 2013**. We are providing this extension to allow enough time to provide any additional documentation that your application may be missing and for our office to complete our review of all of the submitted documents.

This extension does not guarantee eligibility in the program but will act as a courtesy extension until we receive all of the required documentation and complete a review of that documentation.

Please present this letter as evidence of your certification to be included with bid document submittals as needed.

If you have any questions, please feel free to call our office at 312-744-1929.

Sincerely,

George Coleman
Deputy Procurement Officer

/s/



FOR
NON-CONSTRUCTION
PROJECTS ONLY

SCHEDULE C-1
MBE/WBE Letter of Intent to Perform as a
Subcontractor, Supplier, or Consultant

Project Name: Hansen 8 Software Implementation, Maintenance Specification No.: 61590

From: A. Alva Rosales and Associates
(Name of MBE/WBE Firm)

To: Infor Public Sector, Inc and the City of Chicago.
(Name of Prime Contractor)

The MBE or WBE status of the undersigned is confirmed by the attached City of Chicago Certification Letter. 100% MBE or WBE participation is credited for the use of a MBE or WBE "manufacturer." 60% participation is credited for the use of a MBE or WBE "regular dealer."

The undersigned is prepared to perform the following services in connection with the above named project/contract. If more space is required to fully describe the MBE or WBE proposed scope of work and/or payment schedule, including a description of the commercially useful function being performed. Attach additional sheets as necessary:

Project Support Services for deployment and technical support

The above described performance is offered for the following price and described terms of payment:

\$308,212.00 - For the duration of the of the contract with the City of Chicago as per the contract agreement and payment by city prior to Subcontractor payments

SUB-SUBCONTRACTING LEVELS

A zero (0) must be shown in each blank if the MBE or WBE will not be subcontracting any of the work listed or attached to this schedule.

_____ % of the dollar value of the MBE or WBE subcontract that will be subcontracted to non MBE/WBE contractors.

_____ % of the dollar value of the MBE or WBE subcontract that will be subcontracted to MBE or WBE contractors.

NOTICE: If any of the MBE or WBE scope of work will be subcontracted, list the name of the vendor and attach a brief explanation, description and pay item number of the work that will be subcontracted. MBE/WBE credit will not be given for work subcontracted to Non-MBE/WBE contractors, except for as allowed in the Special Conditions Regarding Minority Business Enterprise Commitment and Women Business Enterprise Commitment.

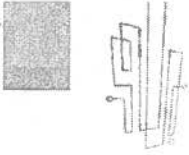
The undersigned will enter into a formal written agreement for the above work with you as a Prime Contractor, conditioned upon your execution of a contract with the City of Chicago, within three (3) business days of your receipt of a signed contract from the City of Chicago.

NOTICE: THIS SCHEDULE AND ATTACHMENTS REQUIRE ORIGINAL SIGNATURES.

9/23/13
(Signature of President/Owner/CEO or Authorized Agent of MBE/WBE) (Date)

Arabel Alva Rosales, President
(Name/Title-Please Print)

Arabel@AlvaRosales.com (773) 733-7140
(Email & Phone Number)



CITYTECH

211 W. WACKER DRIVE • SUITE 1300 • CHICAGO, IL 60606 • 312 673 6433 • WWW.CITYTECHINC.COM

September 17, 2013

Re: CITYTECH, Inc. WBE renewal pending

To Whom It May Concern:

CITYTECH, Inc. submitted a no-change affidavit on 9/24/2012 in compliance with our WBE annual requirement. On 12/19/2012 we received a response that the affidavit was received. On 1/5/2013 we were advised that we were required to renew our status and submit a new application.

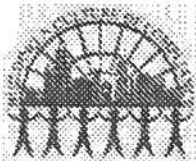
Our WBE certification is pending renewal.

Best regards,

A handwritten signature in cursive script, reading "Janet Van Bergen".

CITYTECH, Inc.

By Janet Van Bergen its President



CITY OF CHICAGO
OFFICE OF COMPLIANCE

From:	City of Chicago	Date:	1/5/2013 11:05:01 AM
To:	CITYTECH, Inc. Janet Van Bergen	Fax:	(847) 589-2581
		Phone:	(312) 673-6433

Certification Review In Process

Applicant: CITYTECH, Inc.
Certifying Agency: City of Chicago
Application Type: MBE/WBE/BEPD No Change Affidavit
Application Number: 2224881
Contact: Janet Van Bergen
Date Submitted: 9/24/2012
Date Received: 12/19/2012

Dear Janet Van Bergen,

Your application received on 12/19/2012 is now in process. During this time, you may be contacted to supply additional information and/or supporting documentation. The staff person assigned to review your application will contact you to schedule an on-site visit at your principal place of business, if required.

To view your application, visit: <https://chicago.mwdbe.com/?GO=677>

If you have any questions please email us at chicago@mwdbe.com

City of Chicago
Department of Procurement Services
<https://chicago.mwdbe.com/>

Need a training refresher? Take one of our online training classes – select Training Classes from the Help Support menu.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Infor Public Sector, Inc. formerly known as Hansen Information Technologies

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. the Applicant

OR

2. a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: _____

OR

3. a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party: 13560 Morris Road, Suite 4100

Alpharetta, GA 30004

C. Telephone: 678-319-8000 Fax: 678-319-8682 Email: publicsectorRFP@infor.com

D. Name of contact person: Patricia Elias

E. Federal Employer Identification No. (if you have one): 94-2913642

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Hansen 8 Software License, Implementation, Maintenance & Support

G. Which City agency or department is requesting this EDS? Department of Procurement Services

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # 61590 and Contract # 16761

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- | | |
|---|--|
| <input type="checkbox"/> Person | <input type="checkbox"/> Limited liability company |
| <input type="checkbox"/> Publicly registered business corporation | <input type="checkbox"/> Limited liability partnership |
| <input checked="" type="checkbox"/> Privately held business corporation | <input type="checkbox"/> Joint venture |
| <input type="checkbox"/> Sole proprietorship | <input type="checkbox"/> Not-for-profit corporation |
| <input type="checkbox"/> General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| <input type="checkbox"/> Limited partnership | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| <input type="checkbox"/> Trust | <input type="checkbox"/> Other (please specify) |

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

California

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

- Yes No N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
Gregory M. Giangiordano	Director, President
Brad Steiner	Secretary
Mark Henry	Treasurer
Patricia Elias	Associate General Counsel
Jay Hopkins	Principal Accounting Officer

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
Infor (US), Inc.	13560 Morris Road, Suite 4100 Alpharetta, GA 30004	100%

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

Yes No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
--	------------------	--	---

Capitol Consulting Group	405 Jackson Parkway Springfield, IL 62704-1923	Lobbyist	
--------------------------	---	----------	--

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

is is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes

No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes

No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes

No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes

No

If you checked "No" to question 1. or 2. above, please provide an explanation:

**SECTION VII – ACKNOWLEDGMENTS, CONTRACT INCORPORATION,
COMPLIANCE, PENALTIES, DISCLOSURE**

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Infor Public Sector, Inc.
(Print or type name of Disclosing Party)

By: _____
(Sign here)

Patricia Elias
(Print or type name of person signing)

Associate General Counsel
(Print or type title of person signing)

Signed and sworn to before me on (date) _____,
at _____ County, _____ (state).

Notary Public.

Commission expires: _____.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.



SCHEDULE C-1
MBE/WBE Letter of Intent to Perform as a
Subcontractor, Supplier, or Consultant

**FOR
NON-CONSTRUCTION
PROJECTS ONLY**

Project Name: Hansen 8 Software Implementation, Maintenance Specification No.: 61590

From: Sofbang LLC
 (Name of MBE/WBE Firm)

To: Infor Public Sector, Inc and the City of Chicago.
 (Name of Prime Contractor)

The MBE or WBE status of the undersigned is confirmed by the attached City of Chicago Certification Letter. 100% MBE or WBE participation is credited for the use of a MBE or WBE "manufacturer." 60% participation is credited for the use of a MBE or WBE "regular dealer."

The undersigned is prepared to perform the following services in connection with the above named project/contract. If more space is required to fully describe the MBE or WBE proposed scope of work and/or payment schedule, including a description of the commercially useful function being performed. Attach additional sheets as necessary:

Project Support Services for deployment and technical support

The above described performance is offered for the following price and described terms of payment:
~~\$462,318.57 - For the duration of the of the contract with the City of Chicago as per the contract agreement and~~
~~payment by city prior to Subcontractor payments~~

SUB-SUBCONTRACTING LEVELS

A zero (0) must be shown in each blank if the MBE or WBE will not be subcontracting any of the work listed or attached to this schedule.


_____ % of the dollar value of the MBE or WBE subcontract that will be subcontracted to non MBE/WBE contractors.

_____ % of the dollar value of the MBE or WBE subcontract that will be subcontracted to MBE or WBE contractors.

NOTICE: If any of the MBE or WBE scope of work will be subcontracted, list the name of the vendor and attach a brief explanation, description and pay item number of the work that will be subcontracted. MBE/WBE credit will not be given for work subcontracted to Non-MBE/WBE contractors, except for as allowed in the Special Conditions Regarding Minority Business Enterprise Commitment and Women Business Enterprise Commitment.

The undersigned will enter into a formal written agreement for the above work with you as a Prime Contractor, conditioned upon your execution of a contract with the City of Chicago, within three (3) business days of your receipt of a signed contract from the City of Chicago.

NOTICE: THIS SCHEDULE AND ATTACHMENTS REQUIRE ORIGINAL SIGNATURES.

 8/23/2013
 (Signature of President/Owner/CEO or Authorized Agent of MBE/WBE) (Date)

ALLEN POMERANTZ / GENERAL MANAGER
 (Name/Title-Please Print)

AL.POMERANTZ@SOFBANG.COM 630-995-0059
 (Email & Phone Number)



DEPARTMENT OF PROCUREMENT SERVICES
CITY OF CHICAGO

September 4, 2013

Allen Pomerantz
Sofbang LLC.
145 Tower Drive, #1,
Burr Ridge, IL 60527-1543

Email: al.pomerantz@sofbang.com

Dear Mr. Pomerantz,

This letter is to inform you that the City of Chicago has extended your status as **Minority Business Enterprise (MBE) until December 1, 2013**. We are providing this Extension to allow enough time to provide any additional documentation that your application may be missing and for our office to complete our review of all of the submitted documents.

This extension does not guarantee eligibility in the program but will act as a courtesy extension until we receive all of the required documentation and complete a review of that documentation.

Please present this letter and copy of your last certification letter as evidence of your certification to be included with bid document submittals as needed.

If you have any questions, please feel free to contact our office at (312) 744-1929.

Sincerely,

Denise Williams
Compliance/Certification Manager

DW/at



OFFICE OF CONTRACT COMPLIANCE

JACQUELINE GOMEZ

DIRECTOR

118 N. Clark, County Building, Room 1020 • Chicago, Illinois 60602 • (312) 603-5502

TONI PRECKWINKLE

PRESIDENT

Cook County Board
of Commissioners

EARLEAN COLLINS

1st District

ROBERT STEELE

2nd District

JERRY BUTLER

3rd District

STANLEY MOORE

4th District

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5th District

JOAN PATRICIA MURPHY

6th District

JESUS G. GARCIA

7th District

EDWIN REYES

8th District

PETER N. SILVESTRI

9th District

BRIDGET GAINER

10th District

JOHN P. DALEY

11th District

JOHN A. FRITCHEY

12th District

LARRY SUFFREDIN

13th District

GREGG GOSLIN

14th District

TIMOTHY O. SCHNEIDER

15th District

JEFFREY R. TOBOLSKI

16th District

ELIZABETH ANN DODY GORMAN

17th District

April 30, 2013

Mr. Rajinder Singh Duggal
President
Sofbang, LLC
145 Tower Drive #1
Burr Ridge, IL 60527

Annual Certification Expires: April 30, 2014

Dear Mr. Duggal:

Congratulations on your continued eligibility for Certification as a **MBE** by Cook County Government. This annual **MBE** Certification is valid until **April 30, 2014**.

As a condition of continued certification during this three (3) year period, you must file a "**No Change Affidavit**" within sixty (60) days prior to the date of annual expiration. Failure to file this Affidavit shall result in the termination of your certification. You must notify Cook County Government's Office of Contract Compliance of any change in ownership or control or any other matters or facts affecting your firm's eligibility for certification.

Cook County Government may commence action to remove your firm as a **MBE** vendor if you fail to notify us of any changes of facts affecting your firm's certification, or if your firm otherwise fails to cooperate with the County in any inquiry or investigation. Removal of status may also be commenced if your firm is found to be involved in bidding or contractual irregularities.

Your firm will be listed on the Internet in the next edition of the Cook County Directory of Minority, Women and Veteran Business Enterprises. Your area of specialty will be listed as:

**TECHNOLOGY: IT CONSULTING, IT INFRASTRUCTURE DESIGN, DATABASE SUPPORT
AND MAINTENANCE, APPLICATION DEVELOPMENT AND SUPPORT**

Your firm's participation on County contracts will be credited toward **MBE** goals in your area(s) of specialty. While your participation on Cook County contracts is not limited to your specialty, credited toward **MBE** goals will be given only for work performed in the specialty category.

Thank you for your continued interest in Cook County Government's Minority, Women and Veteran Business Enterprise Programs.

Sincerely,

Jacqueline Gomez
Contract Compliance Director

JG/ehw

2015



DEPARTMENT OF PROCUREMENT SERVICES
CITY OF CHICAGO

APR 00 2012

Allen Pomerantz
Sofbang, LLC
145 TOWER DRIVE #1 (EFT)
BURR RIDGE, IL 60527

Annual Certificate Expires: February 1, 2013

Dear Allen Pomerantz:

Congratulations on your continued eligibility for certification as a **Minority Business Enterprise (MBE)** by the City of Chicago. This certification is valid until **February 1, 2013**.

As you know, your firm must also be re-validated annually. As such, your firm's next No Change Affidavit is due by **February 1, 2013**. Please remember, you have an affirmative duty to file your No-Change Affidavit **60 days prior to the date of expiration**.

It is important to note that you also have an ongoing affirmative duty to notify the City of Chicago of any changes in ownership or control of your firm, or any other fact affecting your firm's eligibility for certification within 10 days of such change. These changes may include but are not limited to a change of address, change of business structure, change in ownership or ownership structure, change of business operations, and/or gross receipts that exceed the program threshold.

Please note – you shall be deemed to have had your certification lapse and will be ineligible to participate as a MBE/WBE/BEPD if you fail to:

- o file your No Change Affidavit within the required time period;
- o provide financial or other records requested pursuant to an audit within the required time period; or
- o notify the City of any changes affecting your firm's certification within 10 days of such change.

Further, if you or your firm is found to be involved in certification, bidding and/or contractual fraud or abuse, the City will pursue decertification and debarment.

And in addition to any other penalty imposed by law, any person who knowingly obtains, or knowingly assists another in obtaining, a contract with the city by falsely representing that the individual or entity, or the individual or entity assisted, is a minority-owned business or a woman-owned business, is guilty of a misdemeanor, punishable by incarceration in the county jail for a period not to exceed six months or a fine of not less than \$5,000.00 and not more than \$10,000, or both.

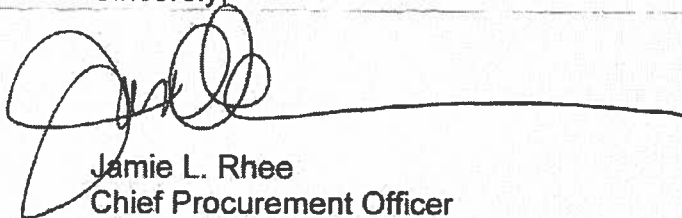
Your firm is listed in the City's Directory of Minority Business Enterprises and Women Business Enterprises in the specialty area(s) of:

SYSTEM ANALYSIS AND DESIGN; TECHNICAL ARCHITECTURE; UI DESIGN; WEB AND CLIENT SERVER; SOFTWARE DEVELOPMENT; SYSTEM INTEGRATION; DATABASE IMPLEMENTATION; QUALITY ASSURANCE; PROJECT MANAGEMENT SERVICES; ENTERPRISE STRATEGY

Your firm's participation on City contracts will be credited only toward Minority Business Enterprise (MBE) goals in your area(s) of specialty. While your participation on City contracts is not limited to your specialty, credit toward Minority Business Enterprise (MBE) goal will be given only for work done in a specialty category.

Thank you for your continued participation in the City's Supplier Diversity Program.

Sincerely,



Jamie L. Rhee
Chief Procurement Officer

FH



SCHEDULE D-1
Compliance Plan Regarding MBE/WBE Utilization
Affidavit of Prime Contractor

**FOR
NON-CONSTRUCTION
PROJECTS ONLY**

**MUST BE SUBMITTED WITH THE BID. FAILURE TO SUBMIT THE SCHEDULE D WILL CAUSE THE BID
TO BE REJECTED. DUPLICATE AS NEEDED.**

Project Name: Hansen 8 Software Implementation, Maintenance and Support

Specification No.: 61590

In connection with the above captioned contract, I HEREBY DECLARE AND AFFIRM that I am a duly authorized representative of Infor Public Sector, Inc.
(Name of Prime Consultant/Contractor)

and that I have personally reviewed the material and facts set forth herein describing our proposed plan to achieve the MBE/WBE goals of this contract.

All MBE/WBE firms included in this plan have been certified as such by the City of Chicago (Letters of Certification Attached).

I. Prime Consultant/Contractor:

NOTE: The bidder/proposer shall, in determining the manner of MBE/WBE participation, first consider involvement with MBE/WBE firms as joint venture partners, subcontractors, and suppliers of goods and services directly related to the performance of this contract.

- A. If bidder/proposer is a certified MBE or WBE firm, attach copy of the City of Chicago Letter of Certification. (Certification of the bidder/proposer as a MBE satisfies the MBE goal only. Certification of the bidder/proposer as a WBE satisfies the WBE goal only.)
- B. If bidder/proposer is a joint venture and one or more joint venture partners are certified MBEs or WBEs, attach copies of Letters of Certification and a copy of Joint Venture Agreement clearly describing the role of the MBE/WBE firm(s) and its ownership interest in the joint venture.

C. MBE/WBE Subcontractors/Suppliers/Consultants:

1. Name of MBE/WBE: _____
Address: _____
Contact Person: _____
Phone Number: _____
Dollar Amount Participation; \$ _____
Percent Amount Participation % _____
Schedule C-1 Attached? YES NO*

*See Next Page

2. Name of MBE/WBE: _____
Address: _____
Contact Person: _____
Phone Number: _____
Dollar Amount Participation; \$ _____

Schedule D-1: Prime Contractor Affidavit-MBE/WBE

Percent Amount Participation % _____

Schedule C-1 Attached? YES NO*

3. Name of MBE/WBE: _____

Address: _____

Contact Person: _____

Phone Number: _____

Dollar Amount Participation; \$ _____

Percent Amount Participation % _____

Schedule C-1 Attached? YES NO*

4. Name of MBE/WBE: _____

Address: _____

Contact Person: _____

Phone Number: _____

Dollar Amount Participation; \$ _____

Percent Amount Participation % _____

Schedule C-1 Attached? YES NO*

5. Attach Additional Sheets as Needed

***All Schedule C-1s and Letters of Certification not submitted with bid/proposal must be submitted so as to assure receipt by the Contract Administrator within three (3) business days after bid opening (or proposal due date.)**

II. Indirect Participation of MBE/WBE Firms

NOTE: This section need not be completed if the MBE/WBE goals have been met through the direct participation outlined in Section I. If the MBE/WBE goals have not been met through direct participation, Contractor will be expected to demonstrate that the proposed MBE/WBE direct participation represents the maximum achievable under the circumstances. Only after such a demonstration will indirect participation be considered.

MBE/WBE Subcontractors/Suppliers/Consultants proposed to perform work or supply goods or services where such performance does not directly relate to the performance of this contract:

1. Name of MBE/WBE: A. Alva Rosales and Associates

Address: 431 W Pershing Rd, Second Floor, Chicago, IL 60609

Contact Person: Maria Flores

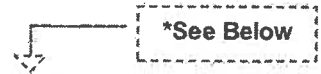
Phone Number: 773-733-7140

Dollar Amount Participation; \$ 308,212

Schedule D-1: Prime Contractor Affidavit-MBE/WBE

Percent Amount Participation % 10%

Schedule C-1 Attached? YES NO*



2. Name of MBE/WBE: Sofbang LLC

Address: 145 Tower Drive #1, Burr Ridge, IL 60527

Contact Person: Genevieve Claveau

Phone Number: 630.789.3659

Dollar Amount Participation; \$ 462,318.57

Percent Amount Participation % 15%

Schedule C-1 Attached? YES NO*

3. Name of MBE/WBE: CityTech, Inc.

Address: 211 W. Wacker Drive, Ste 1300, Chicago, IL 60606

Contact Person: Janet Van Bergen

Phone Number: 312.673.6433 x113

Dollar Amount Participation; \$ 154,106.19

Percent Amount Participation % 5%

Schedule C-1 Attached? YES NO*

4. Name of MBE/WBE: _____

Address: _____

Contact Person: _____

Phone Number: _____

Dollar Amount Participation; \$ _____

Percent Amount Participation % _____

Schedule C-1 Attached? YES NO*

5. Attach Additional Sheets as Needed

***All Schedule C-1s and Letters of Certification not submitted with bid/proposal must be submitted so as to assure receipt by the Contract Administrator within three (3) business days after bid opening (or proposal due date.)**

Schedule D-1: Prime Contractor Affidavit-MBE/WBE

III. Summary of MBE/WBE Proposal

A. MBE Proposal (Direct & Indirect)

1. MBE Direct Participation

MBE Firm Name	Dollar Amount Participation (\$)	Percent Amount Participation (%)
Total Direct MBE Participation		

2. MBE Indirect Participation

MBE Firm Name	Dollar Amount Participation (\$)	Percent Amount Participation (%)
AAR and Associates	\$308,212.38	10%
Sofbang LLC	\$462,318.57	15%
Total Indirect MBE Participation	\$770,530.95	25%

B. WBE Proposal (Direct & Indirect)

1. WBE Direct Participation

WBE Firm Name	Dollar Amount Participation (\$)	Percent Amount Participation (%)
Total Direct WBE Participation		

2. WBE Indirect Participation

WBE Firm Name	Dollar Amount Participation (\$)	Percent Amount Participation (%)
CityTech, Inc.	\$154,106.19	5%
Total Indirect WBE Participation	\$154,106.19	5%

Schedule D-1: Prime Contractor Affidavit-DBE

To the best of my knowledge, information and belief, the facts and representations contained in the aforementioned attached Schedules are true, and no material facts have been omitted.

The Prime Contractor designates the following person as its MBE/WBE Liaison Officer:

(Name- Please Print or Type) _____ (Phone) _____

I DO SOLEMNLY DECLARE AND AFFIRM UNDER PENALTIES OF PERJURY THAT THE CONTENTS OF THE FOREGOING DOCUMENT ARE TRUE AND CORRECT, AND THAT I AM AUTHORIZED ON BEHALF OF THE PRIME CONTRACTOR TO MAKE THIS AFFIDAVIT.

Infor Public Sector, Inc.

(Name of Prime Contractor – Print or Type)

State of: Minnesota _____

Patricia Elias

(Signature)

County of: Ramsey _____

Patricia Elias, Associate General Counsel

(Name/Title of Affiant – Print or Type)

October 2, 2013

(Date)

On this 2nd day of October, 2013, the above signed officer Patricia Elias

(Name of Affiant)

personally appeared and, known by me to be the person described in the foregoing Affidavit, acknowledged that (s)he executed the same in the capacity stated therein and for the purposes therein contained.

IN WITNESS WHEREOF, I hereunto set my hand and seal.

Elizabeth A. Hammett

(Notary Public Signature)



SEAL:

Commission Expires: January 31, 2016