

Continuing Professional Education

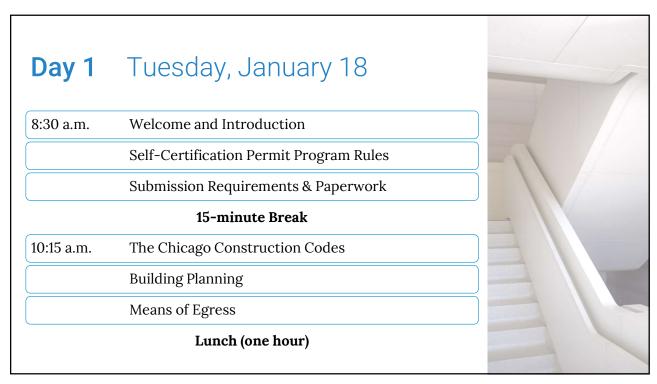
This program is approved for both AIA and ICC CEU credit. Credit earned upon completion of this program will be reported to CES records for AIA members. Certificates of Completion will be provided to all attendees by ICC.

This program is registered with the AIA/CES for continuing professional education. As such, it does not include content that may be deemed or construed to be an approval or endorsement by the AIA of any material of construction or any manner of handling, using, distributing, or dealing in any material or product. Questions related to specific materials, methods, and services will be addressed at the conclusion of this presentation.





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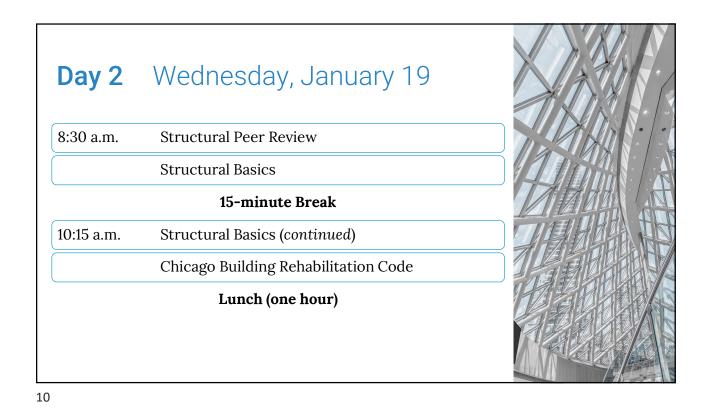
Day 1	Tuesday, January 18	
1:15 p.m.	Means of Egress (continued)	
	Fire Resistance	
	Fire Protection Systems	
	Nonstructural Material Requirements	
	15-minute Break	
3:30 p.m.	Accessibility Basics	
	Day 1 Closing Remarks	
	5:15 p.m. end	

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Learning Objectives - Day 1

- Apply the Rules of the Self-Certification Permit Program
- as part of a self-certified permit application
- Determine whether a permit application and construction documents comply with the Chicago Construction Codes Administrative **Provisions**
- · Classify a building in terms of occupancy and construction type
- · Calculate allowable building height and building area

- Analyze means of egress for code compliance
- Identify documents required to be submitted Apply code requirements for passive fireresistive construction
 - Determine requirements for active fire detection and protection systems
 - · Navigate code requirements for nonstructural materials and finishes
 - Identify key requirements for usable and accessible facilities



Day 2 Wednesday, January 19

1:15 p.m. Chicago Building Rehabilitation Code (continued)

15-minute Break

3:30 p.m. Chicago Energy Conservation Code

Day 2 Closing Remarks

5:15 p.m. end

Learning Objectives – Day 2

- Explain the Structural Peer Review program
- Determine which structural requirements of the Chicago Construction Codes are applicable to a project
- Review structural drawings and calculations for conformance with code requirements
- Describe the three compliance methods available in the Chicago Building Rehabilitation Code
- Apply the work area method to determine code requirements for various scopes of rehabilitation work
- Explain key requirements of the Chicago Energy Conservation Code for work in existing buildings
- Describe new requirements in the 2021 International Energy Conservation Code

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Day 3 Thursday, January 20

8:30 a.m. Building Inspections

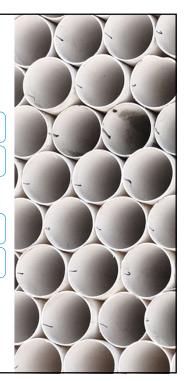
Plumbing Requirements

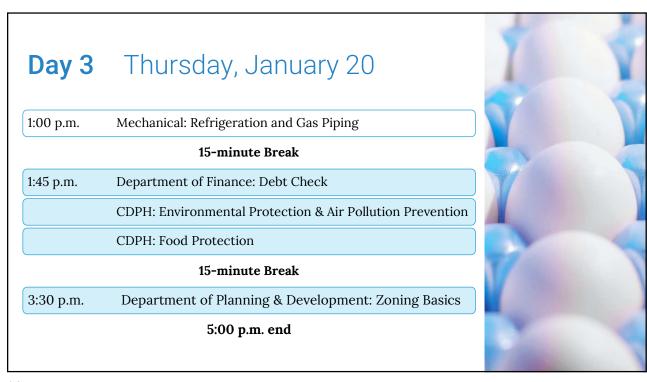
15-minute Break

10:45 a.m. Electrical Requirements

Mechanical Requirements: Ventilation

Lunch (45 minutes)





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Learning Objectives – Day 3

- Explain the importance of construction documents in obtaining successful inspections of permitted self-certified work
- Identify information required to establish compliance with key provisions of the Chicago Plumbing Code
- Identify information required to establish compliance with key provisions of the Chicago Electrical Code
- Identify information required to establish compliance with key provisions of the Chicago Mechanical Code related to ventilation, refrigeration, and gas piping

- Explain the City of Chicago debt check process for self-certification permitting
- Identify key requirements for food protection in food establishments
- Describe permitting procedures applicable to equipment and work practices regulated by the Chicago Department of Public Health to prevent air pollution
- Navigate key provisions of the Chicago Zoning Ordinance

Information for In-person Participants

- All in-person attendees are required to show proof of full vaccination upon arrival.
- Wear a face covering when not eating, drinking, or presenting, even when seated.
- Maintain 6 feet distance where possible.
- Leave your nametag at your current table and use the same table for all 3 days.



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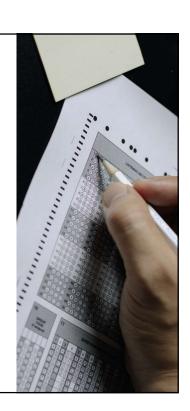
Information for Remote Participants

- Please remain muted and off camera.
- Use Zoom chat or email claudette.hillock@cityofchicago.org for help.
- Optional breakout rooms during breaks and at lunch time provide an opportunity for networking.
- Use Slido (not Zoom) to submit questions for the presenter.



Remote Participant Quizzes

- To confirm attendance and participation, remote participants must complete periodic quizzes each day.
- Links to quizzes will be sent by email and through Zoom chat.
- Failure to submit quizzes on time or excessive wrong answers may result in disqualification for registration/renewal.



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Mission

The Department of Buildings enhances safety and quality of life for Chicago's residents and visitors through permitting, inspections, trade licensing, and enforcement of the Chicago Construction Codes.









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Permit Programs

The Department offers permitting processes tailored for a wide variety of projects, from home repairs to skyscrapers.



2021 in Numbers



- Almost 40,000 permits issued
- 12,220 based on plans
- 3,095 self-certified



 About 26,000 trade license holders

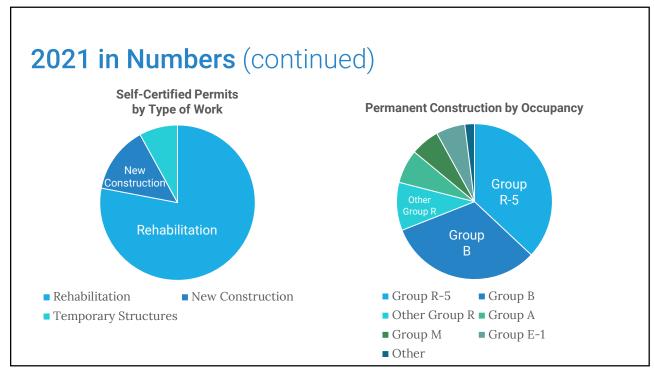


- More than 200,000 inspections
- 45,000 311 complaint investigations



 8 code modernization ordinances

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What's Ahead?



Continued Code Modernization

- Mechanical
- Fire Prevention



Public Safety

- Vacant building board up and demolition
- Closure orders
- Scofflaw



IT System Improvements

- Easy permits
- Certain trade licenses



Interdepartmental cooperation

- License coordination
- Permitting

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Overview

The Self-Certification Permit Program, commonly referred to as "Self-Cert", simplifies the building permit process for eligible residential, business, mercantile, and assembly projects.

- The Department of Buildings has offered some form of self-certification for more than 20 years.
- In 2021, the Department issued 3,095 self-certified permits.
- There are currently **more than 500** registered self-certification professionals, and we have **85** new participants joining us for this training class.

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Self-Certification Professionals

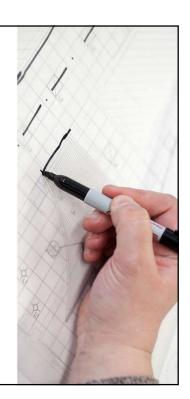
Under the program, a registered **Self-Certification Professional** takes full responsibility for code compliance.

- Licensed at least 3 years as an architect or structural engineer by State of Illinois
- Professional of Record or Project Lead on at least 5 Chicago-issued permits in last 5 years
- Completion of training class
- 6-year renewal



The Self-Certification Process

- The Self-Certification Professional must prepare and seal the permit drawings and submit them to the city using the E-Plan system.
- Permit expediters are **not** allowed to assist with uploading self-certified permit applications.
- DOB plan reviews are eliminated by allowing the registered self-certification professional to certify that the permit drawings comply with the Chicago Construction Codes.



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The Self-Certification Process (continued)

- Reviews by other departments occur as usual
- DOB checks submission for administrative compliance and may spot-check for code issues.

Warning: Permit applications with deficiencies can and are pulled from the self-certification process. If this happens, the \$300 deposit and zoning fee are forfeited.



The Purpose of Plan Review

- Plan review checks whether what you intend to build complies with building and other codes before construction begins.
- Adjustments to plans are less costly and time consuming than making changes during construction.



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Self-Certification is a Substitute for Plan Review

- With self-certification, the Self-Certification Professional has **triple-checked** that what is shown in the construction documents complies with the Chicago Construction Codes.
- Self-certification is not appropriate for "creative" projects or unusual situations.



Importance of Complete Construction Documents

- With plan review, the plan reviewer points out assumptions and gaps in your construction documents before building begins.
- When self-certifying a project, you are responsible for triple-checking your own work.
- To help inspectors (and builders) understand your application of the code, show your work.
- If questions are raised during inspection, clearer drawings are less likely to trigger a full audit.



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- Chicago.gov/buildings
- Scroll to "Rules and Regulations" toward bottom of page

Rules and Regulations Regarding Crane Operator Licenses Suspension of Permit Application Privileges Suspension and Revocation of License, Registration, and Certification Elevator and Other Conveyance Device Inspections Regarding Crane Operations Vacant Buildings - Registration and Securing of Maintenance of Exposed Tanks Maintenance of High-Rise Exterior Walls and Enclosures Self-Certification Permit Program Registration and Inspection of Conveyance Devices under the Annual Inspection Certification (AIC) Program Notification to Adjacent Property Owners of Excavation Work Rescission or Revocation of Permits Flood Control Demolition by Implosion -- Department of Buildings Rules



Organization

Part I: General Provisions

Part II: General Requirements

Part III: Prototype-Based

Self-Certification

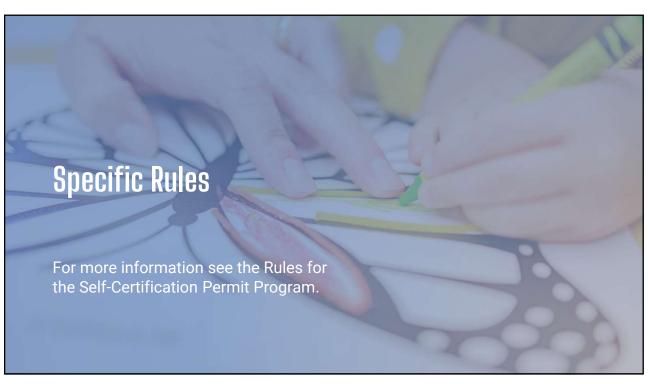
Part IV: Temporary Structure

Self-Certification

Part V: Professional Registration

Part VI: Field Inspection and Audit

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Eligible Projects

TABLE C-1 (2020): ELIGIBLE PROJECTS

Use or Occupancy Classification	S	cope of Work
of Finished Building	New Construction	Rehabilitation
EXCLUSIVELY RESIDENTIAL BUILDINGS AND A	CCESSORY STRUCTURES	
Group R-1, R-2, R-3, R-4 or R-5, maximum 8 dwelling units and/or sleeping units	building up to 4 stories above grade plane may include attached private garage may include occupiable rooftop	 building up to 4 stories above grade plane may include attached private garage may include occupiable rooftop gut rehab and porch replacement allowed
Detached Private Garage (Group R-5 or U)	- 1 story building (may include occupiable rooftop)	- 1 story building (may include occupiable rooftop)
Single-Occupancy Non-Residential Buildings (No	Mixed Occupancy)	
Single Tenant: Group A, B, or M (Group A occupant load less than 300)	building up to 2 stories above grade plane up to 10,000 ft² building area single tenant building	building up to 2 stories above grade plane up to 10,000 ft² floor area without written preapproval single tenant building
All Group B or all Group M; maximum 4 tenants	- building up to 4 stories above grade plane - up to 30,000 ft² building area	- building up to 4 stories above grade plane - up to 30,000 ft² floor area without written preapproval - each tenant space and common areas must be permitter separately (see below) UNLESS entire building is vacant
Group E-1, I-1, or I-2	Not eligible.	- single tenant buildings only - up to 4 story building - up to 30,000 ft² floor area without written preapproval - alterations or repairs only; NO additions

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Eligible Projects (continued)

MIXED-OCCUPANCY BUILDINGS	1	
Mixed Occupancy (including Group A (with occupant load less than 300); B; M; R; S-2 (garage only); and/or U (private garage only))	- mercantile, assembly or motor-vehicle-related use in basement or on first story above grade plane only - building up to 4 stories above grade plane - building area maximum 30,000 ft² - maximum of 4 non-residential tenant spaces in building - maximum of 8 dwelling and/or sleeping units in building - occupiable rooftop allowed	- alterations or repairs only; NO additions - mercantile, assembly or motor-vehicle-related use in basement or first story above grade plane only - building up to 4 stories above grade plane - building area maximum 30,000 ft ² - maximum of 4 non-residential tenant spaces in building - maximum of 8 dwelling and/or sleeping units in building - each tenant space and common areas must be permitted separately (see below) UNLESS entire building is vacant
INDIVIDUAL TENANT SPACES, DWELLING UNITS	, AND COMMON AREAS IN EXISTING BUILDINGS (Work in	high-rise buildings requires written pre-approval)
Separately-owned dwelling unit in Group R-2 or R-5 (condominium or cooperative apartment)	residential sales centers and model units alterations to single unit before initial occupancy	- alterations or repairs only; NO additions - no change of occupancy
Single-tenant Group A (with occupant load less than 300), B or M space in building WITHOUT residential occupancy	- first time buildout of Group A tenant space (any area) - first time buildout of Group B tenant space (any area) - first time buildout of Group M tenant space up to 30,000 ft² - multi-story tenant spaces allowed	alterations or repairs only; NO additions multi-story tenant spaces allowed 30,000 ft² maximum floor area for Group M without written preapproval
Single-tenant Group A (with occupant load less than 300), B or M space in building WITH residential occupancy	- first time buildout of Group A tenant space (any area) - first time buildout of Group B tenant space (any area) - first time buildout of Group M tenant space up to 30,000 ft² - single-story tenant spaces ONLY	alterations or repairs only; NO additions single-story tenant spaces ONLY 30,000 ft² maximum floor area for Group M without written preapproval
Common areas or systems in multiple dwelling or multi-tenant commercial building	Not applicable.	alterations or repairs only; NO additions exterior wall / window replacement work allowed must be permitted separately from work within dwelling units or tenant spaces

Structural Peer Review Required

TABLE C-2 (2020): STRUCTURAL PEER REVIEW REQUIREMENTS

Han an Onessen Classification	Scope	of Work	
Use or Occupancy Classification of Finished Building	New Construction & Additions	Repairs, Renovations & Alterations	
All buildings	 work includes adding balcony to existing building work includes construction within 1 foot of property line work includes excavation ≥ 10 feet below grade 	Structural scope of work includes: - adding, altering, or removing load-bearing elements - adding, altering, or removing floor openings - use of earth retention system	
Exclusively residential use	- scope of work exceeds 3 stories above grade plane - scope of work includes occupiable rooftop above third story above grade plane	- structural scope of work in building greater than 3 stories above grade plane	
Any non-residential use	 scope of work exceeds 1 story above grade plane scope of work includes occupiable rooftop above second story above grade plane work includes excavation ≥ 5 feet below grade within 5 feet of an existing building 	- structural scope of work in building greater than 1 story above grade plane	

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Disqualifying Features

TABLE D (2020): DISQUALIFYING FEATURES

- Use and Occupancy:
 increase in number of permitted dwelling units
- new construction or addition of Group E or I occupancy - any work involving Group A occupancy or occupancies with total occupant load greater than 299
- any work involving below-grade Group A occupancy
 any work involving Group E-2 or I-4 occupancy
- any work involving below-grade Group M
 any work involving a Group F, H, or S-1 occupancy or
- Group S-2 occupancy other than a garage any work involving a Group U occupancy other than fences, sheds, carports, and private garages and
- temporary tents, canopies and stages any work involving congregate living facilities
 any work involving ambulatory care facility

Use and Occupancy (continued):

- any work involving a Wrigley Field-adjacent rooftop deck any work involving a distillery
- any change of occupancy where the original and new classifications are other than Group A (with occupant load less than 300), B or M
- any work involving a technology equipment area greater than 150 ft²

Height and Area:

- any new construction or addition resulting in a building with building area exceeding 30,000 ft² - any new construction or addition resulting in a building
- exceeding 4 stories above grade plane
 any new construction or addition resulting in a building
- exceeding 55 feet in building height

Specialized Review or Department Pre-Approval Required:

- any work requiring Alternative Code Approval, unless such approval has been granted prior to filing the permit application
- any work requiring approval by the Committee on Standards and Tests
- any work in a building exceeding 80 feet in height (high rise building) without written preapproval from the
- any work involving Group M occupancy with gross area exceeding 30,000 ft² without written preapproval from the Commissioner
- any work involving modular construction without written preapproval from the Commissioner

Pre-Submission Requirements

- Complete a thorough on-site investigation
- Thoroughly review all documents to be submitted as part of the permit application, including those prepared by other professionals
- Complete, sign and seal the Self-Certification Statement
- Obtain a signed Applicant Acknowledgment and Hold Harmless letter from your client



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Self-Certifying Revision Permits

- Requires written preapproval from DOB (email selfcert@cityofchicago.org to submit a detailed explanation)
- Revision permits must follow DOB guidelines (see DOB web site)
 - Only include cover sheet + sheets that are changing
 - Changes must be bubbled and keyed/dated
 - \bullet Omit revision clouds, if any, from original permit review

Protype-based Self-Certification

TABLE L (2020): PROTOTYPE ELIGIBLE PROJECTS

Occupancy Classification	Conditions
Group A (occupant load less than 300)	- up to 2 stories above grade plane - no more than one basement
Group B	- up to 4 stories above grade plane - no more than one basement
Group M	- up to 2 stories above grade plane - no more than one basement
Group R	- up to 4 stories above grade plane - no more than one basement - any number of dwelling units or sleeping units
Group S-2 (parking garage only)	- up to 1 story above grade plane - no more than 1 basement
Mixed Occupancy (any combination of A, B, M, R, or S-2 allowed above)	- up to 4 stories above grade plane - Group A, M and S-2 occupancies limited to basement and first story above grade plane

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Temporary Structure Self- Certification

- Eligible:
 - Temporary tents and canopies up to 4,000 square feet
 - Temporary stages
 - Max occupant load 299
 - Other temporary structures to be used for assembly, business or mercantile occupancy
- SCP must conduct a pre-occupancy inspection



Professional Registration

- Eligibility criteria
- Disqualifying criteria
- Only natural persons (not firms)
- Must maintain \$500K/claim \$1 million aggregate professional liability insurance policy
- Insurance may be held by a firm/employer
- 6-year registration term



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Ongoing Obligations

- Notify DOB within 10 days if they become ineligible (e.g. professional discipline by another jurisdiction)
- Notify DOB within 5 days of becoming aware of false statements in permit applications
- Notify DOB within 5 days (24 hours for temporary structures) of becoming aware of work contrary to permit
- Cooperate with DOB in addressing non-compliance.
- Work with permit applicant and DOB to prepare revised drawings to address non-compliance.

Field Inspection and Audit

- DOB can review before issuance
- DOB can redirect a deficient self-certified application to standard plan review
- All self-certified permits are subject to field inspection
- DOB may conduct post-permit-issuance audit
- Audits may be random or judgmental
- Points assigned for deficiencies



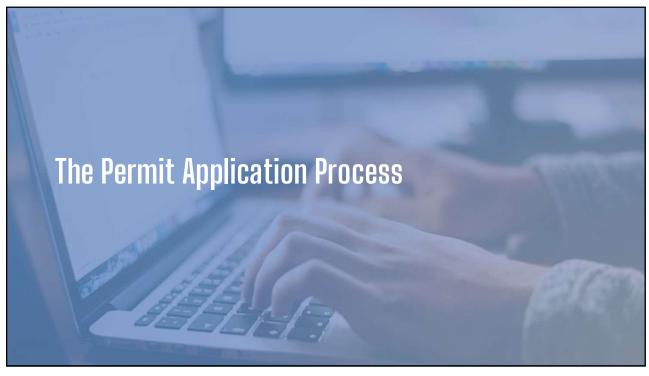
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Deficiencies, Suspension, and Revocation

- Conditions assigned 1, 2 or 5 points
- Any points: warning
- 5 points within 365 days or 10 points within 6-year registration period -> suspension
- 10 points within 365 days or 20 points within 6-year registration period -> revocation

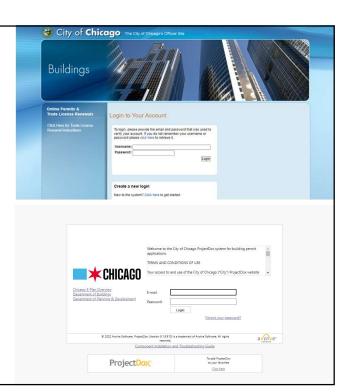






E-Plan = Dynamic Portal + ProjectDox

- For nearly a decade, Chicago has had electronic plan review for permit applications with plans
- Applications start in the Dynamic Portal (Hansen)
- Plans are uploaded and reviewed using ProjectDox



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Before Starting a Permit Application

- The Dynamic Portal is in the process of being replaced
- Before starting an online application, review Interim Instructions for project type
- Begin completing Form 400 (PDF Building Permit Application)
- Obtain Debt Clearance Certificate



Interim Instructions for Starting a Building Pern For a Project Using the 2019 Chicago Construction New Construction—Self-Certification Permit Pr

Background

In April 2019, the city council adopted an ordinance creating the 2019 Ch Codes. Unlike the pre-2019 Chicago Building Code, the Chicago Construwith the widely adopted model building codes (I-Codes) published by the Council, resulting in some significant changes in terminology. The 2019 (Title 14B) and 2019 Chicago Building Rehabilitation Code (Title 14R) becaproject types on December 1, 2019, and mandatory for all permit applicat August 1, 2020 (with limited exceptions).

The City of Chicago is currently in the process of upgrading its online bu (the Hansen Dynamic Portal). Unfortunately, the new system was not rea time as the new Chicago Construction Codes.

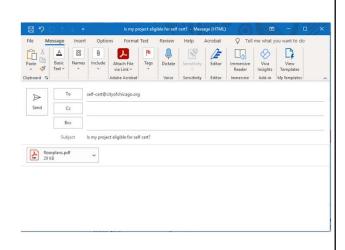
These interim instructions will guide you through the steps needed to be application using the Hansen Dynamic Portal, which does not currently rused in the 2019 Chicago Construction Codes, until that system is replaced.

By following these instructions, you will avoid unnecessary data entry or staff to review and process your permit application more efficiently.

Thank you for your patience and cooperation during this transitional per

Voluntary Confirmation of Project Eligibility

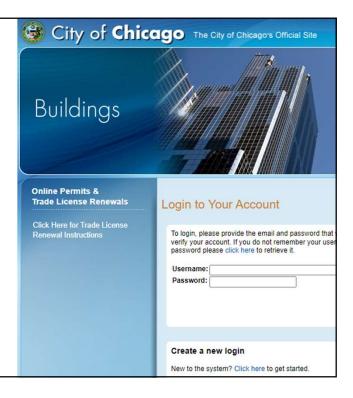
- If Self-Cert Rules require preapproval for a scope of work
- If you are unsure if a scope of work is eligible to be Self-Certified
- SelfCert@cityofchicago.org



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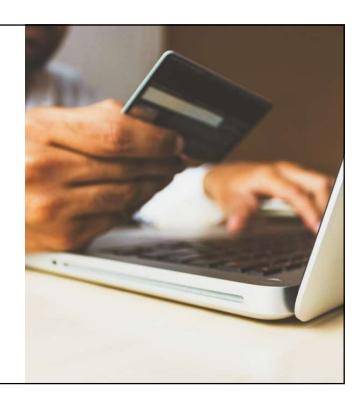
Starting a Permit Application

- A Self-Certified Permit Application must be started with the Self-Certification Professional's account (no expediters)
- Review **Interim Instructions** before beginning
- Begin completing Form 400



Permit Fee Deposit

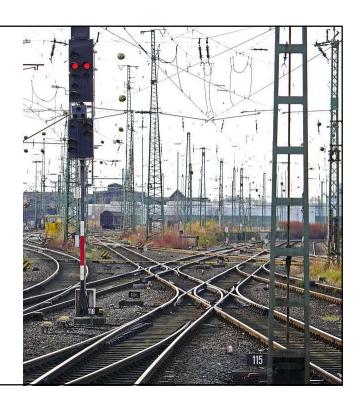
- \$300 deposit toward building permit fee
- \$75 zoning fee unless over 10,000 square feet or inperson zoning review requested
- Pay online or at Department of Finance payment center with 9-digit application #



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No Switching!

- Before paying the deposit, verify that you have started a Self-Certified application
- DOB will not switch application types or credit deposits to a new application



Uploading to ProjectDox

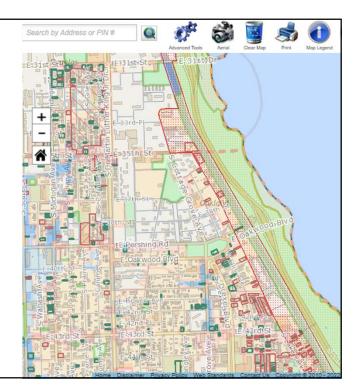
- Upload construction documents to drawings folders
- Upload Structural Peer Review Report (if required)
- Upload supporting documents



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Zoning Review

- All projects receive a zoning review
- For some simple projects,
 DOB is authorized to
 complete an expedited zoning review
- Any project may be routed for full zoning review



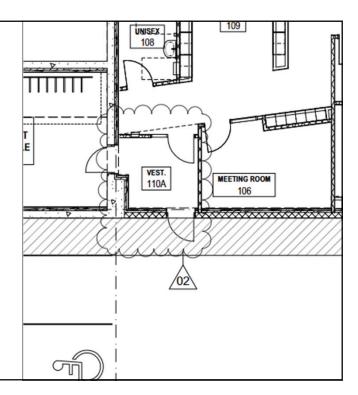
Other Reviews

- The following other departmental reviews may be required and cannot be self-certified:
- Stormwater regulated development or sunken driveway
- Accessibility for project with government funding
- Landmarks/historic preservation
- Air-pollution control (Environmental)
- Office of Underground Coordination (OUC)/Geotechnical

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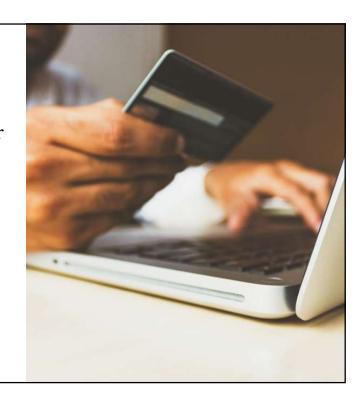
Responding to Comments

 If you receive corrections, either from zoning or your DOB project administrator, all changes must be bubbled and noted.



Ready to Issue

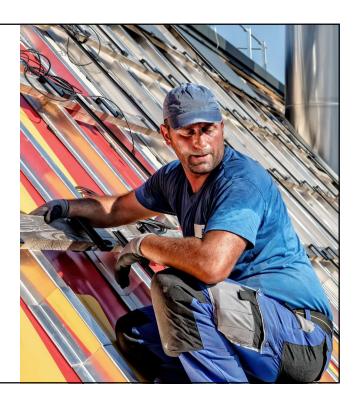
- You will be emailed when your permit is ready to issue
- The balance of the permit fee may be paid online or at a Department of Finance payment center
- Stamped construction documents can be downloaded from ProjectDox



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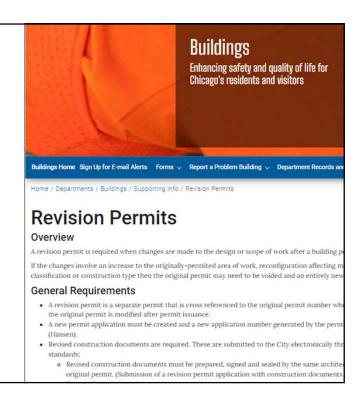
Construction and Inspections

- Work cannot start until you have paid the permit fee, printed the permit certificate and posted it at the work site
- Progress inspections must be requested online



Revisions

- All self-cert revisions require preapproval from DOB
- Follow DOB guidelines for revision permits when preparing construction documents
- Significant changes may require a replacement permit

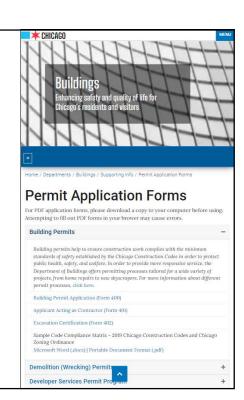


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Finding Permit Application Forms

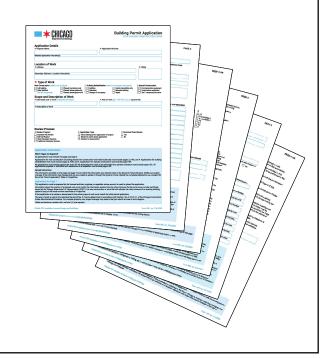
- PDF Permit Application Forms are available on the DOB website
- Select Forms > Permit Forms in the top menu on any page
- Download forms from the website for each application to ensure you are using the current version
- Do not attempt to fill out form in browser.



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Form 400

- The PDF Building Permit Application (Form 400) must be completed and uploaded to ProjectDox.
- DOB recommends starting to fill out Form 400 before starting the online permit application to ensure information matches.



Form 400, Page 1 **Basic Information and Review Process**

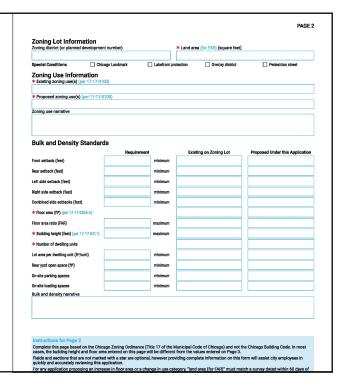
- Complete this page carefully.
- Failure to complete this page accurately may result in your application being denied for self-certification.
- Permit will be delayed if information does not match what was entered online.

Application Details * Preparer Name Related Application Number(a) Location of Work * Address	* Application Number	
Related Application Number(e)		
Location of Work		
Muuless	* PIN(s)	
Secondary Address / Location Description		
* Type of Work		
New Construction (select one subtype)	Building Rehabilitation (select all subtypes that apply) Special Constructi	
Full building Phased, founds Initial buildout Phased, below- (for first occupancy) Phased, above-	ation only Addition Interior demolition only Communication or grade only Alteration Relocate building Construction equipment of Change of occupancy Feet Repair	ipment
Scope and Description of Work		
Estimated cost of work (materials and labor)	* Area of work (per 14A-4-412.2.2.1) square feet	
Description of work		
Review Process	* Application Type	
* Review Program Standard Plan Review Self-Certification Direct Developer Services Traditional Developer Services	Initial building permit application for project Yes Related to sarlier phase application No Revision to issued permit	
Standard Plan Review Self-Certification Direct Developer Services	☐ Initial building permit application for project ☐ Yes☐ Related to earlier phase application ☐ No	
Standard Plan Review Self Certification Direct Developer Services Traditional Developer Services Application Instructions Which Pages Are Required?	Initial building permit application for project Yea Related to an Initial Page 2 Yea Related to a R	
Standard Plan Review Self-Certification Direct Developer Services Traditional Developer Services Application Instructions Which Pages Are Required? All applications must include this page and peg All application me we construction (including oh	brids building permit application for project Yes	·building
Standard Plan Review	In the building permit application for project Vea Related to a right phase application Revision to issued permit Revision to issued	-

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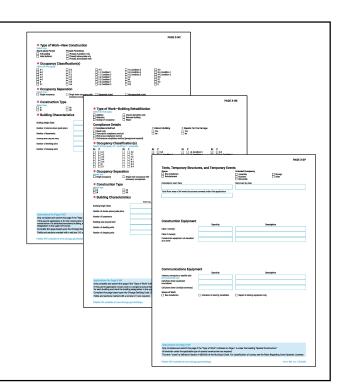
Form 400, Page 2 **Zoning Information**

 Most information on this page is optional, but providing this information will expedite your zoning review



Form 400, Page 3 **Type and Scope of Work**

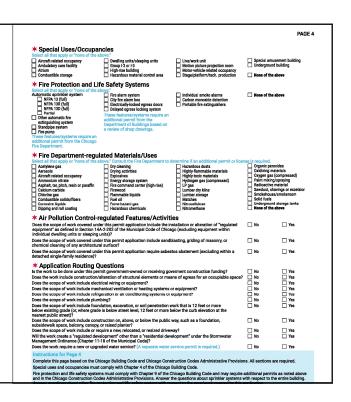
- Submit only one version of page 3:
 - New construction (3-NC)
 - Building rehabilitation (3-BR)
 - Special construction (3-SP)
- Strike out or remove the inapplicable pages



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Form 400, Page 4 Scope Details and Routing Questions

- Complete this page carefully.
- Failure to complete this page accurately may result in your application being denied for self-certification.



Form 400, Page 5 Applicant and Contractors

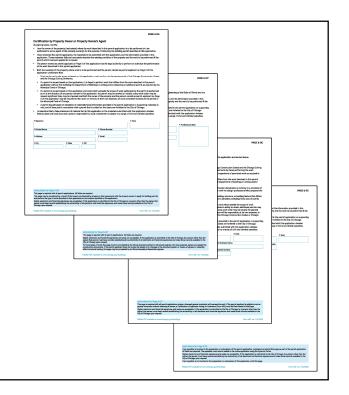
- For self-certified permits, this page must be complete at initial upload unless pursuing zoning-only review
- Contractor information must also be entered online
- For Standard Plan Review, this page not required until permit ready to issue

k Name	and emergency contact i	inormation will pill	* Email	* Phone
k Address			* Emergency Contact	
* City	* State	* ZIP	* Emergency Contact Phone	
Design Profession	nal of Record		* Email	* Phone
* Address			* Illinois License Number	Registered Self-Certification Professional No Yes
★ City	* State	* ZIP		in in
General Contracto			_	
Other Contractors Contractor Business Name	s and Subcontract	tors	Contractor Type	City of Chicago License Number City of Chicago License Number
Other Contractors Contractor Business Name	s and Subcontract	tors	Contractor Type Contractor Type	
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Form 400, Page 6 Verifications

- Verification pages for:
 - Property owner/agent
 - Design professional of record
 - General Contractor (if applicable)
 - Expediter (if applicable)
 - Verification pages for:
- Conditions for e-signatures described on bottom of page.



Form 401 **Applicant as Contractor**

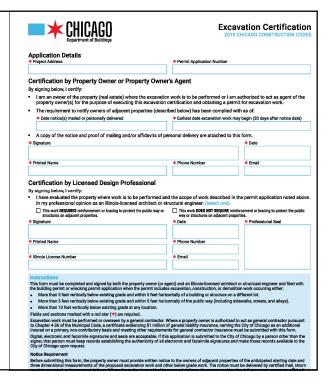
- Form required if:
 - Owner-occupant of residential building with 6 or fewer units on site (3 stories or less) acting as General Contractor
 - Owner-occupant of detached singlefamily home performing all plumbing work
 - Owner-occupant of residential building with 6 or fewer units on site (3 stories or less) acting as Mason Contractor

Application Details * Project Address		* Permit Application Numl	ber	
Certification by Occupant By signing below, I certify:	Acting As General Contr	actor at Property C	ontaining Primary Resi	dence
. I live at the property where wor	k will be done, and it is my prima	ry residence.		
 My primary residence is (check A detached single-family residentia The property does not contain 	al building.		idential building that does not exceed to tains six or fewer dwelling units.	hree stories
 I am assuming all the responsi to ensuring construction activity completed in accordance with 	e not claimed another property a: bilities assigned to a general con ties are undertaken in accordanc the permitted construction docur n are corrected before completion	rtractor by the Chicago Cor e with applicable health ar ments, required inspection	nstruction Codes, including but nd safety requirements, constru	t not limited uction is
Signature			Date	
Printed Name		Phone Number	Email	
Certification by Owner Oc	cupant or Lessee of Det		,	Plumber
By signing below, I certify: The building where plumbing w I am an occupant of the buildin I will personally be doing all of the building or a person who in The plumbing work performed Plumbing Code, I will correct k	work is to be done is a detached s g where plumbing work is to be the plumbing work under this per tends to occupy the building or a by me will conform to the permit nown deficiencies in the plumbin	done, or it is being built for rmit, without assistance fre licensed plumbing contra ted construction documer	actor listed on the permit applic nts and the requirements of the on.	ation.
By signing below, I certify: The building where plumbing w I am an occupant of the buildin I will personally be doing all of the building or a person who in The plumbing work performed	ng where plumbing work is to be on the plumbing work under this per tends to occupy the building or a by me will conform to the permit	done, or it is being built for rmit, without assistance fre licensed plumbing contra ted construction documer	actor listed on the permit applic nts and the requirements of the	ation.
By signing below, I certify: The building where plumbing w I am an occupant of the buildin I will personally be doing all of the building or a person who in The plumbing work performed Plumbing Code. I will correct to	ng where plumbing work is to be on the plumbing work under this per tends to occupy the building or a by me will conform to the permit	done, or it is being built for rmit, without assistance fre licensed plumbing contra ted construction documer	actor listed on the permit applic nts and the requirements of the on.	ation.

80

Form 402 **Excavation Certification**

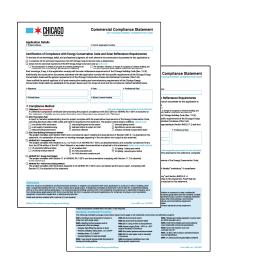
- An excavation certification and supporting documents must be uploaded if:
 - Excavation (including demolition) more than 5 feet below grade and within 5 feet of a building on another lot or the public way
 - Excavation more than 10 feet below grade at any location



Form 408C or 408R Energy Conservation Code Compliance

To facilitate compliance with the Energy Code, an architect or engineer must complete and seal a compliance form and file it with the building permit application.

The information required on this form is in addition to the energy information that is required on the construction documents.



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Form 410 Self-Certification Professional Statement

- This form must be completed by you and uploaded with each self-certified permit application
- It acknowledges your compliance with the Self-Cert program rules

Application Details Project Address	* Permit Application Number	_
Certification by Self-Certification	Professional	
y signing and sealing this form, I certify:		
the Self-Certification Permit Program effect	ion Professional, and I meet all eligibility requirements set forth in Rule O of the Rules ive January 3, 2020 ("the Rules").	; tor
I have determined that this scope of work is I have determined, pursuant to the Rules, th Does NOT require a Structural Peer Review Rep Requires a Structural Peer Review Report and or	ort.	
	tted in connection with this permit application, including any documents prepared an mined that the work described in the permit application and construction documents sage Construction Codes	
	w by the Department of Buildings, I have obtained all approvals required by the Chicac	
Construction Codes and resolved any quest This Self-Certification Professional Stateme	tions I have about how to apply the Chicago Construction Codes to the proposed wor ant and all other documents which are part of this permit application and sealed by m	k.
Construction Codes and resolved any quest This Self-Certification Professional Stateme were prepared in accordance with the stand	tions I have about how to apply the Chicago Construction Codes to the proposed wor	k.
Construction Codes and resolved any quest This Self-Certification Professional Statems were prepared in accordance with the stand All information and assertions made by me I understand and will comply with my ongoi	tions I have about how to apply the Chicago Construction Codes to the proposed wor and ad all other documents which are part of this permit application and sealed by m lard of care applicable to the practice of architecture in the State of Illinois. In this permit application are true and correct. In globigations under Rule T of the Rules.	k. ne
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Form 411 **Applicant Acknowledgement and Hold Harmless**

- A completed version of this form must be uploaded to ProjectDox with each selfcertified application
- This form must be completed by your client to acknowledge conditions of participation in the Self-Cert Permit Program



Department of Finance **Debt Clearance Certificate**

- Building permits cannot be issued if the permit applicant or property owner has unresolved city debt
- In the self-certification process, you must apply for a "Debt Clearance Certificate"
- Certificate valid for 120 days



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House Number (Address) Certificate

A house number certificate must be obtained before an application is started for:

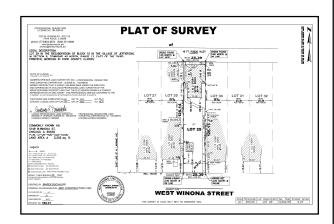
- New principal buildings (not required for accessory buildings without dwelling units, such as garages and sheds)
- Building alterations that will relocate the main building entrance
- Building additions that will use a separate street address

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Survey

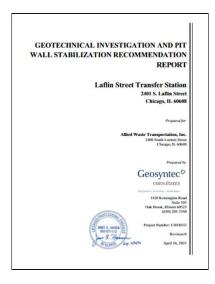
A plat of survey, prepared by an Illinois-licensed land surveyor, must be submitted with a permit application for the following types of work:

- Erecting a new building
- Adding new occupiable floor area to an existing building
- Relocating an existing building
- Work affecting exterior openings or party walls





Zoning may require a survey that is no more than 6 months old.



Geotechnical Report

- For very small projects, a report is not required.
- For some mid-sized projects, the report is not required for permit but must be obtained and confirm the assumptions used for structural design prior to the start of work.
- For larger projects, a geotechnical report must be submitted with the permit application as a basis for structural design (incl. self-cert).

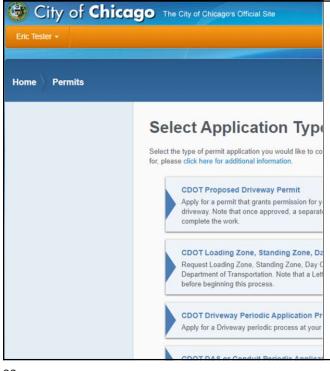
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Demolition Permit

A permit for construction that anticipates demolition of an existing structure may not be issued without a substantially complete demo permit application or issued demo permit.

The construction permit is conditional, subject to lawful demolition of the existing buildings and existing structures before occupancy.





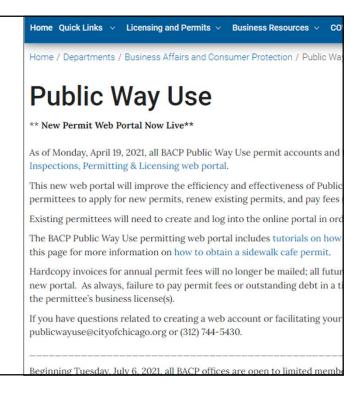
Driveway Permit

A building permit may not be issued if the building will require a driveway across a public sidewalk until the applicant has first obtained a driveway permit from CDOT or CDOT has notified DOB that the application for such a driveway permit is substantially complete and under review.

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Grant of Privilege / Public Way Use

- Online application, processed by the Department of Business Affairs and Consumer Protection (BACP)
- 5-year duration
- May require City Council approval
- Cannot be used for required building features (egress door swing, insulation, etc.)

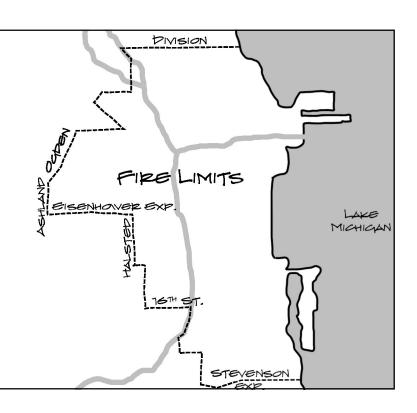




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The Fire Limits

- Very similar to expanded downtown zoning (D- districts)
- Additional limits on exterior cladding and combustible construction apply
- Chicago Building Code, Appendix D

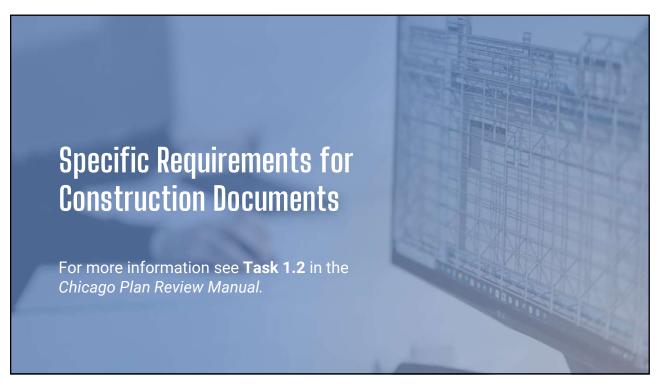




Special Flood Hazard Areas

- Established by the Federal Emergency Management Agency (FEMA)
- Adopted by the City of Chicago under the National Flood Insurance Program (NFIP)
- Updated/expanded in 2021
- Available in online Zoning Map

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Basic Code Compliance Information

Applicants must summarize code compliance on the 1st or 2nd page of the construction documents. This information may be presented in the form of a matrix, a narrative, or diagram(s), so long as all necessary information is included.

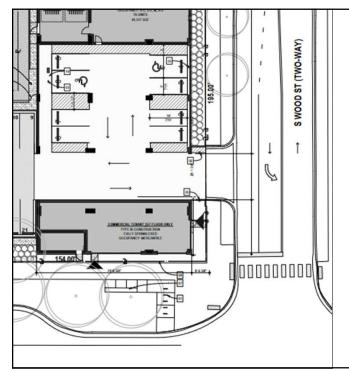
Sample Code Compliance Matrix - Chicago Zoning Ordinance and 2019

Item	Subject	Code Reference	Ordinance Requirement			
Chicago Zoning Ordinance Requirements						
Z.01	Zoning District / Planned Development No.	zoning map				

Chicago :	Zoning Ordinance Requirements			
Z.01	Zoning District / Planned Development No.	zoning map		
Z.02	Existing Zoning Use(s)	17-17-0100		
Z.03	Proposed Zoning Use(s)	17-17-0100		
Z.04	Chicago Landmark Designation	recorded deed restriction		
Z.05	Lakefront Protection District	zoning map, Ch. 16-4		
Z.06	Zoning Overlay District	zoning map, Ch. 17-7		
Z.07	Pedestrian Street	zoning map, 17-3-0500		
Z.08	Lot Area	17-17-0902		
Z.09	Floor Area Ratio (FAR)	varies by district		
Z.10	Total Floor Area	17-17-0305, varies by district		
Z.11	Building Height	17-17-0311, varies by district		
Z.12	Front Setback	17-17-0306, varies by district		
Z.13	Combined Side Setbacks	17-17-0308, varies by district		
Z.14	Rear Setback	17-17-0307, varies by district		
Z.15	Rear Yard / On-site Open Space	17-2-0307, 17-4-0410		
Z.16	Number of Dwelling Units	varies by district		
	Number of Efficiency Units (include above)	varies by district		
Z.17	Number of Off-street Parking Spaces	17-10-0200		
	EVSE-ready Parking Spaces (include above)	17-10-1011 (eff. 11/1/20)		
Z.18	Number of Off-street Loading Spaces	17-10-1100		
Z.19	Landscape Ordinance Compliance	Ch. 17-11		
Z.20	Townhouse Development Standards	17-2-0500		
Z.21	Planned Development Standards	Ch. 17-8		
Z.22	Open Space Impact Fee Worksheet	Ch. 16-18		
Z.23	Affordable Requirements Ordinance (ARO) Forms	Ch. 2-44		
Z.24	Plat of Survey	17-13-1302-B		
2019 Chi	cago Building Code Requirements	•		
B.03.01	Proposed Occupancy Classification(s)	148-3-302.1	-	
B.03.02	Existing Occupancy Classification(s)	14R-3-302.6, Ch. 14B-3	-	
B.04.01	Special Occupancy Conditions	Ch. 148-4		

City of Chicago Department of Buildings

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Site Plan

Construction documents must include a scaled site plan showing:

- The size and location of existing and proposed structures on the lot
- Distances from buildings and structures to property lines
- The established grade of the adjoining public way
- Proposed finished site grade(s)

Occupancy Classification and Use Designation

Construction documents must indicate the existing and proposed occupancy classification and use designation of every existing building, and every building proposed to be erected, added to, or moved onto the lot under the permit.

apter / Article	Ordinance Requirement	Actual
QUIREMENTS		
14B-3-201 4B-4-406.3.1	occupancy:	R-2 residential
14B-3-201, 4B-4-406.3.1	occupancy:	M mercantile
14B-3-201, 4B-4-406.3.1	occupancy:	S storage
14B-3-201, 4B-4-406.3.1	occupancy:	A-3 assemblies
14B-5-508.4	Separated occupancies shall be individually classified in accordance with 302.1. Each separated space shall comply with this code based on the occupancy classification of that portion of the building. The most restrictive provisions of Chapter 9 that apply to the separate occupancies shall apply to the total non fire-barrier-separated occupancy areas. Occupancy separations that serve to define fire area limits established in Chapter 9 for requiring a fire protection system shall also comply with Section 901.7.	complies
18-5-508.4.2	In each story, the building area shall be such that the sum of the ratios of the actual building area of each separated occupancy divided by the allowable building area of each separated occupancy shall not exceed 1.	complies

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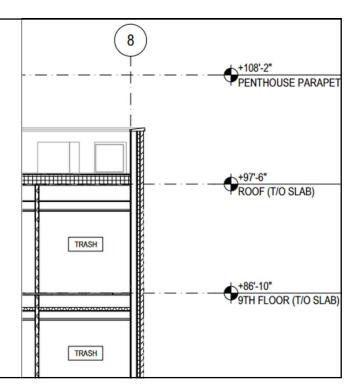
Special Occupancies and Uses				
Interim Chicago Fire Prevention Code (Title 14F)	Chicago Building Code (Title 14B)			
Acetylene gas (15-4-160, Ch. 15-26)	Aircraft-related occupancies (412)			
Aerosols (15-26-790)	Ambulatory care facilities (422)			
Ammonium nitrate	Atriums (404)			
(15-4-130, Ch. 15-28, Art. VI)	Combustible storage (413)			
Asphalt, tar, pitch, resin, and paraffin (Ch. 15-28, Art. V)	Groups I-1, R-1, R-2, R-3, R-4, and R-5 (420)			
Calcium carbide (15-4-160, Ch. 15-26)	Group I-2 (407)			
Chlorine gas (15-26-800)	• Group I-3 (408)			
Combustible solids, including combustible fibers (Ch. 15-28, Art. XII)	Hazardous materials (414)			
Corrosive liquids	High-rise buildings (403)			
(15-4-130, Ch. 15-28, Art. X)	Live/work units (419)			
Dipping and roll coating	Motion picture projection rooms (409)			
(Ch. 15-24, Art. VII)	 Motor-vehicle related occupancies 			
Dry cleaning (Ch. 15-24, Art. IX)	(406)			
Drying activities (Ch. 15-24, Art. VIII)	Stages, platforms, and technical production areas (410)			
Explosives (15-4-300, Ch. 15-20)	Special amusement buildings (411)			
Energy storage systems (Ch. 14F-12)	Underground buildings (405)			
Firewood (15-4-256, -257)	- Oriderground buildings (403)			
Flammable liquids (15-4-210, Ch. 15-24, Arts. II-V)				
Fuel oil (15-4-258, -259)				
Fume hazard gas (15-4-130, 15-4-230)				
Hazardous chemicals				

Special Occupancies and Uses

 The permit application and construction documents must indicate where an existing or proposed building contains an occupancy or use for which specialized requirements are provided in the Chicago Fire Prevention Code or Chapter 4 of the Chicago Building Code.

Height and Area

Where height and area measurements determined in accordance with the Zoning Ordinance differ from the measurements determined in accordance with Chapter 2 of the Chicago Building Code (usually), both measurements must be indicated on the construction documents, and the basis of measurement must be clearly identified.



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	construction classification primary structural frame	14B-6-601	I-B: 2 hr and per
	construction classification exterior bearing walls	14B-6-601	I-B: 2 hr and per 602
	exterior bearing walls fire separation distance 5 ≤X<10	14B-6-602	I-B construct R-2, M, A-3,
FIRE SEPARATION	exterior bearing walls fire separation distance X≥30	14B-6-602	I-B construct R-2, M, A-3,
S.	exterior nonbearing walls	14B-6-601	I-B construct
EP,	fire separation distance 5 ≤X<10	14B-6-602	R-2, M, A-3,
S	exterior nonbearing walls	14B-6-601	I-B construct
8	fire separation distance X≥30	14B-6-602	R-2, M, A-3,
	construction classification interior bearing walls	14B-6-601	I-B: 2 hr
	construction classification interior nonbearing walls and partitions	14B-6-601	I-B: 0 hr
	construction classification floor construction and associated secondary members	14B-6-601	I-B: 2 hr
	construction classification roof construction and associated secondary members	14B-6-601	I-B; 1 hr

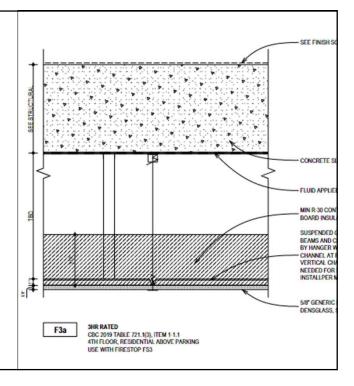
Construction Type

Construction documents must indicate the construction type as determined in accordance with Ch. 6 of the Chicago Building Code.

Construction type must be determined for existing buildings. Resource A, at the end of the *Chicago Building Rehabilitation Code*, may be used to determine the equivalent fire-resistance or fire-protection rating of archaic materials.

Fire Resistance

Construction documents must indicate the fire-resistance rating or fire-protection rating and basis of the rating for all elements, components, and assemblies where a fire-resistance rating is required by the Chicago Construction Codes.



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Fire Protection Systems

Construction documents must indicate the general type and location of fire protection systems, including:

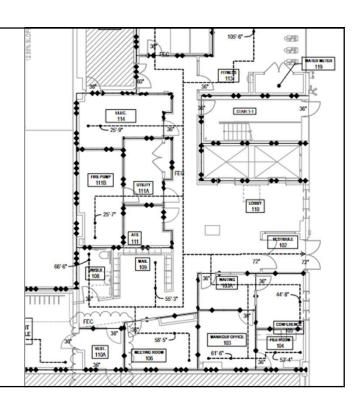
- Fire pump and riser rooms
- Automatic sprinkler systems (NFPA 13, 13R, or 13D)
- Alternative automatic fireextinguishing systems
- Standpipes
- Portable fire extinguishers
- Fire alarm and detection systems

- Smoke control systems
- Smoke and heat removal features
- Fire command centers
- Fire department connections
- Fire pumps
- City fire alarm boxes

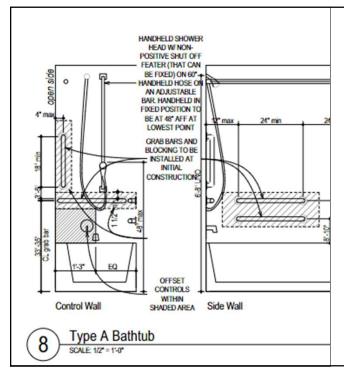
Means of Egress

Construction documents must show the location, construction, size, and character of all portions of the means of egress, including the path of discharge to the public way.

To meet this requirement, it may be necessary to provide occupant load and/or egress diagrams separate from the building floor plans.



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Accessibility

- The seal of a registered design professional is a certification that the construction documents comply with the applicable accessibility requirements of the Chicago Construction Codes and the Illinois Accessibility Code.
- This provision does not prevent plan examination or inspection to confirm compliance with accessibility requirements.

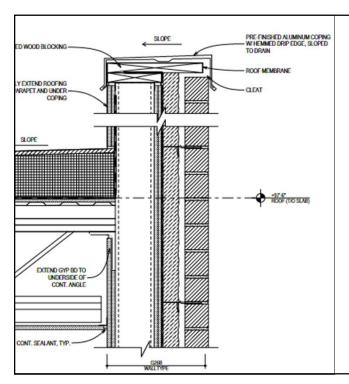
Energy Conservation

In addition to the compliance form, construction documents must include the following details related to energy performance for all materials or systems to be installed under the permit:

- · Insulation materials and R-values
- Fenestration U-factors and solar heat gain coefficients (SHGCs)
- Area-weighted U-factor and solar heat gain coefficient calculations
- · Mechanical system design criteria
- Mechanical and service water heating equipment type, size, and efficiency
- Economizer description

- Equipment and system controls
- Fan motor horsepower and controls
- Duct sealing and duct and pipe insulation locations
- Lighting fixture schedule with wattage and control narrative
- · Location of required daylight zones
- · Air sealing details

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Exterior Wall Envelope

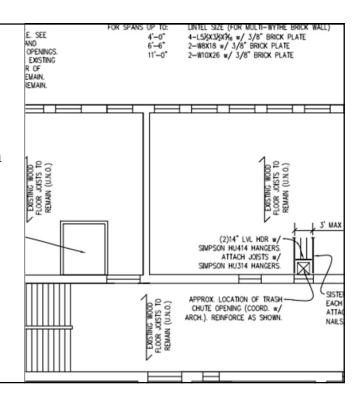
Construction documents must detail the exterior wall in sufficient detail to determine compliance.

The code requires showing details of the exterior wall envelope including flashing, intersections with dissimilar materials, corners, end details, control joints, intersections at roof, eaves, and parapets, means of drainage, water-resistant membrane, and details around openings.

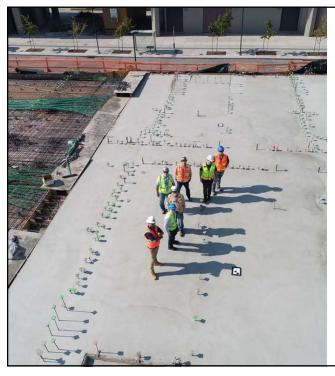
Structural Information

Construction documents must include information specified in the code, including:

- Floor live load(s)
- · Roof live load
- · Roof snow load data
- · Wind design data
- Earthquake design data
- Geotechnical information
- Special loads (where applicable)



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Concrete

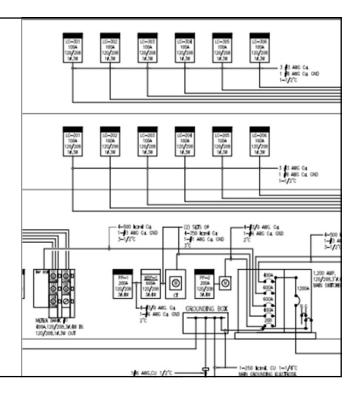
For structural concrete work, construction documents must include information specified in Section 1901.5, including:

- Compressive strength of concrete at specific age(s)
- The specified strength or grade of reinforcement
- The size and location of structural elements, reinforcement, and anchors

Electrical Information

Separate electrical drawings (E sheets) are required for:

- Residential > 2 stories abv. grade
- Non-residential > 1 story or greater than 10,000 ft²
- Services rated 400 amps +
- Certain electric and illuminated signs
- Emergency electrical power systems



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1	40.220	3.00	N/A	KING ELECTRIC	208	14.4	
NG	10,239	3.00	NA	KDSRU2030-1	208	14.4	

RIC HEATER NOTES

CE- OR RECESSED-MOUNTED HEATERS. ALL ELECTRIC HEATERS SHALL BE FURNISHED BY RICAL CONTRACTOR. HEATERS SHALL BE U.L. LISTED, HAVE STANDARD SAFETY FEATURES, GE AND PHASES AS REQUIRED, AND HAVE A MINIMUM 5 YEAR WARRANTY.

ENAMELED FINISH WITH COLORS APPROVED BY ARCHITECT.

ATERS TO BE U.L. LISTED FOR ZERO CLEARANCE TO COMBUSTIBLE SURFACES, BEAR U.L. LA RIC CODE. TERMINAL BOX, CONTROL CABINET, REMOTE CONTROL CABINET AND ELEMENT HO

	ACE SO			LING COIL	S CARRIER	OR TRANE F	QUAL
7.70	NFINITY SERIE						
FURNACE TAG	CARRIER MODEL NO.	RATED HEATING OUTPUT (MBH)	MAX/MIN AIR QUANTITY (CFM)	MIN AIR PRESSU RE (*SP)	BLOWER MOTOR (HP)	MAXIMUM COOLING CAPACITY TOTAL (TONS)	FUR S (*Dx*
F-1	59SC5A040 S1712	40/39	1100	0.4	1/2.	2 to 3 TON	29.5)
F-2	59SC5A060 S17-14	60/58	1200	0.4	1/2.	2 to 3 TON	29.5)

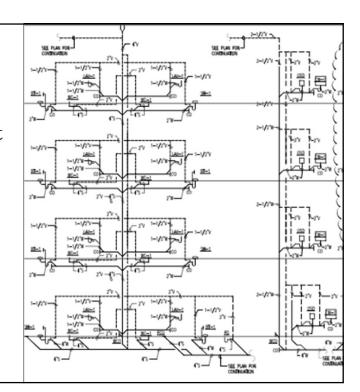
CARRIER COMFORT SERIES GAS-FIRED, CONDENSING-TYPE, UPFLOW, MULTI-POISE, FUR (AFUE) OF 95 PERCENT; HIGH/LOW INPUT AND OUTPUT UP TO 1 HP, 120 VOLT/1 PHASE, CONNECTION; CARRIER CASED, A-TYPE MATCHING R-410A COOLING COIL; WITH PLENUM COMBUSTION SYSTEM WITH DIRECT PIPED COMBUSTION AIR INTAKE AND FLUE DISCHAR

Mechanical Information

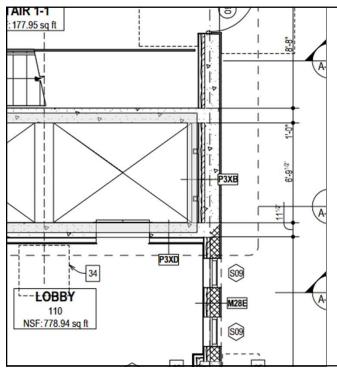
- Construction documents involving mechanical heating, ventilation, refrigeration, and/or fuel gas systems must establish code compliance.
- If ventilation work, must include ventilation schedules in the format specified in Section 18-28-403.14 of the Mechanical Code.

Plumbing Information

- Construction documents must show the size and location of all water distribution, sewerage, and drain pipes and the location and type of all plumbing fixtures.
- Construction documents should include calculations or schedules to show compliance.



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Conveyance Devices

- Construction documents must indicate the general type and location of conveyance devices (elevators, escalators, moving walks, etc.) within or serving the work area.
- Show elevator cab dimensions if required for accessible means of egress, fire service, or paramedic access

Rehabilitation Work

• Where rehabilitation work is limited to repairs, Level 1 alterations, and/or change of occupancy (without alterations), DOB may waive any requirement for the construction documents that is not necessary to determine code compliance.

