



DEPARTMENT OF PROCUREMENT SERVICES NON-COMPETITIVE REVIEW BOARD (NCRB) APPLICATION

Complete this cover form and the Non-Competitive Procurement Application Worksheet in detail. Refer to the page entitled "Instructions for Non-Competitive Procurement Application" for completing this application in accordance with its policy regarding NCRB. Complete "other" subject area if additional information is needed. Subject areas must be fully completed and responses merely referencing attachments will not be accepted and will be immediately rejected.

Department DoIT	Originator Name Eric Tenfelde	Telephone 312-742-3765	Date 7/2/2019	Signature of Application Author
Contract Liaison Judy Mims	Email Contract Liaison judith.mims@cityofchicago.org	Telephone 312-742-1817		

List Name of NCRB Attendees/Department Eric Tenfelde Judith Mims Carleton Nolan

Request NCRB review be conducted for the product(s) and/or service(s) described herein.

Company: Infor Public Sector		
Contact Person: Jimi Stricklin	Phone: 614-403-9746	Email: jimi.stricklin@infor.com

Project Description: **It software and professional services for inspection and permitting system**

This is a request for: <input type="checkbox"/> New Contract <input type="checkbox"/> Blanket Agreement Term: ____ (# of mo) <input type="checkbox"/> Standard Agreement	<input checked="" type="checkbox"/> Amendment / Modification <u>Type of Modification</u> <input checked="" type="checkbox"/> Time Extension <input checked="" type="checkbox"/> Vendor Limit Increase <input checked="" type="checkbox"/> Scope Change Contract Number: 16761 Specification Number: 61590 Modification Number: _____
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Department Request Approval DEPARTMENT HEAD OR DESIGNEE CARLETON NOLAN PRINT NAME	Recommended Approval BOARD CHAIRPERSON Steven M. Loboda PRINT NAME
8/6/19 DATE	11/22/19 DATE

(FOR NCRB USE ONLY)

Recommend Approval/Date: **11-19-19**

Return to Department/Date: _____

Rejected/Date: _____

Approved Rejected

CHIEF PROCUREMENT OFFICER

22 November 2019
DATE

** Final Conditional Documentation Rec'd from DoIT 11/18/19*



**DEPARTMENT OF PROCUREMENT SERVICES
NON-COMPETITIVE REVIEW BOARD (NCRB) APPLICATION
JUSTIFICATION FOR NON-COMPETITIVE PROCUREMENT WORKSHEET**

All applicable information on this worksheet must be addressed using each question found on the "Instructions for Non-Competitive Procurement Application" in this application.

Justification for Non-Competitive Procurement Worksheet

PROCUREMENT HISTORY

1. City procured Hansen product licenses and professional services through a 5 year contract (16761) to implement Hansen B for Department of Buildings, Department of Transportation, Fire Prevention Bureau and Department of Environment (currently part of CDPH). Infor professional services have been used to implement inspections for Fire Prevention Bureau, back office and online permitting functionality for the Department of Environment (Currently part of CDPH) and inspections for Department of Planning.
2. This is a continuation of previous procurement from same source. On February 18, 2009, Hansen Information Technology, was awarded a 5 year contract (16761) for Hansen S license, implementation, maintenance and support. On January 9, 2012, the contract was amended to add Enterprise Asset Management(EAM) and/or Hansen 8 Asset licenses.
On May 30, 2014, the contract was extended for 1 year to support implementation of Hansen B
On April 29,2015 the contract was extended for 1 year to provide implementation support for the Hansen systems and professional services for additional implementation requirements.
On June 13,2016 the contract was extended for 1 year to provide maintenance and support for the Hansen systems and professional services for additional implementation of projects and requirements.
On February 14, 2018, The contract was extended for 2 years with an optional 3rd year to provide maintenance and support for Hansen systems and professional services for additional implementation requirements.
3. Infor Public Sector is the sole provider of support and implementation services of their proprietary software.
4. No research was done to identify other sources to complete ongoing projects. There is additional cost to the City restarting projects using a new vendor.
5. A potential exists to segregate maintenance/support from implementation services
6. Yes. Future competitive bidding is possible.

ESTIMATED COST

1. \$11,737,690 is needed to cover Hansen 7 and IPS 11 licenses, EAM license, Software implementation and support for a period from 02/01/2020 through 01/31/2021 and ITGB funded projects for 2019 and 2020.
2. To break down the total, \$4,837,689.83 is needed to cover Hansen 7 and IPS 11 licenses, Datastream license, Software implementation and support for a period from 02/01/2020 through 01/31/2021. 2020 Corporate funds to cover licenses, maintenance and onsite application support.
\$2,900,000 is needed upgrade and migrate DoB H7 to IPS 11. \$1,470,000 was funded by ITGB in 2019, remaining planned for 2020
\$1,000,000 is needed migrate Driveways and and PB Signs from NSR to IPS 11. \$500,000 was funded by ITGB in 2019, remaining planned for 2020, including funding to migrate Sewers to IPS 11 so that NSR may be shutdown.
\$350,000 is needed implement DCASE Special Events into IPS 11. Total amount was funded by ITGB in 2019.



DEPARTMENT OF PROCUREMENT SERVICES
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JUSTIFICATION FOR NON-COMPETITIVE PROCUREMENT WORKSHEET

\$650,000 is needed to implement the 2020 Digger Hit Enforcement Project. Total amount was funded by ITGB in 2019.

\$1,500,000 is needed to migrate BACP Public Way Use Permits to IPS 11 from IRIS. \$500,000 was funded by ITGB in 2019, remaining planned for 2020.

\$500,000 is needed to implement remaining CDPH permit types online. Total amount was funded by ITGB in 2019.

2020 annual estimated cost for professional services is \$3,483,160, product license is \$1,354,529.83 (Hansen 7 and IPS 11 license is \$947,286.54 and EAM is \$407,243.29).

3. Cost estimate was based on annual license fees and professional services associated with Hansen 7 IPS 11. In addition, the annual costs were based on costs provided by Infor.

4. Based on enterprise license for IPS 11, City is in the process of consolidation/replacing number of permitting and inspection systems thus creating cost savings in terms of license, hardware and support.

5. The annual cost reflects a potential cost reduction in the support cost previously based on contract (16761) for optional period (02/01/2020 - 01/31/2021). The estimated cost covers license and fixed price support of Hansen 7/8 application across the City.

SCHEDULE REQUIREMENTS

1. The schedule is driven by the maintenance and support needs of the City's user departments for Hansen 7 & 8
2. The City intends to procure Hansen 7 & 8 and Datastream product licenses and professional services using this contract. Drawing or any specific specification does not apply to components
3. Vendor is providing on going maintenance and support for Hansen 7 & 8 for a number of City departments and it is essential that this contract be extended to continue this support.
4. Vendor is responsible for supporting implementations of Hansen 8 for permits and inspections. In addition vendor is supporting Hansen 7 that is critical for Department of Buildings operations.

EXCLUSIVE OR UNIQUE CAPABILITY

1. This request for a new contract is not intended for hiring a person or firm.
2. Yes. Hansen 7 & 8 is a product owned by Infor and using their personnel for implementation and support produces quality deliverables.
3. Vendor was responsible for implementing Hansen 7 in the City. It is critical and efficient to use vendor's knowledge on City's business process and their experience with their product to complete on projects and provide support.
4. Infor is the owner of Hansen 7 & 8 products used by the City to support permitting inspection functions across multiple departments.
5. Vendor has spent several years implementing and supporting Hansen 7 & 8 with City and has an extensive knowledge of City's business processes related to inspection and permitting.
6. N/A. This is a new request for the Hansen 7 & 8 licenses, Datastream license, Software implementation and support services.
7. N/A. This is a new request for professional services to allow Vendor to provide maintenance & support and post implementation support of future projects..
8. Infor is the only vendor that has the unrestricted ability to access and modify Infor-owned software products in order



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JUSTIFICATION FOR NON-COMPETITIVE PROCUREMENT WORKSHEET

to provide bug fixes, updates and upgrades as part of support and maintenance.

OTHER

1. No additional supporting documentation is presented



DEPARTMENT OF PROCUREMENT SERVICES NON-COMPETITIVE REVIEW BOARD (NCRB) APPLICATION INSTRUCTIONS FOR NON-COMPETITIVE PROCUREMENT APPLICATION

INSTRUCTIONS FOR PREPARATION OF NON-COMPETITIVE PROCUREMENT APPLICATION

If a City Department has determined that the purchase of supplies, equipment, work and/or services cannot be done on a competitive basis, a justification must be prepared on this "Justification for Non-Competitive Procurement Application" in which procurement is requested on a or non-competitive basis in accordance with 65 ILCS 5/8-10-4 of the Illinois Compiled Statutes. Using this instruction sheet, all applicable information must be addressed on the worksheet. The information provided must be complete and in sufficient detail to allow for a decision to be made by the Non-Competitive Procurement Review Board. For Amendments, Modifications, describe in detail the change in terms of dollars, time period, scope of services, etc., its relationship to the original contract and the specific reasons for the change. Indicate both the original and the adjusted contract amount and/or expiration date with this change.

Attach a DPS Checklist and any other required documentation; the Board will not consider justification with incomplete information documentation or omissions.

PROCUREMENT HISTORY

1. Describe the requirement and how it evolved from initial planning to its present status.
2. Is this a first time requirement or a continuation of previous procurement from the same source? If so, explain the procurement history.
3. Explain attempts made to competitively bid the requirement (attach copy of sources contacted).
4. Describe in detail all research done to find other sources; list other cities, companies in the industry, professional organizations contacted. List periodicals and other publications used as references.
5. Explain future procurement objectives. Is this a one-time request or will future requests be made for doing business with the same source?
6. Explain whether or not future competitive bidding is possible. If not, explain in detail.

ESTIMATED COST

1. What is the estimated cost for this requirement or for each contract, if multiple awards are contemplated? What is the funding source?
2. What is the estimated cost by fiscal year?
3. Explain the basis for estimating the cost and what assumptions were made and/or data used (i.e., budgeted amount, previous contract price, current catalog or cost proposal from firms solicited, engineering or in-house estimate, etc.)
4. Explain whether the proposed Contractor or the City has a substantial dollar investment in original design, tooling or other factors which would be duplicated at City expense if another source was considered. Describe cost savings or other measurable benefits to the City which may be achieved.
5. Explain what negotiation of price has occurred or will occur. Detail why the estimated cost is deemed reasonable.

SCHEDULE REQUIREMENTS

1. Explain how the schedule was developed and at what point the specific dates were known.
2. Is lack of drawings and/or specifications a constraining factor to competitive bidding? If so, why is the proposed Contractor the only person or firm able to perform under these circumstances? Why are the drawings and specifications lacking? What is the lead time required to get drawings and specifications suitable for competition? If lack of drawings and specifications is not a constraining factor to competitive bidding, explain why only one person or firm can meet the required schedule.
3. Outline the required schedule by delivery or completion dates and explain the reasons why the schedule is critical.
4. Describe in detail what impact delays for competitive bidding would have on City operations, programs, costs and budgeted funds.

EXCLUSIVE OR UNIQUE CAPABILITY

1. If contemplating hiring a person or firm as a Professional Service Consultant, explain in detail what professional skills, expertise, qualifications, and/or other factors make this person or firm exclusively or uniquely qualified for the project. Attach a copy of the cost proposal, scope of services, and Temporary Consulting Services Form.
2. Does the proposed firm have personnel considered unquestionably predominant in the particular field?
3. What prior experiences of a highly specialized nature does the person or firm exclusively possess that is vital to the job, project or program?
4. What technical facilities or test equipment does the person or firm exclusively possess of a highly specialized nature which is vital to the job?
5. What other capabilities and/or capacity does the proposed firm possess which is necessary for the specific job, project or program which makes them the only source who can perform the work within the required time schedule without unreasonable costs to the City?
6. If procuring products or equipment, describe the intended use and explain any exclusive or unique capabilities, features and/or functions the items have which no other brands or models possess. Is compatibility with existing equipment critical from an operational standpoint? If so, provide detailed explanation?
7. Is competition precluded because of the existence of patent rights, copyrights, trade secrets, technical data, or other proprietary data (attach documentation verifying such)?
8. If procuring replacement parts and/or maintenance services, explain whether or not replacement parts and/or services can be obtained from any other sources? If not, is the proposed firm the only authorized or exclusive dealer/distributor and/or service center? If so, attach letter from manufacturer on company letterhead.

MBE/WBE COMPLIANCE PLAN

- * All submissions must contain detailed information about how the proposed firm will comply with the requirements of the City's Minority and Women Owned Business program. All submissions must include a completed C-1 and D-1 form, which is available on the Procurement Services page on the City's Intranet site. The City Department must submit a Compliance Plan, including details about direct and indirect compliance.

OTHER

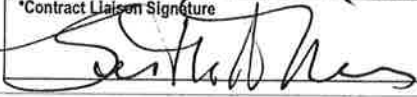

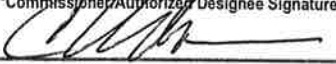
1. Explain other related considerations and attach all applicable supporting documents, i.e., an approved "ITGB Form" or "Request For Individual Hire Form".

REVIEW AND APPROVAL

This application must be signed by both Originator of the request and signed by the Department Head. After review and final disposition from the Board, this application will be signed by the Board Chairman. After review and final disposition from the Board, this form will be presented to the Chief Procurement Officer recommending approval.

Project Checklist

Attach required forms for each procurement type and detailed scope of services and/or specifications and forward original documents to the Chief Procurement Officer; City Hall, Room 806.

Date: June 18, 2019		For blanket agreements, original or lead department must consult with other potential departments who may want to participate on the blanket agreement. If grant funded, attach copy of the approved grant application and other terms and conditions of the funding source. Note: 1) <u>Funding:</u> Attach information if multiple funding lines; 2) <u>Individual Contract Services:</u> Include approval form signed by Department Head and OBM; 3) <u>ITGB:</u> IT project valued at \$100,000.00 or more, attach approval transmittal sheet.																																					
Department Name: Innovation and Technology		*By signing this form, I attest that all information provided is true and accurate. <div style="float: right; border: 1px solid black; padding: 2px;"> *Contract Liaison Signature  </div>																																					
Requisition No: 291932	Specification No: 61590	Project Title: NCRB Request for Time Extension, Scope Modification & VLI																																					
PO No: 16761	Modification No: 12	Project Description: HANSEN & and IPS SOFTWARE LICENSE, IMPLEMENTATION, MAINTENANCE & SUPPORT																																					
Contract Liaison: Judith Mims		Funding: <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td><input checked="" type="checkbox"/> Corporate</td> <td><input type="checkbox"/> Bond</td> <td><input type="checkbox"/> Enterprise</td> <td><input type="checkbox"/> Grant</td> <td><input type="checkbox"/> Other:</td> </tr> <tr> <td><input type="checkbox"/> IDOT/Transit</td> <td><input type="checkbox"/> IDOT/Highway</td> <td><input type="checkbox"/> FHWA</td> <td><input type="checkbox"/> FTA</td> <td><input type="checkbox"/> FAA</td> </tr> </table>								<input checked="" type="checkbox"/> Corporate	<input type="checkbox"/> Bond	<input type="checkbox"/> Enterprise	<input type="checkbox"/> Grant	<input type="checkbox"/> Other:	<input type="checkbox"/> IDOT/Transit	<input type="checkbox"/> IDOT/Highway	<input type="checkbox"/> FHWA	<input type="checkbox"/> FTA	<input type="checkbox"/> FAA																				
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Telephone: 312-742-1817		<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>LINE</th> <th>FY</th> <th>FUND</th> <th>DEPT</th> <th>ORGN</th> <th>APPR</th> <th>ACTV</th> <th>PROJECT</th> <th>RPTG</th> <th>ESTDOLLAR AMOUNT</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>019</td> <td>0100</td> <td>06</td> <td>2005</td> <td>0138</td> <td>-0-</td> <td>-0-</td> <td>-0-</td> <td>\$11,737,690</td> </tr> <tr> <td>2</td> <td>019</td> <td>0100</td> <td>06</td> <td>2005</td> <td>0138</td> <td>-0-</td> <td>-0-</td> <td>-0-</td> <td>-0-</td> </tr> </tbody> </table>								LINE	FY	FUND	DEPT	ORGN	APPR	ACTV	PROJECT	RPTG	ESTDOLLAR AMOUNT	1	019	0100	06	2005	0138	-0-	-0-	-0-	\$11,737,690	2	019	0100	06	2005	0138	-0-	-0-	-0-	-0-
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2	019	0100	06	2005	0138	-0-	-0-	-0-	-0-																														
Email: Judith.Mims@cityofchicago.org		Check One: <input type="checkbox"/> New Contract Request																																					
Project / Program Manager: Eric Tenfelde		*By signing below, I attest the estimates provided for this contract are true and accurate.																																					
Telephone: x2-3765		*Project / Program Manager Signature 																																					
Email: Eric.Tenfelde@cityofchicago.org		*Commissioner/Authorized Designee Signature 				Purchase Order Type: <input checked="" type="checkbox"/> Blanket/Purchase Order (DUR) <input type="checkbox"/> Master Consultant Agreement (Task Order) <input type="checkbox"/> Standard/One-Time Purchase																																	
Special Approvals Required: <input type="checkbox"/> Emergency <input checked="" type="checkbox"/> Non-Competitive Review Board (NCRB) <input type="checkbox"/> Request for Individual Contract Services <input type="checkbox"/> Information Technology Governance Board (ITGB)		Purchase Order Information: Contract Term (No. of Months): _____ Extension Options (Rate of Recurrence): _____ Estimated Spend/Value: \$ _____ Grant Commitment / Expiration Date: _____ Pre-Bid/Submittal Conference: <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Mandatory <input type="checkbox"/> Site Visit																																					
Procurement Method: <input type="checkbox"/> Bid <input checked="" type="checkbox"/> RFP <input type="checkbox"/> RFQ <input type="checkbox"/> RFI <input type="checkbox"/> Small Order		Contract Type: <input type="checkbox"/> Architect Engineering <input type="checkbox"/> Commodity <input type="checkbox"/> Construction <input type="checkbox"/> JOC <input type="checkbox"/> SBI <input checked="" type="checkbox"/> Professional Services <input type="checkbox"/> Revenue Generating <input type="checkbox"/> Vehicle & Heavy Equipment <input type="checkbox"/> Work Service <input type="checkbox"/> Joint Procurement <input type="checkbox"/> Reference Contract																																					
Modification or Amendment Modification Information: PO Start Date: 2/1/2020 PO End Date: 1/31/2021 Amount (Increase/Reduction): \$11,737,690		Modification/Amendment Type: <input checked="" type="checkbox"/> Time Extension <input checked="" type="checkbox"/> Scope Change/Price Increase /Additional Line Item(s) <input checked="" type="checkbox"/> Vendor Limit Increase <input type="checkbox"/> Requisition Encumbrance Adjustment <input type="checkbox"/> Other (specify): _____																																					
MBE/WBE/DBE Analysis: (Attach MBE/WBE/DBE Goal Setting Memo) <input type="checkbox"/> Full Compliance <input checked="" type="checkbox"/> Contract Specific Goals <input type="checkbox"/> No Stated Goals <input type="checkbox"/> Waiver Request		Vendor Info: Name: Infor Public Sector Contact: Jimi Stricklin Address: 500 W. Madison St., Suite 2100, Chicago IL 60661 E-mail: Jimi.Stricklin@infor.com Phone: 614-403-9746																																					
Risk Management / EDS Insurance Requirements (included) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No EDS Certification of Filing (included) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No																																							

Purchase Request Summary

Procurement Type:	Sole Source
Purpose of Request:	Vendor Limit Increase, Contract Extension, and Scope Modification
Project Description:	Hansen 7 and Infor Public Sector (IPS) 11 Software License, Implementation, Maintenance & Support and Priority Project Work
Vendor Name:	INFOR PUBLIC SECTOR INC
Lead Department:	Innovation and Technology
User Department:	Innovation and Technology
User Contact:	Eric Tenfelde, 312.742.3765
Contract Start/EndDate:	01/01/2009- 01/31/2020
Estimated Cost/Contract Value:	\$52,218,788.04
Duration of Term (months):	N/A
Extension Options:	1 Year
Exercised Extension Term:	Feb 1, 2020 to Jan 31, 2021
Price Adjustment/VLI	
(Include supporting details):	\$11,737,690
Funding Source:	Corporate Funding for Licensing, Maintenance and Support and ITGB Funding for Project Work
Need By Date:	October 31, 2019

Scope of Work

Specification Number 61590

The City of Chicago desires to execute a Statement of Work with the Infor Public Sector Inc., to provide post implementation support for the Hansen system. The scope includes providing managed services and support of the City's Hansen environments. These services include:

- Hansen 7 System support includes:
 - Application support for Hansen 7 back office permitting system for various departments, including the Department of Buildings and annual inspections for the Fire Prevention Bureau.
 - Technical support for the web portal application for online building permits, annual elevator inspections, trade license renewal and vacant building registrations.
 - Support interfaces to the City's GIS system, collection systems (ARMS) and 311-/CSR systems.
 - Support Hansen mobile solutions

- IPS 11 System support includes:
 - Application support of Hansen 8 functionality that allows for the issuance of CDOT Public way use permits.
 - Implementation support for the Department of Buildings Annual Inspection Certification (AIC) project which enhanced & migrated the AIC website to the IPS 11 system and addresses audit functions.
 - Application support for IPS 11 back office permitting system for various departments such as the Department of Planning, annual inspections for the Fire Prevention Bureau, and environmental permits for the Department of Health.
 - Technical support for web portal for online environmental permits for Department of Public Health.
 - Support interfaces to the City's GIS system and data portal website

- Hansen 7 and IPS 11 services includes:
 - System administration and maintenance
 - User administration and password resets
 - User functional/application support
 - Reporting support
 - Product patching as required
 - Work with Infor Chicago Project team as required and be responsible for the migration of any projects into the Hansen production environments.

This statement of work includes the above services to be continued in through January 31, 2021 utilizing the optional extension provided in the last contract extension – Amendment 6 to contract 16761. This statement of work also includes scope for six projects approved for 2019 and 2020 by Information Technology Governance Board (ITGB). These projects all add high value to the program and supporting departments and bring the program to an Enterprise system for Permit and Inspection Processing, allowing permit applications to be submitted online, encouraging paperless processing, and enhancing

the mobile processing capabilities of keeping inspectors working in the field with paperless and mobile inspection recording and violation processing.

ITGB funded and approved projects for 2019 and 2020:

- DoB Building Permits and Inspections migration from H7 to IPS 11 (project #403)
 - Brings all DoB Building Permit and Inspection types into our Enterprise, current platform
 - Saves money on licensing, maintenance, and infrastructure costs by retiring Hansen 7.
 - Improved online integration, GIS capabilities, workflows, notifications, etc.
 - Improved mobile capabilities
 - Improved integration and collaboration with other departments and Systems
 - Enterprise reporting/Analytics on Permits and Inspections
 - Upgraded infrastructure and improved configuration and change management
- CDOT Driveway and PBS Permit Migration from NSR to Hansen (Project #405)
 - Move processes and data from an outdated system to Enterprise, current platform saving \$500K annually in licensing and maintenance costs
 - Address issues with the IG report on Driveways
 - Automate the workflow of Permit and Inspection processes
- DCASE Special Event Permits (Project #500)
 - Automate current, manual, paper-based process
 - Allow both online and cashier payments
 - Integrate with IPS 11 for Permits and Licensing to streamline process
- CDOT Digger Hit Report Enforcement (Project #445)
 - Enforce compliance with Federal regulation that requires reporting and investigation when a dig results in a hit on infrastructure
 - Improve the tracking on incidents and management of the process for the benefit of public safety
- BACP Public Way Use Permits Online and IRIS Conversion (Project #558)
 - Automate the ordinance updates to be voted on by City Council
 - Move processes and data from an outdated custom system to Enterprise Permitting system
 - Integrate with IPS 11 and other systems and processes and improve workflow and automation
- CDPH Phase 3B (Project #406)
 - Bring remaining Public Health Permit application types online
 - Automate and add workflow for applications, reviews, payments and inspections
 - Provide business license validation in IRIS

In addition, Infor Public Sector Inc. will be providing services for additional implementation requirements for future projects.

Sincerely,

ERIC TENFELDE

Eric Tenfelde, IT Director
Department of Innovation and Technology



380 St. Peter Street
St. Paul, MN 55102
651-767-7000
www.infor.com

July 3, 2019

Danielle DuMerer
CIO & Commissioner
Department of Innovation & Technology, City of Chicago
333 South State Street, Suite 420
Chicago, IL 60604

Dear Ms. DuMerer:

Infor has received your request for a justification to single source the support and maintenance of the Infor-owned software products that you have licensed from Infor.

Infor is the copyright owner for these software products, and as such, Infor is the only vendor that has the unrestricted ability to access and modify such Infor-owned software products in order to provide bug fixes, updates and upgrades to you as part of support and maintenance.

Please don't hesitate to contact Jimi Stricklin, the Director of Services Public Sector for your account, at 614-403-9746 or Jimi.Stricklin@infor.com, if you have additional questions on this matter.

Sincerely,

A handwritten signature in black ink, appearing to read "Lindsay Pritchard", written in a cursive style.

Lindsay Pritchard
Associate General Counsel



641 Avenue of the Americas
New York, NY 10011
800-260-2640
infor.com

August 7, 2019

City of Chicago
Department of Innovation and Technology
Mr. Eric Tenfelde
333 South State Street, Suite #420
Chicago, IL 60604
Acct# 1-372131 & 1-93791

Infor Chicago Support & Projects

Dear Mr. Tenfelde,

The following is an estimate for Support and Project work for the City of Chicago. Infor looks forward to continuing to provide you with Services and Support during the upcoming renewal period.

The requested \$11,737,690 is for the support of Hansen 7 and IPS 11 licenses, EAM licenses, software implementation and Support for a period from 02/01/2020 through 01/31/2021 and ITGB funded projects for 2019 and 2020.

1. Support for Hansen 7 and IPS 11 licenses, EAM license, software implementation and Support. Estimate = \$4,837,689.83
2. Upgrade and migrate DoB H7 to IPS 11.. Estimate = \$2,900,000
3. Migrate Driveways and PB Signs from NSR to IPS 11. Estimate = \$1,000,000
4. Implement DCASE Special Events into IPS 11. Estimate = \$350,000
5. Implement the 2020 Digger Hit Enforcement Project. Estimate = \$650,000
6. Migrate BACP Public Way Use Permits to IPS 11 from IRIS. Estimate = \$1,500,000
7. Implement remaining CDPH permit types online. Estimate = \$500,000

Breakout for (1) above is: 2020 annual estimated cost for professional services is \$3,483,160, product license is \$1,354,529.83 (Hansen 7 and IPS 11 license is \$947,286.54 and EAM is \$407,243.29).

We look forward to continuing to provide the City with valuable software support and consulting services. If you have any questions or concerns, please contact Jimi Stricklin, Director of Public Sector Services.

Jimi Stricklin – Director Public Sector Services Jimi.Stricklin@infor.com

Sincerely,

Lindsay Pritchard
Associate General Counsel

Account #1-372131

SKU	SKU Description	Qty	License
H7AB-01	Hansen 7.x - Building	999	US
H7AP-01	Hansen 7.x - Plant/Fleet	999	US
H7CS-02	Hansen 7.x - Customer Service - COMBINED	999	US
H7ID	Hansen 7.x - Image Display	1	US
H7LC-01	Hansen 7.x - Construction & Use Permits	999	US
H7LL-01	Hansen 7.x - Licensing	999	US
H7LP-01	Hansen 7.x - Code Enforcement	999	US
H7LWN-01	Hansen 7.x - Work Notice	999	US
H7ME	Hansen 7.x - Menu Editor	1	US
H7OLE	Hansen 7.x - OLE Container	1	US
H7SCK	Hansen 7.x - Spell Checker	1	US
H7TAB	Hansen 7.x - Tab Editor	1	US
H8AFA	Hansen 8 - Facility	1	NU
H8AP	Hansen 8 - Plant	1	NU
H8CDRB	Hansen 8 - CDR Billing	1	ET
H8CS	Hansen 8 - Customer Service	1	NU
H8LC	Hansen 8 - Building Permit	1	NU
H8LL	Hansen 8 - License	1	NU
H8LP	Hansen 8 - Code Enforcement	1	NU
H8LPL	Hansen 8 - Planning Permit	1	NU
H8LPR	Hansen 8 - Project Permit	1	NU
H8LT	Hansen 8 - Trade License	1	NU
H8MD	Hansen 8 - Map Drawer	1	NU
H8PM	Hansen 8 - Process Manager	1	NU
HDDB	Hansen - ENTERPRISE DASHBOARD	1	NU
HDYP-P	Hansen Dynamic Portal for Permits	1	NU
HGGS-AV3	Hansen AV3 Link	1	NU
SELC-MS8-CDRF	Hansen 8 - Mobile CDR Field User License	1	ET
SELC-MS8-CDRN	Hansen 8 - Mobile CDR Server for Notebook Edition	2	ET
HAN-MOBILE-CDR	Infor Field Inspector CDR	30	DV

Account #1-93791

SKU	SKU Description	Qty	License
EEN-DS7I-ORC	Infor EAM Enterprise Edition - Oracle	1	NU
COG-DS7I-REPCS	Infor EAM Enterprise Edition Advanced Reporting Consumer	1	NU
EEN-DS7I-WEBTK	Infor EAM Enterprise Edition Web Services Toolkit	1	DA
EEN-DS7I-ORC	Infor EAM Enterprise Edition - Oracle	1	NU
EEN-DS7I-WEBCL	Infor EAM Enterprise Edition Web Services Connector License	50	CNU
COG-DS7I-REPAU	Infor EAM Enterprise Edition Advanced Reporting Author	1	NU
COG-DS7I-REPAU	Infor EAM Enterprise Edition Advanced Reporting Author	1	NU
COG-DS7I-REPCS	Infor EAM Enterprise Edition Advanced Reporting Consumer	51	NU
EEN-DS7I-ORC	Infor EAM Enterprise Edition - Oracle	50	NU
EEN-DS7I-ORC	Infor EAM Enterprise Edition - Oracle	1	NU
EEN-DS7I-ORC	Infor EAM Enterprise Edition - Oracle	1	NU
COG-DS7I-REPAU	Infor EAM Enterprise Edition Advanced Reporting Author	2	NU
COG-DS7I-REPCS	Infor EAM Enterprise Edition Advanced Reporting Consumer	50	NU
EEN-DS7I-ORC	Infor EAM Enterprise Edition - Oracle	50	NU
COG-DS7I-REPAU	Infor EAM Enterprise Edition Advanced Reporting Author	3	NU
EEN-DS7I-MOB	Infor EAM Enterprise Edition Mobile	20	DV
EEN-DS7I-ORC	Infor EAM Enterprise Edition - Oracle	50	NU
EEN-DS7I-ORC	Infor EAM Enterprise Edition - Oracle	3	NU
EEN-DS7I-ORC	Infor EAM Enterprise Edition - Oracle	21	NU
COG-DS7I-REPCS	Infor EAM Enterprise Edition Advanced Reporting Consumer	21	NU
EEN-DS7I-ORC	Infor EAM Enterprise Edition - Oracle	2	NU
COG-DS7I-REPAU	Infor EAM Enterprise Edition Advanced Reporting Author	1	NU
COG-DS7I-REPCS	Infor EAM Enterprise Edition Advanced Reporting Consumer	8	NU
EEN-DS7I-ORC	Infor EAM Enterprise Edition - Oracle	9	NU
EEN-DS7I-ORC	Infor EAM Enterprise Edition - Oracle	1	NU
EEN-DS7I-WEBTK	Infor EAM Enterprise Edition Web Services Toolkit	1	DA
COG-DS7I-REPAU	Infor EAM Enterprise Edition Advanced Reporting Author	2	NU
EEN-DS7I-ORC	Infor EAM Enterprise Edition - Oracle	80	NU
EEN-DS7I-GISMD	Infor EAM Enterprise Edition GIS Module	1	DA
EEN-DS7I-MOB	Infor EAM Enterprise Edition Mobile	12	DV

COG-DS7I-REPCS	Infor EAM Enterprise Edition Advanced Reporting Consumer	161	NU
EEN-DS7I-CUST	Special Apps Datastream 7i	1	US
COG-DS7I-REPCS	Infor EAM Enterprise Edition Advanced Reporting Consumer	160	NU
EEN-DS7I-ORC	Infor EAM Enterprise Edition - Oracle	1	NU
COG-DS7I-REPCS	Infor EAM Enterprise Edition Advanced Reporting Consumer	1	NU
COG-DS7I-REPCS	Infor EAM Enterprise Edition Advanced Reporting Consumer	2	NU
EEN-DS7I-WEBCL	Infor EAM Enterprise Edition Web Services Connector License	1	NU
EEN-DS7I-ORC	Infor EAM Enterprise Edition - Oracle	161	NU
EEN-DS7I-WEBCL	Infor EAM Enterprise Edition Web Services Connector License	1	NU
EEN-DS7I-WEBCL	Infor EAM Enterprise Edition Web Services Connector License	1	NU
EEN-DS7I-BAR	Infor EAM Enterprise Edition Barcoding	1	NU
EEN-DS7I-ORC	Infor EAM Enterprise Edition - Oracle	2	NU
EEN-DS7I-ORC	Infor EAM Enterprise Edition - Oracle	2	NU
COG-DS7I-REPCS	Infor EAM Enterprise Edition Advanced Reporting Consumer	2	NU
EEN-DS7I-ORC	Infor EAM Enterprise Edition - Oracle	2	NU
EEN-DS7I-ORC	Infor EAM Enterprise Edition - Oracle	1	CU
COG-DS7I-REPCS	Infor EAM Enterprise Edition Advanced Reporting Consumer	1	NU
EEN-DS7I-ORC	Infor EAM Enterprise Edition - Oracle	1	NU
COG-DS7I-REPCS	Infor EAM Enterprise Edition Advanced Reporting Consumer	1	NU
EEN-DS7I-ORC	Infor EAM Enterprise Edition - Oracle	3	NU

Resource Plan	
Task/Scope	Resource/FTE
Help Desk - Support	1
Help Desk - Support	1
Help Desk - System Admin	1
Support Services	1
Support Services	1
Support Services	1
Support Services	1
QA Resource	1
Reporting/Bus Objects	0.5
Database Support	0.5
Project Management	0.5
Total Budgetary Estimate: \$3,483,160.00	



Budgetary Estimate

Note: This is **not** a legal ordering document. It is used to raise/review a potential work effort, which may then trigger the creation of the legally binding Infor Work Order.

Customer Name: City of Chicago Date Raised: July 2019 Project Name: City of Chicago – DoB H7 to IPS 11 Priority: (Check one) <input checked="" type="checkbox"/> High <input type="checkbox"/> Medium <input type="checkbox"/> Low	Requested by (Customer): June 2019 Prepared by (Infor): July 2019 Customer Request? (Check one): <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Short Title: DoB H7 to IPS 11	
<u>Scope of Work</u> Improved functionality <ul style="list-style-type: none"> • online integration • GIS capabilities • configurable workflows • notifications, etc. Improved mobile capabilities <ul style="list-style-type: none"> • fully integrated mobile solution • out of the box solution • Configures to back office • Piloting with CDOT Integrate and collaborate with other departments <ul style="list-style-type: none"> • Enterprise platform for permits and inspections Enterprise reporting/Analytics on Permits and Inspection <ul style="list-style-type: none"> • Business Intelligence • Data Portal integration Improved integration with other systems <ul style="list-style-type: none"> • ProjectDox • IPI Web Portal • Cashiering/Payment Manager, • Law/AHMS Upgraded infrastructure <ul style="list-style-type: none"> • improved performance • virtual expandable environment • upgraded, supported Oracle platform • improved security Improved configuration process change adaptability <ul style="list-style-type: none"> • support new ordinance • Agile Release management • adapt to continued growth in City Development 	
Total Budgetary Estimate: \$2,900,000	



Budgetary Estimate

Note: This is not a legal ordering document. It is used to raise/review a potential work effort, which may then trigger the creation of the legally binding Infor Work Order.

Customer Name: City of Chicago Date Raised: July 2019 Project Name: City of Chicago – NSR Priority: (Check one) <input checked="" type="checkbox"/> High <input type="checkbox"/> Medium <input type="checkbox"/> Low	Requested by (Customer): June 2019 Prepared by (Infor): July 2019 Customer Request? (Check one): <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Short Title: NSR Project	
Scope of Work NSR (Driveways, Signs and Sewers) <ul style="list-style-type: none"> Address issues the IG report on Driveways that was not possible in the current system Moving processes and data from an outdated custom system Allows for automatic next steps for inspections, milestone within a permit process and automated notification to all departments that require input / inspections and real-time update of information Now integrated with IPS 11 	
Total Budgetary Estimate: \$1,000,000	



Budgetary Estimate

Note: This is not a legal ordering document. It is used to raise/review a potential work effort, which may then trigger the creation of the legally binding Infor Work Order.

<p>Customer Name: City of Chicago Date Raised: July 2019 Project Name: City of Chicago – DCASE Priority: (Check one) <input checked="" type="checkbox"/> High <input type="checkbox"/> Medium <input type="checkbox"/> Low</p>	<p>Requested by (Customer): June 2019 Prepared by (Infor): July 2019</p> <p>Customer Request? (Check one): <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>Short Title:</p> <p>DCASE</p>	
<p>Scope of Work</p> <p>DCASE – Special Event Automate the current manual processes</p> <ul style="list-style-type: none"> • allow both online payments and cashier payments <ul style="list-style-type: none"> ○ No longer payment via check to the Dept of Cultural Affairs • retire the annual spreadsheet of events / festivals that is manually updated <p>Integration with IPS 11 for Permits and Licensing to streamline processes</p>	
<p>Total Budgetary Estimate: \$350,000</p>	



Budgetary Estimate

Note: This is not a legal ordering document. It is used to raise/review a potential work effort, which may then trigger the creation of the legally binding Infor Work Order.

Customer Name: City of Chicago Date Raised: July 2019 Project Name: City of Chicago - 2020 Digger HIT Enforcement Priority: (Check one) <input checked="" type="checkbox"/> High <input type="checkbox"/> Medium <input type="checkbox"/> Low	Requested by (Customer): June 2019 Prepared by (Infor): July 2019 Customer Request? (Check one): <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Short Title: Digger HIT Enforcement Project	

Scope of Work

This project entails the implementation of Infor IPS11 to support the processes needed to enforce compliance with federal regulation that requires reporting and investigation when a dig results in hit on infrastructure. The desired objective is to improve the tracking of incidents and management of the process, for the benefit of public safety. This project will consist of the elaboration of requirements and configuration to support the intake of hit incidents, and the development of related reporting, email notices, recording of violations and administration of penalties, including testing, knowledge transfer, and post go live support.

The scope of the items to be configured for this project is as follows:

Intake	Configure Hit Report Case AP type, including detail pages
	Copy select Hit Report data from SR to Case
	Modifications to Hit Report intake form on website (new questions, involved party search)
	Modifications to audit tables to support Hit Report data in Case module
	Configure basic HR Intake review
	Create role Digger Enforcement Officer
	Cancel HR Intake review cancels HR and sends email
	Create and configure Hit Report Cancellation email template
	Configure basic HR CDOT Inspection
	Configure assignment of HR CDOT Inspection to group
	Inspection permissions
	Configure HR Member Review type with detail page for involved party responses
	Configure addition of HR Member Reviews for each involved party listed during intake
	Queue email to be sent every time an HR Member Review is generated
	Configure HR Member Review notification email
	Modify web portal - add new form for response to HR Member Review (incl. add involved parties)
	Update status of HR Member Review to DEO Review from web
	Batch job to update HR Member Reviews to DEO Review after X days (30) (add Assessment Review, cancel inspections)
Report Generation	Web portal logic to lock HR Member Reviews during DEO Review
	Configure HR Assessment review
	Cancel Assessment review - send email to involved parties, cancel HR and all reviews
	Approve Assessment review
	Member Review - Updates Required result, queue email
	Create and configure additional info required email
	Member Review - No Involvement result, send email
	Create and configure no-involvement email
	Member Review - Accept, add HR Final Review if all Accepted
	Configure HR Final Review
	Final Review - Approval, trigger Investigation Report Package creation, generate Advisory Board
	Create Investigation Report



Budgetary Estimate

Note: This is not a legal ordering document. It is used to raise/review a potential work effort, which may then trigger the creation of the legally binding Infor Work Order.

Customer Name: City of Chicago Date Raised: July 2019 Project Name: City of Chicago – IRIS PWU Permit Online Priority: (Check one) <input checked="" type="checkbox"/> High <input type="checkbox"/> Medium <input type="checkbox"/> Low	Requested by (Customer): June 2019 Prepared by (Infor): July 2019 Customer Request? (Check one): <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Short Title: IRIS PWU Permits Online	
Scope of Work <ul style="list-style-type: none"> • Moving processes and data from an outdated custom system • Automate the ordinance updates to be voted on by the City Council • Allows for automatic next steps for inspections, milestone within a permit process and automated notification to all departments that require input / inspections and real-time update of information • Now integrated with IPS 11 	
Total Budgetary Estimate: \$1,500,000	



Budgetary Estimate

Note: This is not a legal ordering document. It is used to raise/review a potential work effort, which may then trigger the creation of the legally binding Infor Work Order.

<p>Customer Name: City of Chicago Date Raised: July 2019 Project Name: City of Chicago – CDPH 3B Priority: (Check one) <input checked="" type="checkbox"/> High <input type="checkbox"/> Medium <input type="checkbox"/> Low</p>	<p>Requested by (Customer): June 2019 Prepared by (Infor): July 2019 Customer Request? (Check one): <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>Short Title: CDPH 3B</p>	
<p>Scope of Work This is building upon the original CDPH Project and will address the following key additions:</p> <ul style="list-style-type: none"> Recycling Facility <ul style="list-style-type: none"> - Online intake - Permit application, periodic payments, reviews, inspections - Business license validation (IRIS) - Enforcement workflow Above Ground Storage Tanks <ul style="list-style-type: none"> - Online intake - Installation and removal permits - Periodic payments, inspections - Creation of AST assets (data migration) - GIS mapping - Enforcement workflow Temporary Rock Crusher <ul style="list-style-type: none"> - Online intake - Permit application, extensions - Periodic inspections - Enforcement workflow Waste Handling <ul style="list-style-type: none"> - Online intake - Permit application, amendments, renewals - Periodic inspection - Enforcement workflow Solid Waste Surcharge <ul style="list-style-type: none"> -To be handled under TempRock and Waste 	
<p>Total Budgetary Estimate: \$500,000</p>	



Budgetary Estimate

Note: This is not a legal ordering document. It is used to raise/review a potential work effort, which may then trigger the creation of the legally binding Infor Work Order. This estimate is valid until 12/01/2019.

Customer Name: City of Chicago Date Raised: July 2019 Project Name: City of Chicago - 2020 Help Desk Support Priority: <i>(Check one)</i> <input checked="" type="checkbox"/> High <input type="checkbox"/> Medium <input type="checkbox"/> Low	Requested by (Customer): June 2019 Prepared by (Infor): October 2019 Customer Request? <i>(Check one):</i> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Short Title: 2020 Help Desk Support	

Scope of Work

This Work Order is to provide Hansen Application Support and Help Desk Support Services to Licensee for the ongoing support of Licensee's Hansen applications. Licensee has Hansen 7 and Hansen 11 production environments. This Work Order encompasses supporting the configuration and customizations of the base Hansen software and includes requested and prioritized work to further configure the base applications. Infor will undertake responsibility for the creation, management, development and support of Releases. Infor will triage all PCR's allocated and subsequently determine the resolution for any requests. This Work Order is for services starting February 1, 2020 and ending December 31, 2020.

The current software applications to be supported include:

- . Hansen CDR - Building Permits
- . Hansen CDR - Use permits
- . Hansen CDR - Planning
- . Hansen CDR - Projects
- . Hansen CDR - Code Enforcement / Case Management
- . Hansen Customer Services / Complaint Management
- . Hansen - Buildings/Equipment
- . Hansen - Dynamic Portal for Permits
- . Hansen Mobile Solutions . Digger . Hansen GIS Intelace . Hansen Finance Interface . Hansen CSR Interface . Hansen IRIS Interface

Infor Help Desk

The Infor Help Desk will triage all PCR's that are allocated to them by Licensee's Department of Innovation and Technology (DoIT) or the Licensee's end users. "End Users" in this case means City of Chicago personnel and not the public.

DoIT's first level support desk team will assign cases related to Hansen software applications which are outside the capabilities of the DoIT team to Infor resources to work on resolution.

The Infor Help Desk will be located onsite at DoIT, and operate during the Licensee's business hours, which are between 8:30 AM to 5:00 PM US Central Time. Infor resources may work offsite with the approval of the Licensee. However, this approval may be revoked at the request of the Licensee.

The Infor Help Desk will endeavor to remediate PCR's that are allocated to them. Any PCR'S that are unable to be immediately remediated will be estimated for effort to complete and the DoIT Project Manager will prioritize this PCR for inclusion in a maintenance release.

Support Service

Production Change Request Management and Control . Infor will provide a gatekeeper role for the Licensee's Hansen 7 and Hansen 11 production

environments. . All production change requests (PCRS) will be evaluated by Infor and are subject to pre-study of impact, execution, verification and documentation. . Infor assigned resource(s) will participate in scheduled PCR meetings with the City to

prioritize PCRS. . Licensee and Infor have established a preliminary threshold of 40 hours of development time as the threshold which will determine whether a PCR request must be addressed as a

separate project. This threshold may be adjusted by Infor and Licensee based on an assessment of the work flow during execution of the work associated with this Work Order.

In either event, Infor and Licensee will monitor hours associated with PCR requests and use a process to prioritize such requests. . Infor will have scheduled releases to Production of PCR's approved to work on. The Release

Schedule will be determined by the Licensee, with consultation with Infor. . Infor will co-ordinate and manage the Release Schedule . Infor will work with Licensee to design PCR and other relevant solutions . Infor will perform configuration and development operations necessary to complete PCRS . Infor will perform integration testing of PCRS . Infor will author test cases necessary for Licensee to perform UAT of PCRS . Infor will support the Licensee during User Acceptance Testing of a Release . Infor will manage the Production migrations as part of the Release Schedule . Infor will ensure Production migrations are done out of business hours and these are agreed to and scheduled with the Licensee

2019 ITGB Project Priority

Projects funded by ITGB

Project ID	Project Name	Department	Staff	Phase 1 Upgrade of all DoB Permit and Inspection Processes to IPS 11	Phase 1 Upgrade of all DoB Permit and Inspection Processes to IPS 11	Phase 1 Upgrade of all DoB Permit and Inspection Processes to IPS 11	Phase 1 Upgrade of all DoB Permit and Inspection Processes to IPS 11	Phase 1 Upgrade of all DoB Permit and Inspection Processes to IPS 11	Phase 1 Upgrade of all DoB Permit and Inspection Processes to IPS 11	Phase 1 Upgrade of all DoB Permit and Inspection Processes to IPS 11	Phase 1 Upgrade of all DoB Permit and Inspection Processes to IPS 11	Phase 1 Upgrade of all DoB Permit and Inspection Processes to IPS 11		
403	Building Permits and Inspections Migration IPS 11 (Hansen)	Planning and Development	Judith Frydland	Phase 1 upgrade of all DoB Permit and Inspection Processes to IPS 11 Our assessment project for 2018 will further define this estimate. The biggest challenge is to deploy a 1 year deliverable that will bring DoB into the Hansen 11 environment without fracturing their business processes split between implementations.	Phase 1 upgrade of all DoB Permit and Inspection Processes to IPS 11 Our assessment project for 2018 will further define this estimate. The biggest challenge is to deploy a 1 year deliverable that will bring DoB into the Hansen 11 environment without fracturing their business processes split between implementations. 10/30 Per Eric-	\$ 1,300,000.00	\$ 1,600,000.00	\$ 2,900,000.00	\$ 1,500,000.00	\$ 1,300,000.00	2019 Budget request \$2,900,000 Our assessment project for 2018 will further define this estimate. The biggest challenge is to deploy a 1 year deliverable that will bring DoB into the Hansen 11 environment without fracturing their business processes split between implementations. 10/30 Per Eric-	Yes-Infor	Cortez	Eric
405	CDOT Driveway and PBS Permit Migration from NSR to Hansen	Transportation	Michael Simon	CDOT would like to move Driveway and Public Benefit Sign permitting out of NSR and into Hansen. These are the only 2 permit types remaining in NSR. DoIT would like to decommission NSR and CDOT would like to have all permitting in one Enterprise application. Driveway and Private Benefit Signs were 2 components left out of CDOT Phase 1 due to process and policy issues that needed to be resolved. This phase will implement these components in Hansen	2019 budget request \$1,000,000. (\$500,000 to support NSR and \$500,000 to migrate Driveway and Public Benefit signs). Key staff from Motorola who supported NSR, CSR, and AHMS were laid off. No funding has been allocated. This is a high priority because NSR is being Phased out due to Salesforce. 10/30 Per Eric- Requested \$500,000 and needs \$500,000 for 2019	\$ 500,000.00	\$ 500,000.00	\$ 1,000,000.00	\$ 500,000.00	\$ 500,000.00	2019 budget request \$1,000,000. (\$500,000 to support NSR and \$500,000 to migrate Driveway and Public Benefit signs). Key staff from Motorola who supported NSR, CSR, and AHMS were laid off. No funding has been allocated. This is a high priority because NSR is being Phased out due to Salesforce. 10/30 Per Eric- Requested \$500,000 and needs \$500,000 for 2019	Yes-Infor	Cortez	Eric
500	Department of Cultural Affairs & Special Events External Events - Permits	Cultural Affairs & Special Events	Jennifer Washington	DCASE External Events facilitates privately produced events held in the City of Chicago - including neighborhood festivals, music festivals, athletic events, area filming and major civic celebrations. This division plays the important role of making sure these events have the required permits and communicates with the hosting neighborhood and sister government agencies to operate safely and effectively. The External Events Permits Team is responsible for processing more than 700 Special Event Permit Applications annually. The process includes securing no objections from the Alderman and Police Commander in addition to handling paperwork for all food vendors, liquor license, itinerant merchants and animal exhibits. Currently, the application is a fillable pdf on our website that is emailed, faxed or mailed to our office. Once received, the application is scanned and shared with the appropriate City Departments. Each food vendor, itinerant merchant and liquor license must be reviewed and submitted to Business Affairs and Consumer Protection or the Liquor Contract Commission for a permit. General information is also entered into an excel spreadsheet for tracking. Each special event permit takes approximately 3 weeks to complete. This small team of four would be greatly supplemented by an online process to accept applications - facilitating better reporting, data collection and integration with other City Departments. 1. Consolidation of permits into single system of record - currently DCASE permits	DCASE External Events facilitates privately produced events held in the City of Chicago - including neighborhood festivals, music festivals, athletic events, area filming and major civic celebrations. This division plays the important role of making sure these events have the required permits and communicates with the hosting neighborhood and sister government agencies to operate safely and effectively. The External Events Permits Team is responsible for processing more than 700 Special Event Permit Applications annually. The process includes securing no objections from the Alderman and Police Commander in addition to handling paperwork for all food vendors, liquor license, itinerant merchants and animal exhibits. Currently, the application is a fillable pdf on our website that is emailed, faxed or mailed to our office. Once received, the application is scanned and shared with the appropriate City Departments. Each food vendor, itinerant merchant and liquor license must be reviewed and submitted to Business Affairs and Consumer Protection or the Liquor Contract Commission for a permit. General information is also entered into an excel spreadsheet for tracking. Each special event permit takes approximately 3 weeks to complete. This small team of four would be greatly supplemented by an online process to accept applications - facilitating better reporting, data collection and integration with other City Departments. 1. Consolidation of permits into single system of record - currently DCASE permits	\$ 350,000.00	\$ 350,000.00	\$ 350,000.00	\$ 350,000.00	\$ 350,000.00	2019 Budget request. \$350,000. These permits will be added to Hansen. 11/1 Project can start in 2019 and finish in 2020.	Yes-Infor	Cortez	Eric

2019 ITGB Project Priority


558	Public Way Use Permit Online and IRIS Conversion. All to be Integrated into Hansen	Business Affairs & Consumer Protection	Rosa Escareno	Public Way Use permits (including sidewalk café permits) are all done by hand/manually. This needs to go online. ALSO, we need to move away from the database licensing and tax system used by BACP called IRIS because it is outdated and expensive to support. Ideally, this would all be integrated into the new 311 system via Salesforce. At the end of the day, customers should be able to pay for their permits and licenses as well as pay related taxes online.	\$ 1,500,000.00	\$ 500,000.00	\$ 1,000,000.00	2019 budget request \$1,500,000. 11/1- Need a meeting to discuss the best system. \$500,000 is needed to get this project started in 2019. The remainder will be needed in 2020.	Cortez	Eric
406	CDPH Phase 3b	Health	Dave Graham	CDPH has been making significant changes to their needs for Phase 3 - the most significant being a full fledged GIS mapping solution. They have grant funding available for effort. This is a continuation of Phase 3 for Chicago Department of Public Health, which would bring last batch of permits over to Hansen, as part of the Paperless Initiative to move all permits to web.		\$ 500,000.00			Cortez	Eric
445	Digger Hit Report Reinforcement	Buildings	Jai Kalayil	This project entails the implementation of Hansen to support the processes needed to enforce compliance with federal regulation that requires reporting and investigation when a dig results in hit on infrastructure. The desired objective is the improve the tracking of incidents and management of the process, for the benefit of public safety. This project will consist of the elaboration of requirements and configuration to support the intake of hit incidents, and the development of related reporting, email notices, recording of violations and administration of penalties, including testing, knowledge transfer, and post go live support.		\$ 650,000.00			Cortez	Eric



DEPARTMENT OF INNOVATION AND TECHNOLOGY
CITY OF CHICAGO

MEMORANDUM

To: Shannon E Andrews
Chief Procurement Officer
Procurement Services

From: Carleton Nolan 
Acting Chief information Officer
Innovation and Technology

Date: August 02, 2019

Re: Hansen 7 and IPS 11 Software License, Implementation, Maintenance
& Support. NCRB Request to modify scope, increase vendor limit, and
exercise contract year option
Infor Public Sector Inc.
Req: 291932

Current/Expiring Contract Number: PO 16761
Expiring Specification Number: 61590
Original Start Date: 01/01/2009
Original End Date: 12/31/2013
Current End Date: 01/31/2020

DoIT requests approval to exercise the optional contract year of its current contract – ending January 31, 2021. In addition, DoIT requests to increase the Vendor Limit on the contract to accommodate for the licensing and professional services provided by Infor Public Sector Inc. during that time. DoIT would also like to increase the vendor limit and modify contract scope to include ITGB prioritized and funded projects for 2019 and 2020. This increase is estimated to be \$11,737,690 and is needed to cover:

1. Hansen 7 and IPS 11 license maintenance for 2020
2. EAM license maintenance for 2020
3. Software implementation and support for a period from 02/01/2020 through 01/31/2021
4. ITGB funded projects for 2019 and 2020.

It will allow the continued maintenance for license permits and support Hansen 7 and Infor Public Sector (IPS) 11 applications which are critical to the City of Chicago's operations. The extension will also include duration and budget to complete future projects which are critical to the City Of Chicago operations.

In a continued effort to create efficiencies, consolidate software platforms, and further expand collaboration, easier routing, approval, and transparency by various departments within the City, several projects are upgrading to IPS 11. The suite of projects within the IPS (Hansen) upgrade includes; Business Affairs and Consumer Protection (BACP) - Public Way Use (Signs/Permits). The Chicago Department of Transportation (CDOT) - Permitting for Driveways – NSR and Updates to Digger Permits. The Department of Cultural Affairs and Special Events (DCASE) – Special Event Permits, and the Department of Building (DOB) Permits and Inspections migration.

Infor Public Sector Inc. maintains and supports the Hansen 7 and IPS 11 Systems. The Hansen 7 and IPS 11 Systems are utilized by various City Departments, including the Department of Transportation, The Department of Buildings, the Department of Public Health, the Department of Planning and Development, Facilities and Fleet Management, and the Fire Prevention Bureau. Planned projects will provide critical business process functionality for Department of Cultural Affairs and Special Events and Business Affairs and Consumer Projection. These systems provide critical functionality such as permitting, inspection services, fee payments, online web portals and mobile solutions.

The Hansen system supports the property services business function for the Inspection & Permitting Initiative (IPI). Business processes included in the property services function relate to:

1. Applying, reviewing and issuing building and sign permits
 - Web purchase of permits not requiring plans
 - Web intake of applications for permits requiring plans (new construction, renovation)
2. Applying and renewing trade licenses for general contractors and the various trades
 - Validation of trade licenses when a permit application is taken
3. Tracking, scheduling, and recording results of inspections
 - Permit inspections
 - Certificate of Occupancy inspections
 - Annual inspections
 - Business License inspections

- Complaint inspections
 - Re-inspections
4. Billing of annual inspections and elevator permit inspections
 5. Tracking violations recorded in the inspection results
 - Creates a case that will be sent to Administrative Hearing or the Department of Law for prosecution in the Circuit Court
 6. Tracking the status of vacant building complaints and displaying the action the City has taken as authorized by ordinance to remedy the complaint of the vacant building
 7. Providing a website to register vacant buildings as required by ordinance

Infor is the only vendor that has the unrestricted ability to access and modify Infor-owned software products in order to provide bug fixes, updates and upgrades as part of support and maintenance.

Attached are the sole source justification package, a Project Checklist, Statement of Work, EDS, and MBE/WBE compliance plan.

Thank you for your consideration to DoIT's request. If additional information is required, please contact Eric Tenfelde at x2-3765 and Eric.Tenfelde@cityofchicago.org and Judy Mims at x2-1817 and Judith.Mims@cityofchicago.org.



380 St. Peter Street
St. Paul, MN 55102
651-767-7000
www.infor.com

July 3, 2019

Danielle DuMerer
CIO & Commissioner
Department of Innovation & Technology, City of Chicago
333 South State Street, Suite 420
Chicago, IL 60604

Dear Ms. DuMerer:

Infor has received your request for a justification to single source the support and maintenance of the Infor-owned software products that you have licensed from Infor.

Infor is the copyright owner for these software products, and as such, Infor is the only vendor that has the unrestricted ability to access and modify such Infor-owned software products in order to provide bug fixes, updates and upgrades to you as part of support and maintenance.

Please don't hesitate to contact Jimi Stricklin, the Director of Services Public Sector for your account, at 614-403-9746 or Jimi.Stricklin@infor.com, if you have additional questions on this matter.

Sincerely,

A handwritten signature in black ink, appearing to read "Lindsay Pritchard", written in a cursive style.

Lindsay Pritchard
Associate General Counsel



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
11/30/2018

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).


PRODUCER Commercial Lines - (404) 923-3700 USI Insurance Services National, Inc. 3475 Piedmont Road NE, Suite 800 Atlanta, GA 30305-2886	CONTACT NAME: Atlanta Certificate Request Team PHONE (A/C, No, Ext): 404-923-3700 E-MAIL ADDRESS: atlcertrequest@usi.com	FAX (A/C, No): 877-362-9069	
	INSURER(S) AFFORDING COVERAGE		NAIC #
INSURED IGS Holding LP and its Subsidiaries 13560 Morris Road Suite 4100 Alpharetta GA 30004	INSURER A: Federal Insurance Company		20281
	INSURER B: Chubb Indemnity Insurance Co.		12777
	INSURER C: ACE American Insurance Company		22667
	INSURER D:		
	INSURER E:		
INSURER F:			

COVERAGES **CERTIFICATE NUMBER:** 13697412 **REVISION NUMBER:** See below

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSD WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PRO-JECT <input checked="" type="checkbox"/> LOC OTHER: Combine total aggregate \$20M		35851844	11/30/2018	11/30/2019	EACH OCCURRENCE	\$ 1,000,000
						DAMAGE TO RENTED PREMISES (Ea occurrence)	\$ 1,000,000
						MED EXP (Any one person)	\$ 25,000
						PERSONAL & ADV INJURY	\$ 1,000,000
						GENERAL AGGREGATE	\$ 2,000,000
						PRODUCTS - COMP/OP AGG	\$ 2,000,000
							\$
A	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input checked="" type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS NON-OWNED AUTOS ONLY <input checked="" type="checkbox"/> HIRED AUTOS ONLY <input checked="" type="checkbox"/>		73543744	11/30/2018	11/30/2019	COMBINED SINGLE LIMIT (Ea accident)	\$ 1,000,000
						BODILY INJURY (Per person)	\$
						BODILY INJURY (Per accident)	\$
						PROPERTY DAMAGE (Per accident)	\$
							\$
A	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED <input checked="" type="checkbox"/> RETENTION \$ 0		79839130	11/30/2018	11/30/2019	EACH OCCURRENCE	\$ 2,000,000
						AGGREGATE	\$ 2,000,000
							\$
B	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) <input type="checkbox"/> Y/N If yes, describe under DESCRIPTION OF OPERATIONS below		71718041 (All States)	11/30/2018	11/30/2019	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER	
A	N/A		71725758 (AK, MS and SC)	11/30/2018	11/30/2019	E.L. EACH ACCIDENT	\$ 1,000,000
						E.L. DISEASE - EA EMPLOYEE	\$ 1,000,000
						E.L. DISEASE - POLICY LIMIT	\$ 1,000,000
A	Blnkt BPP Blnkt Business Income Special Form		35851844 35851844	11/30/2018 11/30/2018	11/30/2018 11/30/2019	per property policy limits per policy limits	

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
RE: Specification No. 61590 and Contract P.O. No. 16761
Infor Public Sector is included as an additional named insured.
Certificate Holder is included as Additional Insured as respects General Liability and Automobile Liability in accordance with the terms and conditions of the above policies. Coverage is primary and non-Contributory and waiver of subrogation applies for the General Liability and Automobile Liability in accordance with the terms and conditions of the above policies. Valuable Papers and Installation coverage is included in the Property policy per the terms and conditions.

CERTIFICATE HOLDER City of Chicago City Hall, Department of Procurement Services 121 North LaSalle St., Room 803 Chicago, Illinois 60602	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
	AUTHORIZED REPRESENTATIVE 

Certificate of Insurance (Con't)

OTHER Coverage

INSR LTR	TYPE OF INSURANCE	ADDL INSR	WVD SUBR	POLICY NUMBER	EFFECTIVE DATE (MM/DD/YY)	EXPIRATION DATE (MM/DD/YY)	LIMIT
C	E&O/Prof Liab/Cyber			EON G4675895A 001	11/30/2018	11/30/2019	\$15,000,000 USD per claim limit liability
	Retro Date:2/1/2004						\$15,000,000 USD annual aggregate limit of liability
	Technology E&O						\$2,500,000 Deductible Per Claim



380 St. Peter Street
St. Paul, MN 55102
651-767-7000
infor.com

July 3, 2019

Danielle DuMerer
CIO & Commissioner
Department of Innovation & Technology, City of Chicago
333 South State Street, Suite 420
Chicago, IL 60604

Commissioner DuMerer,

As requested by Mr. Joseph Chan, please accept this letter as a request for partial waiver for our MBE/WBE commitment for the Annual Maintenance and License component of agreement. The challenge in providing MBE/WBE commitment for this portion is due to the fact that the scope of the maintenance and license do not include a human service aspect therefore do not offer an opportunity for direct minority and women participation.

Infor Public Sector continues to support City of Chicago's commitment to minority and women owned businesses.

As you will see in the attached, we have submitted a plan to spend an estimated \$2,595,790 during the revised contract period with City of Chicago certified MBE/WBE firms: Sofbang LLC (MBE), AAR & Associates (MBE), Excelsior Consulting (MBE) and 606Digital (WBE). Based on the proposed revised contract value, this will allow us to meet our goal of 25% MBE and 5% WBE for the services portion of the agreement.

Thank you for your time and consideration of this request for partial waiver of our MBE/WBE commitment on the annual maintenance and licensing component of the agreement. We look forward to hearing your decision. In the interim, please feel free to contact me with any questions or concerns.

With Best Regards,

A handwritten signature in black ink, appearing to read "Lindsay Pritchard".

Lindsay Pritchard
Associate General Counsel


cc: Jamie Rhee – CPO
- include D1 as attachment



DEPARTMENT OF INNOVATION AND TECHNOLOGY
CITY OF CHICAGO

MEMORANDUM

To: Shannon Andrews
Chief Procurement Officer
Procurement Services

From: Carleton Nolan 
Acting Chief Information Officer
Innovation and Technology

Date: August 6, 2019

Re: Partial MBE/WBE Waiver Concurrence
Infor Public Sector
PO 16761 Spec. 61590
Requisition No. 291932

I have reviewed the attached request from Infor Public Sector for a partial waiver to the Annual Maintenance and License component of the NCRB request and concur with their justification to apply the full compliance goals of 25% MBE and 5% WBE to the entire services portion of the requisitioned new contract.

The assistance and direction provided by your staff is greatly appreciated. I thank you for your consideration in your review of this request.

attachment

cc: NCRB
J. Jimenez / DPS
E. Tenfelde/DoIT
J. Mims / DoIT




December 17, 2018

Dear Judith and Eric,

After extensive review of the projects that were submitted in response to the 2019 IT project request, the ITGB has approved your 2019 IT request for project **Building Permits and Inspections migration IPS 11 (Hansen)**. A DoIT PMO project manager will be reaching out to you in 2019 to discuss next steps.

If you have any further questions on the evaluation process, please contact me at 312-742-0530 or via email smansker@cityofchicago.org.

Thank you,


Stacey Mansker-Young
Deputy Commissioner
Innovation and Technology
City of Chicago

cc: Danielle DuMerer/DoIT
Carlton Nolan/DoIT
Anthony Pascente/Mayor's Office
Janet Forde/Mayor's Office
Jessica Higgins/Mayor's Office
Anne Sheahan/Mayor's Office
Mario Esquivel/OBM
Joel Vieyra/OBM
Kurt Peterson/OBM
David Wells/OBM
Christy George/OBM



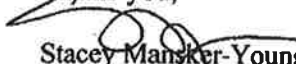
December 17, 2018

Dear Mike and Eric,

After extensive review of the projects that were submitted in response to the 2019 IT project request, the ITGB has approved your 2019 IT request for project **CDOT Driveway and PBS Permit Migration from NSR to Hansen**. A DoIT PMO project manager will be reaching out to you in 2019 to discuss next steps.

If you have any further questions on the evaluation process, please contact me at 312-742-0530 or via email smansker@cityofchicago.org.

Thank you,


Stacey Mansker-Young
Deputy Commissioner
Innovation and Technology
City of Chicago

cc: Danielle DuMerer/DoIT
Carleton Nolan/DoIT
Anthony Pascente/Mayor's Office
Janel Forde/Mayor's Office
Jessica Higgins/Mayor's Office
Anne Sheahan/Mayor's Office
Mario Esquivel/OBM
Joel Vieyra/OBM
Kurt Peterson/OBM
David Wells/OBM
Christy George/OBM



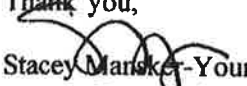
December 17, 2018

Dear Jai and Eric,

After extensive review of the projects that were submitted in response to the 2019 IT project request, the ITGB has approved your 2019 IT request for project **Digger Hit Report Reinforcement**. A DoIT PMO project manager will be reaching out to you in 2019 to discuss next steps.

If you have any further questions on the evaluation process, please contact me at 312-742-0530 or via email smansker@cityofchicago.org.

Thank you,


Stacey Mansker-Young
Deputy Commissioner
Innovation and Technology
City of Chicago

cc: Danielle DuMerer/DoIT
Carleton Nolan/DoIT
Anthony Pascente/Mayor's Office
Janel Forde/Mayor's Office
Jessica Higgins/Mayor's Office
Anne Sheahan/Mayor's Office
Mario Esquivel/OBM
Joel Vieyra/OBM
Kurt Peterson/OBM
David Wells/OBM
Christy George/OBM




December 17, 2018

Dear Jennifer and Eric,

After extensive review of the projects that were submitted in response to the 2019 IT project request, the ITGB has approved your 2019 IT request for project **Department of Cultural Affairs & Special Events External Events – Permits**. A DoIT PMO project manager will be reaching out to you in 2019 to discuss next steps.

If you have any further questions on the evaluation process, please contact me at 312-742-0530 or via email smansker@cityofchicago.org.

Thank you,


Stacey Mansker-Young
Deputy Commissioner
Innovation and Technology
City of Chicago

cc: Danielle DuMerer/DoIT
Carleton Nolan/DoIT
Anthony Pascente/Mayor's Office
Janel Forde/Mayor's Office
Jessica Higgins/Mayor's Office
Anne Sheahan/Mayor's Office
Mario Esquivel/OBM
Joel Vicyra/OBM
Kurt Peterson/OBM
David Wells/OBM
Christy George/OBM



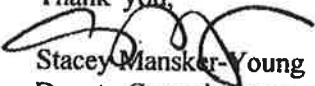
December 17, 2018

Dear Eric,

After extensive review of the projects that were submitted in response to the 2019 IT project request, the ITGB has approved your 2019 IT request for project **Public Way Use Online and IRIS Conversion**. A DoIT PMO project manager will be reaching out to you in 2019 to discuss next steps.

If you have any further questions on the evaluation process, please contact me at 312-742-0530 or via email smansker@cityofchicago.org.

Thank you,


Stacey Mansker-Young
Deputy Commissioner
Innovation and Technology
City of Chicago

cc: Danielle DuMerer/DoIT
Carleton Nolan/DoIT
Anthony Pascente/Mayor's Office
Janel Forde/Mayor's Office
Jessica Higgins/Mayor's Office
Anne Sheahan/Mayor's Office
Mario Esquivel/OBM
Joel Vieyra/OBM
Kurt Peterson/OBM
David Wells/OBM
Christy George/OBM



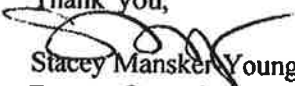
December 17, 2018

Dear Dave and Eric,

After extensive review of the projects that were submitted in response to the 2019 IT project request, the ITGB has approved your 2019 IT request for project **Hansen CDPH Phase 3b**. A DoIT PMO project manager will be reaching out to you in 2019 to discuss next steps.

If you have any further questions on the evaluation process, please contact me at 312-742-0530 or via email smansker@cityofchicago.org.

Thank you,


Stacey Mansker Young
Deputy Commissioner
Innovation and Technology
City of Chicago

cc: Danielle DuMerer/DoIT
Carleton Nolan/DoIT
Anthony Pascente/Mayor's Office
Janel Forde/Mayor's Office
Jessica Higgins/Mayor's Office
Anne Sheahan/Mayor's Office
Mario Esquivel/OBM
Joel Vieyra/OBM
Kurt Peterson/OBM
David Wells/OBM
Christy George/OBM

Eric Tenfelde

From: Judith Mims
Sent: Tuesday, August 06, 2019 2:13 PM
To: Monica Jimenez; Gwendolyn Smith
Cc: Eric Tenfelde
Subject: Compliance Plan for new DoIT NCRB Submission
Attachments: INFOR City of Chicago_Schedule D1_signed.pdf

Hi Monica/Gwen-

DoIT is in the process of submitting a VLI sole source request to the NCRB on behalf of INFOR PUBLIC SECTOR INC for the continuation of the licensing, implementation and maintenance/support services of Hansen 7 and IPS 11, which are softwares used at the City for permitting and inspection systems by several departments: Buildings, Fire Prevention Bureau and Health.

Hansen 7 also interfaces with the City's Geographical Information (GIS), Collection (ARMS) and 311/CSR systems. IPS 11 helps CDPH with environmental permitting.

Included in the request is \$3,114,948 that will provide MBE/WBE business opportunity. Attached is the Schedule D-1 proposed by the Vendor.

If any additional information is required please contact me or Eric Tenfelde at x2-3765 and Eric.Tenfelde@cityofchicago.org.

Thank you-

Judy Mims
Innovation and Technology
City of Chicago
X2-1817
Judith.Mims@cityofchicago.org

This e-mail, and any attachments thereto, is intended only for use by the addressee(s) named herein and may contain legally privileged and/or confidential information. If you are not the intended recipient of this e-mail (or the person responsible for delivering this document to the intended recipient), you are hereby notified that any dissemination, distribution, printing or copying of this e-mail, and any attachment thereto, is strictly prohibited. If you have received this e-mail in error, please respond to the individual sending the message, and permanently delete the original and any copy of any e-mail and printout thereof.



SCHEDULE D-1
Compliance Plan Regarding MBE/WBE Utilization
Affidavit of Prime Contractor

**FOR
NON-CONSTRUCTION
PROJECTS ONLY**

**MUST BE SUBMITTED WITH THE BID. FAILURE TO SUBMIT THE SCHEDULE D-1 WILL CAUSE THE
BID TO BE REJECTED. DUPLICATE AS NEEDED.**

Project Name: City of Chicago-Hansen Application Support and Help Desk Support Services

Specification No.: ---

In connection with the above captioned contract, I HEREBY DECLARE AND AFFIRM that I am a duly authorized representative of Infor Public Sector, Inc.
(Name of Prime Consultant/Contractor)

and that I have personally reviewed the material and facts set forth herein describing our proposed plan to achieve the MBE/WBE goals of this contract.

All MBE/WBE firms included in this plan have been certified as such by the City of Chicago and/or Cook County, Illinois (Letters of Certification Attached).

I. Direct Participation of MBE/WBE Firms:

NOTE: The bidder/proposer shall, in determining the manner of MBE/WBE participation, first consider involvement with MBE/WBE firms as joint venture partners, subcontractors, and suppliers of goods and services directly related to the performance of this contract.

A. If bidder/proposer is a joint venture and one or more joint venture partners are certified MBEs or WBEs, attach copies of Letters of Certification, Schedule B form and a copy of Joint Venture Agreement clearly describing the role of each MBE/WBE firm(s) and its ownership interest in the joint venture.

B. Complete this section for each MBE/WBE Subcontractor/Supplier/Consultant participating on this contract:

1. Name of MBE/WBE A Alva Rosales R Associates Ltd
Address: 1900 S Clark St, Suite 102, Chicago, IL 60616
Contact Person: Arabel Alva Rosales
Phone Number: 773-865-7313
Dollar Value of Participation \$ 1,500,000.00
Percentage of Participation 14.4%
Mentor Protégé Agreement (attach executed copy): () Yes (X) No Add'l Percentage Claimed:¹ %
Total Participation % 14.4

2. Name of MBE/WBE: Sofbang LLC
Address: 770 Pasquinelli Dr, Suite 426, Westmont, IL 60559
Contact Person: Babita Ribet

¹ The Prime Contractor may claim an additional 0.333 percent participation credit (up to a maximum of five (5) percent) for every one (1) percent of the value of the contract performed by the MBE/WBE protégé firm.

Schedule D-1: Prime Contractor Affidavit-MBE/WBE Compliance Plan

Phone Number: 630-915-6750
Dollar Value of Participation \$ 395,790
Percentage of Participation % 3.8
Mentor Protégé Agreement (attach executed copy): () Yes (X) No Add'l Percentage Claimed: _____%
Total Participation % 3.8

3. Name of MBE/WBE: Excelsior Consulting Services, Inc.
Address: 845 East 22nd St, Unit 117, Lombard, IL 60148
Contact Person: Sudhir Kota
Phone Number: 973-447-2575
Dollar Value of Participation \$ 700,000
Percentage of Participation % 6.7% - MBE
Mentor Protégé Agreement (attach executed copy): () Yes (X) No Add'l Percentage Claimed: _____%
Total Participation % 6.7

4. Name of MBE/WBE: 606 Digital
Address: 73 W Monroe St, Chicago, IL 60603
Contact Person: Jessica Plopper
Phone Number: 800-908-7615
Dollar Value of Participation \$ 519,158
Percentage of Participation % 5%
Mentor Protégé Agreement (attach executed copy): () Yes (X) No Add'l Percentage Claimed: _____%
Total Participation % 5

5. Attach Additional Sheets as Needed

II. Indirect Participation of MBE/WBE Firms

NOTE: This section need not be completed if the MBE/WBE goals have been met through the direct participation outlined in Section I. If the MBE/WBE goals have not been met through direct participation, Contractor will be expected to demonstrate that the proposed MBE/WBE direct participation represents the maximum achievable under the circumstances. Only after such a demonstration will indirect participation be considered.

MBE/WBE Subcontractors/Suppliers/Consultants proposed to perform work or supply goods or services where such performance does not directly relate to the performance of this contract:

1. Name of MBE/WBE: N/A
Address: _____
Contact Person: _____

Schedule D-1: Prime Contractor Affidavit-MBE/WBE Compliance Plan

Phone Number _____

Dollar Value of Participation \$ _____

Percentage of Participation % _____

Mentor Protégé Agreement (attach executed copy): () Yes () No Add'l Percentage Claimed: _____%

Total Participation % _____

2. Name of MBE/WBE: N/A _____

Address: _____

Contact Person: _____

Phone Number _____

Dollar Value of Participation \$ _____

Percentage of Participation % _____

Mentor Protégé Agreement (attach executed copy): () Yes () No Add'l Percentage Claimed: _____%

Total Participation % _____

3. Name of MBE/WBE: N/A _____

Address: _____

Contact Person: _____

Phone Number _____

Dollar Value of Participation \$ _____

Percentage of Participation % _____

Mentor Protégé Agreement (attach executed copy): () Yes () No Add'l Percentage Claimed: _____%

Total Participation % _____

4. Name of MBE/WBE: N/A _____

Address: _____

Contact Person: _____

Phone Number _____

Dollar Value of Participation \$ _____

Percentage of Participation % _____

Mentor Protégé Agreement (attach executed copy): () Yes () No Add'l Percentage Claimed: _____%

Total Participation % _____

5. Attach Additional Sheets as Needed

Schedule D-1: Prime Contractor Affidavit-MBE/WBE Compliance Plan

III. Summary of MBE/WBE Proposal

A. MBE Proposal (Direct & Indirect)

1. MBE Direct Participation

MBE Firm Name	Dollar Amount Participation (\$)	Percent Amount Participation (%)
AAR Tech	\$1,500,000	14.4%
Excelsior	\$700,000	6.7%
Sofhang	\$395,790	3.8%
Total Direct MBE Participation	\$2,595,790	25%

2. MBE Indirect Participation

MBE Firm Name	Dollar Amount Participation (\$)	Percent Amount Participation (%)
--	--	--
Total Indirect MBE Participation		

B. WBE Proposal (Direct & Indirect)

1. WBE Direct Participation

WBE Firm Name	Dollar Amount Participation (\$)	Percent Amount Participation (%)
606	\$519,158	5%
Total Direct WBE Participation	\$519,158	5%

2. WBE Indirect Participation

WBE Firm Name	Dollar Amount Participation (\$)	Percent Amount Participation (%)
--	--	--
Total Indirect WBE Participation		

Schedule D-1: Prime Contractor Affidavit-MBE/WBE Compliance Plan

The Prime Contractor designates the following person as its MBE/WBE Liaison Officer:

Jimi Stricklin

614-403-9746

(Name- Please Print or Type)

(Phone)

I DO SOLEMNLY DECLARE AND AFFIRM UNDER PENALTIES OF PERJURY THAT THE CONTENTS OF THE FOREGOING DOCUMENT ARE TRUE AND CORRECT, THAT NO MATERIAL FACTS HAVE BEEN OMITTED, AND THAT I AM AUTHORIZED ON BEHALF OF THE PRIME CONTRACTOR TO MAKE THIS AFFIDAVIT.

Infor Public Sector, Inc.

(Name of Prime Contractor – Print or Type)

Commonwealth of: Massachusetts

Brad Steiner

(Signature)

County of: Middlesex

Brad Steiner SVP and Deputy General Counsel

(Name/Title of Affiant – Print or Type)

July 8, 2019

(Date)

On this 8th day of July, 2019, the above signed officer Brad Steiner

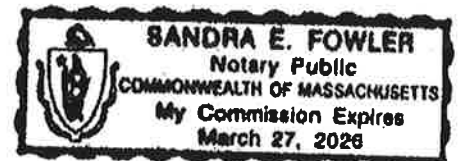
(Name of Affiant)

personally appeared and, known by me to be the person described in the foregoing Affidavit, acknowledged that he executed the same in the capacity stated therein and for the purposes therein contained.

IN WITNESS WHEREOF, I hereunto set my hand and seal.

Sandra E. Fowler

(Notary Public Signature)



SEAL:

Commission Expires: March 27, 2026



JOIN FORCES. SUCCEED TOGETHER.

hereby grants

National Women's Business Enterprise Certification

to

606 Digital Inc.

who has successfully met WBENC's standards as a Women's Business Enterprise (WBE). This certification affirms the business is woman-owned, operated and controlled; and is valid through the date herein.

Certification Granted: August 31, 2014
Expiration Date: August 31, 2019
WBENC National Certification Number: 2005125177

WBENC National WBE Certification was processed and validated by
>Women's Business Development Center - Midwest, a WBENC Regional Partner Organization.



Your growth is our business

Authorized by Emilia DiMenco, President & CEO
Women's Business Development Center - Midwest

NAICS: 541512, 541611
UNSPSC: 43230000, 80101600, 81111500





FOR
NON-CONSTRUCTION
PROJECTS ONLY

SCHEDULE C-1
MBE/WBE Letter of Intent to Perform as a
Subcontractor, Supplier, or Consultant

Hansen 8 Software Implementation,
Project Name: Maintenance and Support Specification No.: 61590

From: 606 Digital, Inc.
(Name of MBE/WBE Firm)

To: Infor Public Sector, Inc. and the City of Chicago.
(Name of Prime Contractor)

The MBE or WBE status of the undersigned is confirmed by the attached City of Chicago or Cook County, Illinois Certification Letter. 100% MBE or WBE participation is credited for the use of a MBE or WBE "manufacturer." 60% participation is credited for the use of a MBE or WBE "regular dealer."

The undersigned is prepared to perform the following services in connection with the above named project/contract. If more space is required to fully describe the MBE or WBE proposed scope of work and/or payment schedule, including a description of the commercially useful function being performed. Attach additional sheets as necessary:
Project Support Services for deployment and technical support

The above described performance is offered for the following price and described terms of payment:
\$519,158.00 - For the duration of the contract with the City of Chicago as per the contract agreement and payment
by City prior to Subcontractor payments

SUB-SUBCONTRACTING LEVELS

A zero (0) must be shown in each blank if the MBE or WBE will not be subcontracting any of the work listed or attached to this schedule.

0 % of the dollar value of the MBE or WBE subcontract that will be subcontracted to non MBE/WBE contractors.

0 % of the dollar value of the MBE or WBE subcontract that will be subcontracted to MBE or WBE contractors.

NOTICE: If any of the MBE or WBE scope of work will be subcontracted, list the name of the vendor and attach a brief explanation, description and pay item number of the work that will be subcontracted. MBE/WBE credit will not be given for work subcontracted to Non-MBE/WBE contractors, except for as allowed in the Special Conditions Regarding Minority Business Enterprise Commitment and Women Business Enterprise Commitment.

The undersigned will enter into a formal written agreement for the above work with you as a Prime Contractor, conditioned upon your execution of a contract with the City of Chicago, within three (3) business days of your receipt of a signed contract from the City of Chicago.

The undersigned has entered into a formal written mentor protégé agreement as a subcontractor/protégé with you as a Prime Contractor/mentor: () Yes () No

NOTICE: THIS SCHEDULE AND ATTACHMENTS REQUIRE ORIGINAL SIGNATURES.

7/3/19
(Signature of President/Owner/CEO or Authorized Agent of MBE/WBE) (Date)

Jessica Plopper/ President
(Name/Title-Please Print)

jplopper@606digital.com 312.545.3413
(Email & Phone Number)



OFFICE OF CONTRACT COMPLIANCE

LISA ALEXANDER

INTERIM DIRECTOR

118 N. Clark, County Building, Room 1020 • Chicago, Illinois 60602 • (312) 603-5502

September 11, 2018

TONI PRECKWINKLE

PRESIDENT

Cook County Board
of Commissioners

RICHARD R. BOYKIN

1st District

DENNIS DEER

2nd District

JERRY BUTLER

3rd District

STANLEY MOORE

4th District

DEBORAH SIMS

5th District

EDWARD M. MOODY

6th District

JESUS G. GARCIA

7th District

LUIS ARROYO, JR.

8th District

PETER N. SILVESTRI

9th District

BRIDGET GAINER

10th District

JOHN P. DALEY

11th District

JOHN A. FRITCHEY

12th District

LARRY SUFFREDIN

13th District

GREGG GOSLIN

14th District

TIMOTHY O. SCHNEIDER

15th District

JEFFREY R. TOBOLSKI

16th District

SEAN M. MORRISON

17th District

Ms. Arabel Alva Rosales, President
A. Alva Rosales & Associates, Ltd.
1900 South Clark Street, Suite 102
Chicago, IL 60616

Re: Annual Certification Expires: September 11, 2019

Dear Ms. Rosales:

Congratulations on your continued eligibility for Certification as a **Minority-owned Business Enterprise (MBE)** and **Women-owned Business Enterprise (WBE)** by Cook County Government. This certification is valid until **September 11, 2020**; however, you must re-validate your firms' certification annually.

As a condition of continued Certification during this five (5) year term, you must file a **"No Change Affidavit"** within **sixty (60) business days** prior to the date of Annual Certification Expiration. Failure to file this Affidavit shall result in the termination of your Certification. You must notify Cook County Government's Office of Contract Compliance of any change in ownership or control or any other matters or facts affecting your firm's eligibility for Certification within **fifteen (15) business days** of such change.

Cook County Government may commence action to remove your firm as an **MBE/WBE** vendor if you fail to notify us of any changes of facts affecting your firm's Certification, or if your firm otherwise fails to cooperate with the County in any inquiry or investigation. Removal of status may also be commenced if your firm is found to be involved in bidding or contractual irregularities.

Your firm's name will be listed in Cook County's Directory of certified firms in the following area(s) of specialty:

**Technology: Information Technology Consulting Services; Business Training;
Employee Leasing Services; Distributor: Sale of Computer Supplies, Products and Hardware;
Project Management and Program Management**

Your firm's participation on Cook County contracts will be credited toward **MBE** or **WBE** goals in your area(s) of specialty. While your participation on Cook County contracts is not limited to your specialty, credit toward **MBE** or **WBE** goals will be given only for work performed in the specialty category.

Thank you for your continued interest in Cook County Government's Minority, Women, Veteran, and Service-Disabled Veteran Business Enterprise Programs.

Sincerely,

Lisa Alexander

Interim Contract Compliance Director



FOR
NON-CONSTRUCTION
PROJECTS ONLY

SCHEDULE C-1
MBE/WBE Letter of Intent to Perform as a
Subcontractor, Supplier, or Consultant

Project Name: Hansen 8 Software License Implementation, maintenance and support Specification No.: 61590

From: A. Alva Rosales & Associates (dba AAR & Associates)
(Name of MBE/WBE Firm)

To: Infor Public Sector, Inc. and the City of Chicago.
(Name of Prime Contractor)

The MBE or WBE status of the undersigned is confirmed by the attached City of Chicago or Cook County, Illinois Certification Letter. 100% MBE or WBE participation is credited for the use of a MBE or WBE "manufacturer." 60% participation is credited for the use of a MBE or WBE "regular dealer."

The undersigned is prepared to perform the following services in connection with the above named project/contract. If more space is required to fully describe the MBE or WBE proposed scope of work and/or payment schedule, including a description of the commercially useful function being performed. Attach additional sheets as necessary:
Project support services for deployment and technical support.

The above described performance is offered for the following price and described terms of payment: \$1,500,000.00 for the year of 2020, as per the contract with the City of Chicago, and agreement with this MBE subcontractor, the amount stated is DUR and the agreed upon prices.

SUB-SUBCONTRACTING LEVELS

A zero (0) must be shown in each blank if the MBE or WBE will not be subcontracting any of the work listed or attached to this schedule.

0 % of the dollar value of the contract that will be subcontracted to non MBE/WBE contractors.
0 % of the dollar value of the contract that will be subcontracted to MBE or WBE contractors.

NOTICE: If any of the scope of work will be subcontracted, list the name of the vendor and attach a brief explanation, description and pay item number of the work that will be subcontracted. MBE/WBE credit will not be given for work subcontracted to Non-MBE/WBE contractors, except for as allowed in the Special Conditions Regarding Minority Business Enterprise Commitment and Women Business Enterprise Commitment.

The undersigned will enter into a formal written agreement for the above work with you as a Prime Contractor, conditioned upon your execution of a contract with the City of Chicago, within three (3) business days of your receipt of a signed contract from the City of Chicago.

The undersigned has entered into a formal written mentor protégé agreement as a subcontractor/protégé with you as a Prime Contractor/mentor: () Yes No

NOTICE: THIS SCHEDULE AND ATTACHMENTS REQUIRE ORIGINAL SIGNATURES.

[Signature]
(Signature of President/Owner/CEO or Authorized Agent of MBE/WBE)

(Date) July 3, 2019

(Name/Title-Please Print) Arabel Alva Rosales, President

(Email & Phone Number) ArabelAR@alvarosales.com 773-865-7313



DEPARTMENT OF PROCUREMENT SERVICES
CITY OF CHICAGO

JUL 24 2015

Sudhashree Kota
Excelsior Consulting Services, Inc.
1020 Ashford Lane
Westmont, IL 60559

Dear Sudhashree Kota:

We are pleased to inform you that **Excelsior Consulting Services, Inc.** has been certified as a **Minority Business Enterprise ("MBE")** and **Women Business Enterprise ("WBE")** by the City of Chicago ("City"). This **MBE/WBE** certification is valid until **7/15/2020**; however your firm's certification must be revalidated annually. In the past the City has provided you with an annual letter confirming your certification; such letters will no longer be issued. As a consequence, we require you to be even more diligent in filing your **annual No-Change Affidavit 60 days** before your annual anniversary date.

It is now your responsibility to check the City's certification directory and verify your certification status. As a condition of continued certification during the five year period stated above, you must file an annual No-Change Affidavit. Your firm's **annual No-Change Affidavit** is due by **7/15/2016, 7/15/2017, 7/15/2018, and 7/15/2019**. Please remember, you have an affirmative duty to file your **No-Change Affidavit 60 days** prior to the date of expiration. Failure to file your annual No-Change Affidavit may result in the suspension or rescission of your certification.

Your firm's five year certification will expire on **7/15/2020**. You have an affirmative duty to file for recertification **60 days** prior to the date of the five year anniversary date. Therefore, you must file for recertification by **5/15/2020**.

It is important to note that you also have an ongoing affirmative duty to notify the City of any changes in ownership or control of your firm, or any other fact affecting your firm's eligibility for certification **within 10 days** of such change. These changes may include but are not limited to a change of address, change of business structure, change in ownership or ownership structure, change of business operations, gross receipts and or personal net worth that exceed the program threshold. Failure to provide the City with timely notice of such changes may result in the suspension or rescission of your certification. In addition, you may be liable for civil penalties under Chapter 1-22, "False Claims", of the Municipal Code of Chicago.

Please note – you shall be deemed to have had your certification lapse and will be ineligible to participate as a **MBE** if you fail to:

- File your annual No-Change Affidavit within the required time period;
- Provide financial or other records requested pursuant to an audit within the required time period;
- Notify the City of any changes affecting your firm's certification **within 10 days** of such change; or
- File your recertification within the required time period.

Please be reminded of your contractual obligation to cooperate with the City with respect to any reviews, audits or investigation of its contracts and affirmative action programs. We strongly encourage you to assist us in maintaining the integrity of our programs by reporting instances or suspicions of fraud or abuse to the **City's Inspector General** at chicagoinspectorgeneral.org, or **866-IG-TIPLINE (866-448-4754)**.

Be advised that if you or your firm is found to be involved in certification, bidding and/or contractual fraud or abuse, the City will pursue decertification and debarment. In addition to any other penalty imposed by law, any person who knowingly obtains, or knowingly assists another in obtaining a contract with the City by falsely representing the individual or entity, or the individual or entity assisted is guilty of a misdemeanor, punishable by incarceration in the county jail for a period not to exceed six months, or a fine of not less than \$5,000 and not more than \$10,000 or both.

Your firm's name will be listed in the City's Directory of Minority and Women-Owned Business Enterprises in the specialty area(s) of:

NAICS Code(s):

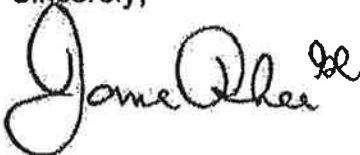
541611 – Administrative Management and General Management Consulting Services

561320 – Temporary Help Services

Your firm's participation on City contracts will be credited only toward **Minority Business Enterprise** goals in your area(s) specialty. While your participation on City contracts is not limited to your area of specialty, credit toward goals will be given only for work that is self-performed and providing a commercially useful function that is done in the approved specialty category.

Thank you for your interest in the City's Minority and Women-Owned Business Enterprise (MBE/WBE) Program.

Sincerely,



Jamie L. Rhee
Chief Procurement Officer
JLR/gc



FOR
NON-CONSTRUCTION
PROJECTS ONLY

SCHEDULE C-1
MBE/WBE Letter of Intent to Perform as a
Subcontractor, Supplier, or Consultant

Project Name: Hansen 8 Software License, Implementation, Maintenance&support Specification No.: 615590

From: Excelsior Consulting Services, Inc
(Name of MBE/WBE Firm)

To: INFOR and the City of Chicago.
(Name of Prime Contractor)

The MBE or WBE status of the undersigned is confirmed by the attached City of Chicago or Cook County, Illinois Certification Letter. 100% MBE or WBE participation is credited for the use of a MBE or WBE "manufacturer." 60% participation is credited for the use of a MBE or WBE "regular dealer."

The undersigned is prepared to perform the following services in connection with the above named project/contract. If more space is required to fully describe the MBE or WBE proposed scope of work and/or payment schedule, including a description of the commercially useful function being performed. Attach additional sheets as necessary:
QA testing-able to communicate and develop test strategies, design test plans and test cases, based on requirements traceability matrices. Able to document and track defects in organised manner provide useful information to assist developers in resolving the defects. Understands the full system development cycle. Accustomed to working in complex, project environmens. Experince with the following kinds of testing: Regressing & negelive testing, UI and Compatibility Testing. Data Interface and Migration testing.

Performance/Load/Stress testing, Automation Testing
The above described performance is offered for the following price and described terms of payment:
Dependent upon requirement, \$700000 for the years 2020 as per contract with City of Chicago, and agreement with Excelsior as MBE Sub contractorand INFOR as Prime. Invoices to be submitted to Infor on a weekly basis and all due net 30 days. The amount stated is DUR and agreed upon prices. The vendor limits are for calendar years. It does not include January of 2021, Infor contracts with the City goes from Feb 1 to Jan 31.

SUB-SUBCONTRACTING LEVELS

A zero (0) must be shown in each blank if the MBE or WBE will not be subcontracting any of the work listed or attached to this schedule.

0 % of the dollar value of the MBE or WBE subcontract that will be subcontracted to non MBE/WBE contractors.

0 % of the dollar value of the MBE or WBE subcontract that will be subcontracted to MBE or WBE contractors.

NOTICE: If any of the MBE or WBE scope of work will be subcontracted, list the name of the vendor and attach a brief explanation, description and pay item number of the work that will be subcontracted. MBE/WBE credit will not be given for work subcontracted to Non-MBE/WBE contractors, except for as allowed in the Special Conditions Regarding Minority Business Enterprise Commitment and Women Business Enterprise Commitment.

The undersigned will enter into a formal written agreement for the above work with you as a Prime Contractor, conditioned upon your execution of a contract with the City of Chicago, within three (3) business days of your receipt of a signed contract from the City of Chicago.

The undersigned has entered into a formal written mentor protégé agreement as a subcontractor/protégé with you as a Prime Contractor/mentor: () Yes (x) No

NOTICE: THIS SCHEDULE AND ATTACHMENTS REQUIRE ORIGINAL SIGNATURES.

K.S. Sudha

(Signature of President/Owner/CEO or Authorized Agent of MBE/WBE)

7/03/2019
(Date)

Sudhashree Kota
(Name/Title-Please Print)

President

sudha@excelsiorconsulting.net
(Email & Phone Number)

973-447-2575

THIS CERTIFIES THAT

SOFBANG LLC

dba Sofbang LLC



* Nationally certified by the: **CHICAGO MINORITY SUPPLIER DEVELOPMENT COUNCIL**

*NAICS Code(s): 511210; 518210; 541511; 541512; 541513; 541519; 541611; 541613

* Description of their product/services as defined by the North American Industry Classification System (NAICS)

05/31/2019

Issued Date

CH02575

Certificate Number

Adrienne C. Trimble
Adrienne Trimble

Shelia C. Morgan

Expiration Date

Shelia C. Morgan

By using your password (NMSDC issued only), authorized users may log into NMSDC Central to view the entire profile: <http://nmsdc.org>

Certify, Develop, Connect, Advocate.

* MBEs certified by an Affiliate of the National Minority Supplier Development Council, Inc.®



FOR
NON-CONSTRUCTION
PROJECTS ONLY

SCHEDULE C-1
MBE/WBE Letter of Intent to Perform as a
Subcontractor, Supplier, or Consultant

Project Name: Hansen App & Desk Support _____ Specification No.: Project Id: 32448969

From: Sofbang LLC _____
(Name of MBE/WBE Firm)

To: Infor Global Solutions _____ and the City of Chicago.
(Name of Prime Contractor)

The MBE or WBE status of the undersigned is confirmed by the attached City of Chicago or Cook County, Illinois Certification Letter. 100% MBE or WBE participation is credited for the use of a MBE or WBE "manufacturer." 60% participation is credited for the use of a MBE or WBE "regular dealer."

The undersigned is prepared to perform the following services in connection with the above named project/contract. If more space is required to fully describe the MBE or WBE proposed scope of work and/or payment schedule, including a description of the commercially useful function being performed. Attach additional sheets as necessary:

Hansen App Support & Desk Support, ICS Consultant – Bosu Kodali, \$132/Hr

The above described performance is offered for the following price and described terms of payment:

\$395,790

SUB-SUBCONTRACTING LEVELS

A zero (0) must be shown in each blank if the MBE or WBE will not be subcontracting any of the work listed or attached to this schedule.

0 % of the dollar value of the contract that will be subcontracted to **non** MBE/WBE contractors.

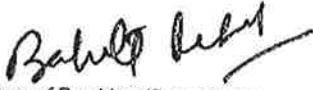
0 % of the dollar value of the contract that will be subcontracted to MBE or WBE contractors.

NOTICE: If any of the scope of work will be subcontracted, list the name of the vendor and attach a brief explanation, description and pay item number of the work that will be subcontracted. MBE/WBE credit will not be given for work subcontracted to Non-MBE/WBE contractors, except for as allowed in the Special Conditions Regarding Minority Business Enterprise Commitment and Women Business Enterprise Commitment.

The undersigned will enter into a formal written agreement for the above work with you as a Prime Contractor, conditioned upon your execution of a contract with the City of Chicago, within three (3) business days of your receipt of a signed contract from the City of Chicago.

The undersigned has entered into a formal written mentor protégé agreement as a subcontractor/protégé with you as a Prime Contractor/mentor: (X) Yes () No

NOTICE: THIS SCHEDULE AND ATTACHMENTS REQUIRE ORIGINAL SIGNATURES.



(Signature of President/Owner/CEO or Authorized Agent of MBE/WBE)

Babita Riset / operations manager

(Name/Title-Please Print)

Babita.Riset@softbay.com, 630-915-6700

(Email & Phone Number)

(Date) 7/2/2019

08/2013

Page 1 of 1

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Infor Public Sector, Inc.

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. the Applicant

OR

2. a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: _____

OR

3. a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party: 13560 Morris Road, Suite 4100
Alpharetta, GA 30004

C. Telephone: 651-767-7000 Fax: 651-846-4807 Email: lindsay.pritchard@infor.com

D. Name of contact person: Lindsay Pritchard

E. Federal Employer Identification No. (if you have one): 94-2913642

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of proper

Hansen 8 Software License, Implementation, Maintenance & Support

Upgrade of Department of Buildings Upgrade from Hansen 7 to Infor Public Sector 11 (IPS11)

NSR-Driveways, NSR-Sewers, NSR-Signs, BACP, DCASE, Digger Hit Enforcement

Which City agency or department is requesting this EDS? Department of Innovation and Technology (DoIT)

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # 61590 and Contract # 16761

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- | | |
|---|--|
| <input type="checkbox"/> Person | <input type="checkbox"/> Limited liability company |
| <input type="checkbox"/> Publicly registered business corporation | <input type="checkbox"/> Limited liability partnership |
| <input checked="" type="checkbox"/> Privately held business corporation | <input type="checkbox"/> Joint venture |
| <input type="checkbox"/> Sole proprietorship | <input type="checkbox"/> Not-for-profit corporation |
| <input type="checkbox"/> General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| <input type="checkbox"/> Limited partnership | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| <input type="checkbox"/> Trust | <input type="checkbox"/> Other (please specify) |

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

California

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

- Yes No Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) **for not-for-profit corporations**, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) **for trusts, estates or other similar entities**, the trustee, executor, administrator, or similarly situated party; (iv) **for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures**, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
Gregory M. Giangiardano	President
Brad Steiner	Secretary
Mark Henry	Treasurer
Lindsay Pritchard	Associate General Counsel

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name	Business Address	Percentage Interest in the Applicant
------	------------------	--------------------------------------

Infor (US), Inc	13560 Morris Road, Suite 4100 Alpharetta, GA 30004	100%
-----------------	--	------

SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? Yes No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? Yes No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

Yes No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether <u>paid or estimated.</u>) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
--	------------------	--	--

See attached List of Subcontractors

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes No

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
 - d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, during the 5 years before the date of this EDS, been convicted, adjudged **guilty**, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
5. Certifications (5), (6) and (7) concern:
- the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
 - b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
 - c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
 - d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

None to the Disclosing Party's reasonable knowledge.

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

is is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):
NA

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name	Business Address	Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes No Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes No

If you checked "No" to question (1) or (2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Infor Public Sector, Inc.

(Print or type exact legal name of Disclosing Party)

By: 
(Sign here)

Lindsay Pritchard
(Print or type name of person signing)

Associate General Counsel
(Print or type title of person signing)

Signed and sworn to before me on (date) August 15, 2019.

at Ramsey County, Minnesota (state).


Notary Public

Commission expires: January 31, 2021



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS
AND DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes

[X] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX C**

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a “contractor” as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants’ wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes

No

N/A – I am not an Applicant that is a “contractor” as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked “no” to the above, please explain.

Name: Sofbang, LLC

Anticipated/ Retained: Retained

Business Address: 145 Tower Dr #1

Burr Ridge, IL 60527 United States

Relationship: Subcontractor - MWDBE

Fees: \$395,790

Estimated/Paid: Estimated

Name: A. Alva Rosales and Associates

Anticipated/ Retained: Retained

431 W Pershing Rd.

Second Floor

Chicago, IL 60609 United States

Relationship: Subcontractor - MWDBE

Fees: \$1,500,000

Estimated/Paid: Estimated

Name: 606 Digital, Inc

111 West Jackson Blvd.

Suite 1700

Chicago, IL 60604 United states

subcontractor - MWDBE

Fees: \$519,158

Estimated/Paid: Estimated

Name: Excelsior Consulting Services, Inc

Retained

#845, East 22nd Street

Unit 117

Lombard, IL 60148 United States

subcontractor - MWDBE

Fees: \$700,000

Estimated/Paid: Estimated

Name: ICF International

Retained

211 W Wacker Drive

Suite 1300

Chicago, IL 60606 United States

subcontractor - non MWDBE

Fees: \$395,790

Estimated/Paid: Estimated