

# **BOARD OF ETHICS**

## **OPEN SESSION MINUTES**

**MEETING OF APRIL 17, 2023, MONDAY-3:05 P.M.**

740 NORTH SEDGWICK, SUITE 500

### **BOARD MEMBERS PRESENT**

William F. Conlon, Chair  
Ryan Cortazar  
David L. Daskal  
Hon. Barbara McDonald

### **STAFF PRESENT**

Steven I. Berlin, Executive Director  
Lisa S. Eilers, Deputy Director  
Richard J. Superfine, Legal Counsel  
Lauren Maniatis, Attorney/Investigator  
Pally Casillas, Staff Assistant

### **BOARD MEMBER ABSENT**

Norma Manjarrez

### **GUESTS ATTENDING**

Darrius Atkins, Mayer, Brown  
Katherine Calderon, Health Department  
Heather Cherone, WTTW  
Pete Czosnyka, Citizen  
Dr. Wilnise Jasmin, Health Department  
Tim Novak, Sun-Times  
Bryan Zarou, BGA

*The meeting was convened and conducted through the use of the Zoom remote video and audio meeting platform.*

### **I. APPROVAL OF MINUTES**

The Board VOTED 4-0 (Norma Manjarrez, absent) to approve the Open Session Minutes of the March 20, 2023 meeting.

### **II. CHAIR'S REPORT**

The Chair thanked the staff for its work and, on behalf of the entire Board thanked and praised Dr. Stephanie Cox-Batson, who recently resigned from the Board, for her tireless work on behalf of the Board. He said he hopes that the new Mayor will work to replace both Dr. Cox-Batson and Zaid Abdul-Aleem, who left the Board in 2022.

He asked the Executive Director to discuss the number of public inquiries and complaints the Board has received regarding the election, and the Executive Director stated that the Board received more such inquiries and complaints this past election cycle than in any other he has experienced—which is a very good thing.

### **III. MEMBERS' REPORTS**

None

#### IV. **EXECUTIVE DIRECTOR'S REPORT**

The Executive Director also praised and thanked Dr. Cox-Batson for her dedicated service to the Board and mentioned that the Board's online training programs are up and running, and that the program for appointed officials will be posted later this week or early next week.

##### A. **Mayoral Transition**

As we did four (4) years ago, we prepared a transition document for the incoming administration describing our agency's ongoing projects and goals. For the Mayor-Elect, we highlighted the need to fill two vacancies on the Board, to replace Zaid Abdul-Aleem, who was not reappointed for an additional term, and Dr. Stephanie Cox-Batson, who resigned from the Board earlier this month.

##### B. **2023 Statements of Financial Interests**

On February 28/March 1, as required by law, we notified 3,925 City employees and officials required to file 2023 Statements of Financial Interests ("FIS forms") of their requirement to file by 11:59:59 pm Monday, May 1, with the link to file electronically. We are in regular contact with our ethics liaisons in all departments, ward offices, and City Council committees, sending them the names of those who've not yet filed. Those who fail to file by the deadline are subject to fines of \$250 per day until they file, and having their names and violations made public.

To date, about 2,700 have filed their forms—about 68% of filers. We post all forms on our website at this link immediately after staff reviews them for completeness: <https://webapps1.chicago.gov/efis/search>.

Note that all newly elected officials must file before they take their oath of office.

##### C. **Education**

###### **On-line Training**

###### *For all employees and officials*

To date, approximately 350 employees have completed the all-new 2023 mandatory online training. We are collecting training plans for all departments and ward offices. The deadline for completing the training is on or before December 31.

We are grateful to our colleagues at the Department of Human Resources for their invaluable assistance in migrating the training programs to the City's e-learning management platform, as well as assisting us with the sexual harassment portions of each year's training program. The migration enables users to take the training from any computer, including their home computers, and also saves the City \$5,000 in annual software licensing fees. Previous training programs were intentionally designed to be taken only from City computers, for security reasons.

###### *For all appointed officials.*

We just posted the all-new appointed officials' version of the training; their deadline is also on or before December 31.

For lobbyists

394 lobbyists have completed this training, about 49% of the total. This training must be completed before July 1, 2023. We are sending out regular email reminders to all those who have not trained. Those who do not complete the training by the deadline are also subject to fines of \$250 per day until they complete it.

**Classes and Other Presentations**

We cancelled all in-person classes from March 2020 on, given the course of the pandemic. We have extended all training deadlines accordingly. All Board classes and educational programs cover sexual harassment. We will resume these classes as soon as it's feasible to do so, likely in July.

On February 22, we conducted a review class for Mayor's Office personnel at the request of the Chief of Staff. On April 4, we met with a visiting group of students from Ohio Northern University. On April 11, we made an hour-long presentation to most new City Council members at an orientation sponsored by the Committee on Finance. On May 2, we will conduct a class for employees of the Civilian Office of Police Accountability (COPA).

**D. Advisory Opinions**

Since the Board's last meeting, we have issued 337 informal advisory opinions—another extremely busy period. The leading categories for informal opinions were, in descending order: Travel; Statements of Financial Interests; Political Activity; Campaign Financing; Gifts; Outside employment; and City property.

The leading City departments from which requesters came in this period were, in descending order: Police Department/Civilian Office of Police Accountability (COPA)/Community Commission for Public Safety and Accountability (CCPSA); City Council; Mayor's Office; Fire Department; Department of Public Health; Department of Law; and Department of Planning and Development. 2/3 of all inquiries again came from City employees or elected officials, another 10% from lobbyists or potential lobbyists, and the rest from attorneys, vendors, candidates for elected City office, or campaign contributors. But, again, we have seen a large increase in inquiries from the public, including complaints.

Informal opinions are confidential and not made public, but are logged, kept, and used for training and future advisory purposes. This same practice occurs with our colleagues at the New York City Conflicts of Interest Board, who issue roughly the same number of informal opinions. They form the basis for much of our annual and periodic educational programs. Formal opinions are made public, in full text, with names and other identifying information redacted out.

In the past five (5) years, the Board has issued 67 formal opinions. There are two (2) on today's agenda.

**E. Citizen Complaints**

Since the last Board meeting, the Board has continued to receive a large number of complaints and inquiries from citizens and others—more than 20. Most are election related.

The Board can do one of three (3) things with such complaints: (i) the majority are referred to the IG because they require a factual investigation; (ii) some are dismissed outright for want of jurisdiction, and, where appropriate or feasible, we direct the complainant to the correct entity to address their complaint;

or (iii) if the complaint needs no further investigation, and appears to state a prima facie violation of the Governmental Ethics Ordinance, the Board can consider issuing a 10-day notice to the subject that the Board intends to make a probable cause finding, per §2-156-390, which took effect October 1, 2022.

#### **F. Summary Index of Formal Advisory Opinions/Text of all Formal Advisory Opinions**

The full text of every formal Board opinion issued since 1986 is posted on the Board's website (more than 920), redacted in accordance with the Ordinance's confidentiality provisions, here: [https://www.chicago.gov/city/en/depts/ethics/auto\\_generated/reg\\_archives.html](https://www.chicago.gov/city/en/depts/ethics/auto_generated/reg_archives.html).

Redacted formal opinions are posted once issued or approved by the Board. Summaries and keywords for each of these opinions—and a link to each opinion's text, which we added since the August Board meeting—are available on the Board's searchable index of opinions, here: <https://www.chicago.gov/content/dam/city/depts/ethics/general/Publications/AOindex.docx>.

Only a few other ethics agencies have comparable research tools. We are unaware of jurisdictions that make their *informal* opinions public—though others issue them confidentially and enable requesters to rely on them in the event of an investigation or enforcement.

#### **G. Statements of Financial Interests filed by Candidates for Elected City Office**

All candidates filed 2022 forms, and the Ordinance requires that every elected official file a 2023 form before they can take their oath of office. All candidates' 2022 forms are posted on our website, at this link: [https://www.chicago.gov/content/city/en/depts/ethics/supp\\_info/CandidateFIS2023.html](https://www.chicago.gov/content/city/en/depts/ethics/supp_info/CandidateFIS2023.html) or at the link listed earlier for candidates who were required to file in 2022 due to their City positions.

We will of course ensure that all those elected to City office file their 2023 forms prior to taking their oath of office.

#### **H. Lobbyists Filings**

There are currently 802 lobbyists registered with us, and we have collected \$344,725 in 2023 registration fees. Lobbyists' 2023 Q1 activity reports are due before April 21, 2023. Email reminders will be sent to all lobbyists on March 31.

We regularly revise the posting of all registered lobbyists and their clients here: <https://www.chicago.gov/content/dam/city/depts/ethics/general/LobbyistStuff/LISTS/LobbyistList.xls> and their filing data is available here: [https://webapps1.chicago.gov/elf/public\\_search.html](https://webapps1.chicago.gov/elf/public_search.html)

#### **I. Lobbying Law Revisions**

In late January we met with representatives from the Mayor's Office and the philanthropic and public charity communities to discuss potential amendments to the lobbying laws as to registration by individuals who lobby on behalf of non-profit organizations. The current thinking is to include activity and/or compensation thresholds, such that individuals would be required to register once they lobby a specified number of hours in a calendar quarter and/or are compensated a specified amount or more for lobbying in a calendar quarter. Note that: 1) this is precisely how peer cities like New York, Philadelphia, and Los Angeles regulate lobbying on behalf of non-profits and others; and 2) as in those cities, it will require

personnel who lobby to keep time records, and make reasonable calculations as to their hours and compensation (as many are not compensated explicitly for lobbying, unlike for-profit contract lobbyists). With the impending change in administration, however, we are doubtful that the law will be clarified soon. We pledge to work with the new administration to achieve a lobbying law that respects the needs of the non-profit community while ensuring the public knows of their lobbying activities.

**J. Sister Agencies**

At the request of the Ethics Officer of the Chicago Public Schools (CPS), we reviewed and provided comments on draft revisions to CPS's ethics policy, and are in the process of reviewing and commenting on the ethics policy of the Chicago Park District. We will next meet with our fellow ethics officers from all the sister agencies, as well as the Cook County Board of Ethics and Cook County Assessor's Office on June 15.

**K. Update of Vendor Database**

As required by law, the City's Department of Assets, Information and Services ("AIS") maintains a database of persons/entities that are doing and have done business with the City (as that term is defined in the Ordinance) going back about eight (8) years, to aid political committees and candidates who receive political contributions in excess of \$1,500. That database was first developed in 1998. For the past few months, we have worked closely with the Mayor's Office, AIS, the Department of Finance, and the Department of Procurement Services to improve that database, and have met with the City's sister agencies to assist them in making their lists of persons that have done business with them available and easy-to-use. The Ordinance provides that any person who relies on this list is not in violation of the Ordinance's contribution restrictions if the purported violation relates to the identity of the contributor.

A new, improved database of persons who have done business with the City was posted in late January, here: [https://www.chicago.gov/city/en/depts/ethics/supp\\_info/list-of-contractors.html](https://www.chicago.gov/city/en/depts/ethics/supp_info/list-of-contractors.html) and several sister agencies have updated their databases as well.

**L. Chicago Casino**

As to the development of the Casino, we issued guidance on lobbying to all elected officials, at the Mayor's request, and we issued guidance on the restrictions in the Ordinance for the ~80 City employees and officials who worked on the process of selecting the Casino operator, also at Mayor's request. We have worked closely with the Law Department, Mayor's Office, and the City's outside counsel (Taft, Stettinius and Hollister) to ensure that City personnel are informed of all reporting (and eventually, substantive ethics) requirements and prohibitions under the Illinois Gambling Act, 230 ILCS 10/1 et seq. Penalties for violating this law are severe: it is a Class 4 Felony under Illinois law, subjecting violators to fines up to \$25,000 and 1-3 years in prison. Note that the Gambling Act's reporting requirements are in addition to the restrictions in the Ethics Ordinance that would apply to those "applicants" who "communicate" with City officials or employees, such as the Ordinance's gifts restrictions and lobbyist registration requirements.

**M. Waivers**

Since July 1, 2013, the Board has had authority to grant waivers from certain provisions in the Ethics Ordinance. The Board has granted seven (7) and denied two (2). There is one waiver request on today's agenda. By law, we make all granted waivers public on our website.

**N. Summary Index of Board-Initiated Regulatory Actions/Adjudications/Pre-2013 Investigations**

We post a summary index of all investigations, enforcement and regulatory actions undertaken by the Board since its inception in 1986 (other than those for violations of filing or training requirements or campaign financing matters). It includes an ongoing summary of all regulatory actions the Board undertook without an IG investigation, based on probable cause findings the Board makes as a result of its review of publicly available information, where no factual investigation by the IG is necessary. See <https://www.chicago.gov/content/dam/city/depts/ethics/general/EnforcementMatters/Invest-Index.pdf>

The Board makes public the names of all violators and penalties it assesses when authorized by law to do so. But only in those that occurred after July 1, 2013, can the Board release the names of those found to have violated the Ordinance. Since July 1, 2013, there have been 86 such matters.

**O. Summary Index of Ongoing/Past IG/LIG Investigations/Adjudications**

There are currently no completed IG ethics investigations awaiting adjudication.

We post on our website and continually update an ongoing investigative record showing the status of every completed investigation brought to the Board by both the Office of Inspector General (13 since July 1, 2013) and the former Office of the Legislative Inspector General (“LIG”), since January 1, 2012, and the status of all 50 petitions to commence investigations presented to the Board by the LIG. We update it as appropriate, consistent with the Ordinance’s confidentiality provisions. See <https://www.chicago.gov/content/dam/city/depts/ethics/general/EnforcementMatters/PulbicScorecard.pdf>

Whenever the IG presents the Board with a completed ethics investigation in which the IG believes there have been violations of the Governmental Ethics Ordinance, the procedure that follows is governed by §2-156-385(3) and (4) of the Ordinance: the Board reviews the IG’s report, recommendations, and the entirety of the evidence submitted in its completed ethics investigation, including a review to ensure that the IG conformed with the requirement that it complete ethics investigations within two (2) years of commencing them (unless there is evidence that the subject took affirmative action to conceal evidence or delay the investigation), and that ethics investigations were commenced within five (5) years of the last alleged act of misconduct.

Then, if the Board finds that the evidence presented warrants a *prima facie* finding of probable cause to believe the subject violated the Ordinance, it notifies the subject of the allegations and affords the subject the opportunity to present written submissions and meet with the Board, together with an attorney or other representative present. The Ordinance provides that this meeting is *ex parte* – no one from the City’s Law Department or IG is present. Note that the Board may request clarification from the IG as to any evidence found in its investigation before making a probable cause finding (and has done so). The Board cannot administer oaths at this meeting but can and does assess the subject’s credibility and the validity and weight of any evidence the subject provides.

If the subject does not rebut the Board’s *prima facie* probable cause finding, the Board may enter into a public settlement agreement—or the Board or subject may decide to proceed to a hearing on the merits that is not open to the public. That hearing is held before an administrative law judge (ALJ) appointed by the Department of Administrative Hearings. The City would be represented by the Law Department (or a specially hired Assistant Corporation Counsel for that purpose), and the subject by their attorney. At the conclusion of the hearing, the ALJ submits their findings of fact and law to the Board, which can accept or reject them, based solely on the written record of the hearing. The Board will then issue a public opinion

in which it may find violations of the Ethics Ordinance and impose appropriate fines, or find none and dismiss the matter.

The process may seem cumbersome. However, it was added to the Ordinance on July 1, 2013, based on specific recommendations of then-Mayor Emanuel's Ethics Reform Task Force in Part II of its 2012 Report—the primary purposes being to: (i) guarantee due process for all those investigated by the IG (or former LIG); (ii) ensure that **only** the Board of Ethics could make determinations as to whether a person investigated by the IG violated the Ordinance, given the Board's extensive jurisprudence and unique expertise in ethics matters; and (iii) balance due process for those investigated by the IG with an accurate adjudication by the Board and the public's right to know of ethics violations.

On our website, we have a publication describing this process in detail: <https://www.chicago.gov/content/dam/city/depts/ethics/general/Publications/EnforceProcedures.pdf>

Note: fines range from \$500-\$2,000 per violation for non-lobbying or non-campaign financing violations that occurred before September 29, 2019, and \$1,000-\$5,000 per violation for such violations occurring between September 29, 2019, and September 30, 2022. For violations occurring on or after October 1, 2022, the fine range is between \$500 and \$20,000 per violation, and the Board may also assess a fine equal to any ill-gotten financial gains as a result of any Ordinance violation. Fines for unregistered lobbying violations remain at \$1,000 per day beginning on the fifth day after the individual first engaged in lobbying and continuing until the individual registers as a lobbyist.

Please note finally that, in all matters adjudicated or settled on or after July 1, 2013, the Board makes public the names of all violators and penalties assessed, or a complete copy of the settlement agreement. All settlement agreements are posted here: <https://www.chicago.gov/city/en/depts/ethics/provdrs/reg/svcs/SettlementAgreements.html>

#### **P. Disclosures of Past Violations**

July 2013 amendments to the Ordinance provide that, when a person seeks advice from the Board about past conduct and discloses to the Board facts leading it to conclude that they committed a past violation of the Ordinance, the Board must determine whether that violation was minor or non-minor. If it was minor, the Board, by law, sends the person a confidential letter of admonition. If it was non-minor, then, under current law, the person is advised that they may self-report to the IG or, if he or she fails to do so within two (2) weeks, the Board must make that report. In 11 matters, the Board has determined that minor violations occurred, and the Board sent confidential letters of admonition, as required by the Ordinance. These letters are posted on the Board's website, with confidential information redacted out.

#### **Q. Litigation**

*Lee v. City of Chicago.* In June 2020, the City was sued in Cook County Circuit Court, Chancery Division, by a former City employee of the Civilian Office of Police Accountability (COPA). The case is *Jason W. Lee v. City of Chicago*, 2020 CH 04524. The plaintiff left City employment on February 28, 2020, and works as an attorney for the Policemen's Benevolent and Protective Association ("PBPA"). His suit alleges that the post-employment provisions of the Ordinance are unconstitutionally vague, and that the City is improperly attempting to regulate the practice of law by Illinois attorneys. It asked for a declaratory judgment and permanent injunction prohibiting the City from enforcing these restrictions against him. After the matter was briefed by both sides, on July 31, 2020, the Honorable Anna Demacopoulos denied the plaintiff's request for a temporary restraining order. The plaintiff was granted leave to file an amended complaint, and filed one, adding an as-applied constitutional challenge. The City moved to dismiss the entire matter.

On February 25, 2021, Judge Demacopoulos granted the City's motion to dismiss as to the facial challenge to sections 100(a) and (b) and also the as-applied challenge to section 100(a). The court, however, denied the motion concerning the as-applied challenge to section 100(b), but expressed concern that this claim may be moot. Count III was also dismissed; it asked for a declaratory judgment that, by enforcing the Ordinance, the City is violating PBPA members' right to "counsel of their choice." However, the court granted plaintiff leave to amend the complaint for all of the dismissed counts. Following the court's order on the City's motion to dismiss, the plaintiff was given leave to file an amended complaint, but he never did. Instead, he decided to move forward on the as-applied vagueness challenge to section 100(b) of the Ordinance. This is the only claim that survived the motion to dismiss. Judge Demacopoulos questioned whether this claim was moot in light of the expiration of the one year ban that applied to the plaintiff but left it up to the plaintiff whether he wanted to pursue the claim. Plaintiff may seek compensatory damages if he can prove that he suffered damage. The City filed its answer and affirmative defenses to the amended complaint on April 26, 2021. The plaintiff filed discovery requests. Board legal staff met with our attorneys in the Law Department and forwarded materials necessary to respond to these requests. The Judge seems to have gotten both parties to agree on a settlement amount that plaintiff would pay to the Board, pending approval from the parties. Our last Settlement Conference was March 9, and our next one is scheduled for April 25.

Note: several PBPA members filed grievances under their collective bargaining agreement, alleging that their right "to counsel of their choice" was violated by COPA. These were settled on terms that do not affect the Ordinance's post-employment provisions.

Brookins v. Board of Ethics, et al. The Honorable David Atkins in the Chancery Division of Cook County Circuit Court issued a ruling on October 18, 2022. The Judge dismissed every count in Alderman Brookins's lawsuit against the Board and me personally except Count I; this includes dismissing the Count in which Alderman Brookins accused me of defamation *per se*. The surviving Count asks for a writ of certiorari to review the Board's decision to find him in violation of the Ordinance's Fiduciary Duty provision, alleging that the Board did not have jurisdiction or authority to find probable cause, nor to have a hearing, nor to find him in violation, nor to fine him, and that the Board did not provide him proper notice because we sent our findings to an attorney, who, he alleges, was hired solely for the purpose of sending a letter to the Inspector General to request an investigation of me, not to represent him in this Board matter. The Law Department represents the Board. Alderman Brookins is not running for re-election.

Czosnyka et al. v. Gardiner et al., docket number is 21-cv-3240. We and the City of Chicago are now dismissed out of this case. On June 17, six (6) individuals residing in the 45th Ward filed a lawsuit in United States District Court against 45th Ward Ald. James Gardiner and the City, alleging that their 1st Amendment rights were violated by the Ald.'s improper blocking of them on his "official" City social media accounts. The plaintiffs sought certification of a class of all those improperly blocked by the Ald. The suit also alleged that more than 20 complaints of improper blocking were filed with the Board and the IG, but the City "failed to take any action to reprimand Alderman Gardiner, although it has the power to do so," and thus "acquiesced in [the Alderman's] constitutional violations." It seeks to have the plaintiffs reinstated as full participants in these social media accounts and unspecified damages. The case is before the Honorable Judge Sharon J. Coleman.

On June 1, 2022, both the Board and IG received subpoenas from the plaintiff for internal records on this matter. We coordinated our response with the Law Department.

## **R. Open Meetings Act Challenges**

The Board is involved in three (3) challenges recently filed with the Illinois Attorney General by the same objector regarding its discussions in Executive Session. The Board is working with the Law Department and responded to each.

**S. Freedom of Information Act Challenge**

The Board is involved in one (1) challenge recently filed with the Illinois Attorney General by the same objector regarding its withholding of a public record after a request pursuant to the Illinois Freedom of Information Act. We were challenged for withholding documents relating to Board Case 22033.Q, concerning an advisory opinion the Board approved at the November 2022 meeting. We worked with the Law Department on this matter. The challenger is the same individual who brought the three (3) OMA challenges noted above. This matter was also pending before the Public Access Counsel at the Illinois Attorney General's Office and was closed after we released documents to the requestor.

**T. Freedom of Information Act**

Since the last Board meeting, the Board has received two (2) requests.

The first request was for many records for an apparent City employee; we responded: i) with internet links; 2) that a portion of the request was directed to the wrong department; and iii) that several portions of the request were burdensome and needed to be narrowed.

The second request was for records of a federal authority; we responded that we have no records.

**U. Employee Vaccination Status**

I'm pleased to report that all seven (7) staff members are fully vaccinated for Covid-19, and in compliance with the City's policy on vaccinations.

**V. PUBLIC COMMENTS**

None

**VI. OLD BUSINESS**

None

**VII. NEW BUSINESS**

None

**VIII. PRIOR BOARD MEETING'S EXECUTIVE SESSION MINUTES**

This matter shall be discussed in Executive Session.

At 3:19 p.m., the Board VOTED 4-0 (Norma Manjarrez, absent) to adjourn into Executive Session under: (i) 5 ILCS 120/2(c)(1) to discuss the appointment, employment, compensation, discipline, performance, or dismissal of specific employees, specific individuals who serve as independent contractors in a park, recreational, or educational setting, or specific volunteers of the public body or legal counsel for the public body, including hearing testimony on a complaint lodged against an employee, a specific individual who serves as an independent contractor in a park, recreational, or educational setting, or a volunteer of the public body or against legal counsel for the public body to determine its validity. However, a meeting to consider an increase in compensation to a specific employee of a public body that is subject to the Local Government Wage Increase Transparency Act may not be closed and shall be open to the public and posted and held in accordance with this Act; (ii) 5 ILCS 120/2(c)(4) to hear and discuss evidence or testimony in closed hearing as specifically authorized pursuant to Governmental Ethics Ordinance Sections 2-156-385 and -392, and the Board's Rules and Regulations, as amended, effective January 5, 2017, presented to a quasi-adjudicative body, as defined in the Illinois Open Meetings Act, provided that the body prepares and makes available for public inspection a written decision setting forth its determinative reasoning; and (iii) 5 ILCS 120/2(c)(21) to discuss minutes of meetings lawfully closed under this Act, whether for purposes of approval by the body of the minutes or semi-annual review of the minutes as mandated by Section 2.06.

At 5:47 p.m. the Board VOTED 4-0 (Norma Manjarrez, absent) to reconvene in Open Session.

## **IX. MATTERS CONSIDERED BY THE BOARD IN EXECUTIVE SESSION**

### **I. APPROVAL OF THE EXECUTIVE SESSION MINUTES**

The Board confirmed its discussion in Executive Session, and VOTED 4-0 (Norma Manjarrez, absent) in Open Session, to approve the Executive Session Minutes of the March 20, 2023 meeting.

### **II. OLD BUSINESS**

None

### **III. NEW BUSINESS**

None

### **IV. CASEWORK**

#### **A. Continued Case Report**

1. Case No. 23015.C, Prohibited Political Activity, Unauthorized Use of City Property

The Board VOTED 4-0 (Norma Manjarrez, absent) to continue this matter.

2. Case No. 23022.C, Prohibited Political Activity, Unauthorized Use of City Property

The Board VOTED 4-0 (Norma Manjarrez, absent) to continue this matter.

3. Case No. 23026.C, Prohibited Political Activity, Unauthorized Use of City Property

The Board VOTED 4-0 (Norma Manjarrez, absent) to continue this matter.

4. Case No. 23030.C, Prohibited Political Activity, Unauthorized Use of City Property

The Board VOTED 4-0 (Norma Manjarrez, absent) to continue this matter.

5. Case No. 23013.C, Prohibited Political Activity, Unauthorized Use of City Property

The Board VOTED 4-0 (Norma Manjarrez, absent) to continue this matter.

6. Case No. 23014.C, Prohibited Political Activity, Unauthorized Use of City Property

The Board VOTED 4-0 (Norma Manjarrez, absent) to continue this matter.

7. Case No. 23017.C, Prohibited Political Activity, Unauthorized Use of City Property

The Board VOTED 4-0 (Norma Manjarrez, absent) to continue this matter.

8. Case No. 23018.C, Prohibited Political Activity, Unauthorized Use of City Property

The Board VOTED 4-0 (Norma Manjarrez, absent) to continue this matter.

9. Case No. 23019.C, Prohibited Political Activity, Unauthorized Use of City Property

The Board VOTED 4-0 (Norma Manjarrez, absent) to continue this matter.

10. Case No. 23020.C.6, Prohibited Political Activity, Unauthorized Use of City Property

The Board VOTED 4-0 (Norma Manjarrez, absent) to continue this matter.

**B. Status Report after Issuance of Notice of Probable Cause Determination**

11. Case No. 23021.C, Prohibited Political Activity, Incidental Use of the City seal—Authorized when

The Board VOTED 4-0 (Norma Manjarrez, absent) to continue this matter.

12. Case No. 22036.L, Unregistered lobbying

The Board VOTED 4-0 (Norma Manjarrez, absent) to approve a proposed settlement agreement between it and a person the Board had determined was an unregistered lobbyist. Upon full execution of the agreement, which provides for a \$5,000 fine, the Board will publicly post it on its website.

13. Case No. 23008.C, Prohibited Political Activity, Unauthorized Use of City Property

The Board VOTED 4-0 (Norma Manjarrez, absent) to continue this matter.

**C. Advisory Opinions**

14. Case No. 23034.A, Financial Interest in City Business

The Board VOTED 4-0 (Norma Manjarrez, absent) to approve a draft advisory opinion, advising the requestor, a City employee, that they may purchase City real estate because this purchase is pursuant to the City process of public advertising of the property purchase followed by public bids, which is an exception in the Governmental Ethics Ordinance to the prohibition that, generally, City employees may not purchase City property.

15. Case No. 23036.A, Financial Interest in City Business

The Board VOTED 4-0 (Norma Manjarrez, absent) to approve a City employee receiving a statutorily approved stipend for individuals elected to a particular public position.

16. Case No. 23037.A, Solicitation or acceptance of political contributions and membership on political fundraising committees

The Board VOTED 3-1 (Norma Manjarrez, absent) to find a minor violation and provide guidance going forward to the respondent.

**D. Received or Referred Complaint Report**

17. Case No. 23035.C, Statement of Financial Interests

The Board VOTED 4-0 (Norma Manjarrez, absent) to dismiss and refer to the Inspector General this matter.

**E. Waiver Request**

18. Case No. 23038.W, Request to Waive Post-Employment Prohibition

The Board VOTED 4-0 (Norma Manjarrez, absent) to dismiss the waiver request, but approved the post-City employment at issue, with appropriate ethics restrictions.

**X. OTHER BUSINESS**

None

At 5:54 p.m., the Board VOTED 4-0 (Norma Manjarrez, absent) to adjourn the meeting.